

<b>Welcome to the PIA for FY 2012!</b>	
Congress passed the E-Government Act of 2002 to encourage the use of Web-based Internet applications or other information technology by Government agencies, with the intention of enhancing access to government information and services and increasing the effectiveness, efficiency, and quality of government operations.	<b>Macros Must Be Enabled To Use Full Functionality For This Form Template!</b>
	<b>Microsoft Office 2003:</b> To enable macros, go to: 1) Tools > Macros > Security - Set to Medium; 2) Click OK; 3) Close the file and when reopening click on <u>Enable Macros at the prompt.</u> Or 1) When file opens click on <u>Enable Macros at the prompt.</u>
To combat public concerns regarding the disclosure of private information, the E-Government Act mandated various measures, including the requirement that Federal agencies conduct a Privacy Impact Assessment (PIA) for projects with information technology systems that collect, maintain, and/or disseminate "personally identifiable information" of the public. Personally identifiable information, or "personal information," is information that may be used to identify a specific person.	<b>Microsoft Office 2007:</b> To enable macros, go to: 1) Office Button > Prepare > Excel Options > Trust Center > Trust Center Settings > Macro Settings > Enable All Macros; 2) Click OK
The Privacy Act and VA policy require that personally identifiable information only be used for the purpose(s) for which it was collected, unless consent (opt-in) is granted. Individuals must be provided an opportunity to provide consent for any secondary use of information, such as use of collected information for marketing.	<b>Final Signatures</b>
	Final signatures are digitally signed or wet signatures on a case by case basis. All signatures should be done when all modifications have been approved by the VA Privacy Service and the reviewer has indicated that the signature is all that is necessary to obtain approval.
<b>Directions:</b>	<b>Privacy Impact Assessment Uploaded into SMART</b>
VA 6508 is the directive which outlines the PIA requirement for every System/Application/Program. If you find that you can't click on checkboxes, make sure that you are: 1) Not in "design mode" and 2) you have enabled macros.	All PIA Validation Letters should be mailed to <a href="mailto:Christina.Pettit@va.gov">Christina.Pettit@va.gov</a> to receive full credit for submission.
<b>INTERNAL WEBSITE :</b> <a href="http://vawww.privacy.va.gov/PIA.asp">http://vawww.privacy.va.gov/PIA.asp</a>	<b>Various Privacy Data Websites:</b>
<b>EXTERNAL WEBSITE :</b> <a href="http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp">http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp</a>	SORNS : <a href="http://www.rms.oit.va.gov/SOR_Records.asp">http://www.rms.oit.va.gov/SOR_Records.asp</a>
	Directive Itself (6508): <a href="http://www.va.gov/vapubs/viewPublication.asp?Pub_ID=414&amp;FTType=2">http://www.va.gov/vapubs/viewPublication.asp?Pub_ID=414&amp;FTType=2</a>
<b>Roles and Responsibilities:</b>	Schedule FY 2012 : <a href="http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp">http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp</a>
Roles and responsibilities for the specific process are clearly defined for all levels of staff in the VA Directive 6508 referenced in the procedure section of this document.	
a. <b>Privacy Officer</b> is responsible for the overall coordination and review of the PIA to ensure compliance with VA Directive 6508	
b. <b>Records Officer</b> is responsible for supplying records retention and deletion schedules	
c. <b>Information Technology (IT)</b> staff responsible for the privacy of the system data will perform a PIA in accordance with VA Directive 6508 and to immediately report all anomalies to the Privacy Service and appropriate management chain.	
d. <b>Information Security Officer (ISO)</b> is responsible for assisting the Privacy Officer and providing information regarding security controls.	
e. <b>Chief Information Officer (CIO)</b> is responsible for ensuring that the systems under his or her jurisdiction undergo a PIA. This responsibility includes identifying the IT systems; coordinating with the Privacy Officer, Information Security Officer, and others who have concerns about privacy and security issues; and reviewing and approving the PIA before submission to the Privacy Service.	
<b>Definition of PII (Personally Identifiable Information)</b>	
<b>Personally Identifiable Information (PII)</b> is —any information about an individual maintained by an agency, including (1) any information that can be used to distinguish or trace an individual's identity, such as name, social security number, date and place of birth, mother's maiden name, or biometric records; and (2) any other information that is linked or linkable to an individual, such as medical, educational, financial, and employment information.	
<b>Examples of PII include, but are not limited to:</b>	
• Personal identification number, such as social security number (SSN), passport number, driver's license number, taxpayer identification number, or financial account or credit card	
• Address information, such as street address or email address	
• Personal characteristics, including photographic image (especially of face or other identifying characteristic), fingerprints, handwriting, or other biometric data (e.g., retina scan, voice signature, facial geometry)	
• Information about an individual that is linked or linkable to one of the above (e.g., date of birth, place of birth, race, religion, weight, activities, geographical indicators, employment information, medical information, education information, financial information).	
Organizations should minimize the use, collection, and retention of PII to what is strictly necessary to accomplish their business purpose and mission.	
<b>A "routine use" is a Privacy Act discretionary authority published in the Federal Register that permits VA to disclose information or records from a Privacy Act-protected record without the patient's prior signed authorization. A "routine use" permits the:</b>	
(1) Release of PHI only when disclosure is also authorized by other applicable legal authorities, including 45 CFR Parts 160 and 164;	
(2) Release of drug or alcohol abuse, HIV, or sickle cell anemia medical information only when the disclosure is also authorized by 38 U.S.C. 7332.	

(FY 2012) PIA: System Information		*Green Highlight = Must Answer Question		*Yellow Highlight = Required to Sign PIA	
Program or System Name (as shown in SMART):		FPO > VHA > Patient Care > CART-CL			
OMB Unique System / Application / Program Identifier (AKA: UPID #):		OMB# 290001110000000			
Description of System/ Application/ Program : "must match what is stated in System Security Plan (SSP)"		<p>branch of the Medical/Surgical Services division, and others have all worked together to develop an application that is functional, user friendly, and precisely meets the current and future needs of VA Cardiologists while saving them valuable time and effort. CART-CL collects information on standardized data elements and then generates reports that become part of the patient's medical record in Vista. The goal of the CART-CL system is to capture and generate standardized data capture and generation of pre-procedure and procedure reports for all VA cardiac catheterization labs. CART-CL will use a mechanism to capture procedure information in a single national database that conforms to American College of Cardiology's National Catheterization Data Repository (ACC-NCDR). Furthermore, CART-CL will send procedure information to other systems such as Clinical Enterprise System (ECS), and PCE for inclusion in VA national databases. The CART-CL is a Windows-based application with a user interface written with Visual Basic language. It makes calls to the Veterans Health Information Systems and Technology Architecture (Vista) Legacy hospital information system Remote Procedure Call (RPC) Broker. It saves a copy of the report generated by the CART-CL software application in the patient record system. All individual data elements are saved to the CART-CL database on CART-CL servers.</p> <p>All data collected and stored by the CART-CL application is physically secured in the Denver VAMC IRMS Computer Room, on a CART-CL password protected Microsoft SQL Server database. Data can only be retrieved from the CART-CL system by users who are granted "limited" access to the CART-CL system. Individual cardiac catheterization labs cannot see data submitted by other cardiac catheterization labs.</p> <p>The CART-CL System consists of the following devices and equipment:</p> <ul style="list-style-type: none"> <li>• CART-CL Cluster – Servers hosting the CART-CL database.</li> <li>• CART-CL Development Server – Provides a development and test environment.</li> <li>• CART-CL Web Server – Provides web-based services to both CART-CL Users and CART-CL employees and contractors.</li> <li>• Clinical Workstations – Targeted for every cardiology lab and cardiologist's desktop and other key areas of the medical center, the clinical workstations run CART-CL software. The software runs on typical PCs and allows users to create cardiology reports for a single patient at a time.</li> </ul> <p>The CART-CL system boundary includes the CART-CL Cluster, CART-CL Development Server, CART-CL Web Server, The CART-CL Application, clinical workstations, and all functional software connectivity established between CART-CL workstation software and the CART-CL database applications installed at the ECHCS IRMS Computer Room.</p> <p>The security of the CART-CL system will depend heavily on the security in the ECHCS IRMS Computer Room. Security of the CART-CL system will depend to a lesser degree on the security at each of the VA facilities where the CART-CL application is installed.</p>			
Facility or Program Office Name:		Eastern Colorado Health Care System - Denver			
Title:		Name:		Phone:	
Privacy Officer:					
Information Security Officer:		Young Ja Kahn		303-370-7581	
System Owner/Delegate:		Jack Seymour		303-504-2686	
Chief Information Officer:		Don Huckaby		(303) 393-5289	
Information Owner:		John Rumsfeld (CART-CL National Dir.)		303-370-7570	
Other Titles:					
Person Completing Document:		Greg Noonan		303-370-7595	
Other Titles:		CART-CL DBA			
Date of Last Full Approved PIA by VACO Privacy Services: (MM/YYYY)				09/2011	
What specific legal authorities authorize this program or system:		Title 38, United States Code, Section 501			
What is the expected number of individuals that will have their PII stored in this system:		250,000			
Identify what stage the System / Application / Program is at:		Operations/Maintenance			
The approximate date (MM/YYYY) the system will be operational (if in the Design or Development stage), or the approximate number of years the system/application/program has been in operation.		3 yrs			
Is there an authorized change control process which documents any changes to existing applications or systems?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA			
If No, (Explain on Tab 8)					
Is there a contingency plan in place to process information when the system is down?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA			
Has a PIA been completed within the last three years?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA			

<b>FISMA QUESTIONS</b>				
1. Is this a new system?	<input type="radio"/> Yes <input checked="" type="radio"/> No			
2. Does this system contain Federal information in identifiable form?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
3. Does the system include information on the public?	<input type="radio"/> Yes <input checked="" type="radio"/> No			
4. Is there a Privacy Impact Assessment (PIA) that covers this system?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> National Security System under 40 U.S.C. 11103, a PIA is not required for this system			
5. Is Federal-owned information in this system retrieved by name or unique	<input checked="" type="radio"/> Yes <input type="radio"/> No			
6. What is the System of Records Notice (SORN) for this system?	121VA19			
7. Has this SORN been reviewed or updated within the last three years?	Yes three years ago			
Date of Report (MM/YYYY):			10/2011	
<b>Any check mark in the boxes below will require a full PIA. Please continue to the next TAB and complete the remaining questions.</b>				
<b>If there is no Personally Identifiable Information on your system , please complete TAB 2 &amp; TAB 12. ( See Comment for Definition of PII)</b>				
<input type="checkbox"/>	Have any changes been made to the system since the last PIA?			
<input type="checkbox"/>	Is this a PIV system/application/program collecting PII data from Federal employees, contractors, or others performing work for the VA?			
<input checked="" type="checkbox"/>	Will this system/application/program retrieve information on the basis of name, unique identifier, symbol or other PII data?			
<input checked="" type="checkbox"/>	Does this system/application/program collect, store, or disseminate PII/PHI data?			
<input checked="" type="checkbox"/>	Does this system/application/program collect, store or disseminate the SSN?			
<a href="#">Directions</a>				

(FY 2012) PIA: System of Records

\*Green Highlight = Must Answer Question

- 1. Is a SORN (System of Records Notice) Required?
- 2. Is there a SORN already in place?

<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Not Sure
<input checked="" type="radio"/> Yes	<input type="radio"/> No	

\*\*\*If Yes, select all of the appropriate SORN number(s):  
\*\*\*If Not Sure, continue to question 3

\*\*\*Click to add. Delete SORN by highlighting SORN and comma if included and press the Delete key or place focus on area to delete all SORNs.

LIST OF SORN NUMBER(S) :

121VA19
---------

For each applicable System(s) of Records, list:

- 3. If records are retrieved using any of the following entities, A SORN will be required (Please check all that apply)

<input checked="" type="checkbox"/> Full Name
<input type="checkbox"/> Maiden Name
<input type="checkbox"/> Mother's Maiden Name
<input type="checkbox"/> Alias
<input checked="" type="checkbox"/> Social Security Number
<input type="checkbox"/> Passport Number
<input type="checkbox"/> Driver's License Number
<input type="checkbox"/> Taxpayer Identification Number
<input type="checkbox"/> Financial Account Number
<input type="checkbox"/> Credit Card Number
<input type="checkbox"/> Street Address
<input type="checkbox"/> Email Address
<input type="checkbox"/> Photographic Image
<input type="checkbox"/> Fingerprints
<input type="checkbox"/> Handwriting
<input type="checkbox"/> Other Biometric Data
<input type="checkbox"/> Other (Explain on Tab 8)

- 4. Based on Question 3, is a SORN required?

<input type="radio"/> Yes	<input type="radio"/> No
<input checked="" type="radio"/> Yes	<input type="radio"/> No

\*\*\*If Yes, has the process begun to obtain/acquire a SORN

Location where the specific applicable System of Records Notice may be accessed:

[http://www.rms.oit.va.gov/SOR\\_Records.asp](http://www.rms.oit.va.gov/SOR_Records.asp)

(FY 2012) PIA: Data Collection And Storage \*Green Highlight = Must Answer Question

Please fill in each column for the data types selected.

Data Type	Collection Method	What are the subjects told about the intended use of their information?	How is this message conveyed to them?	How is a privacy notice provided?
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	Electronic/File Transfer	Healthcare	Written	Written
Family Relation (spouse, children, parents, grandparents, etc)	N/A			
Service Information				
Medical Information	ALL	Healthcare	Written	Written
Criminal Record Information	N/A			
Guardian Information	N/A			
Education Information	N/A			
Benefit Information	N/A			
Other (Explain on Tab 8)	N/A			

Data Type	Storage Method	Source (If requested, identify the specific file, entity and/or name of agency)	Is data collection Mandatory or Voluntary?
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases Vista	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary
Family Relation (spouse, children, parents, grandparents, etc)	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary
Service Information	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary
Medical Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary
Criminal Record Information	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary
Guardian Information	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary
Education Information	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary
Benefit Information	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary
Other (Explain on Tab 8)	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary

	(Please Select Yes/No)
Proximity and Timing: Is the privacy notice provided at the time of data collection?	<input checked="" type="radio"/> Yes <input type="radio"/> No
Purpose: Does the privacy notice describe the principal purpose(s) for which the information will be used?	<input checked="" type="radio"/> Yes <input type="radio"/> No
Authority: Does the privacy notice specify the effects of providing information on a voluntary basis?	<input checked="" type="radio"/> Yes <input type="radio"/> No
Disclosures: Does the privacy notice specify routine use(s) that may be made of the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No
<a href="#">routine use(s)</a>	

(FY 2012) PIA: Data Sharing *Green Highlight = Must Answer Question	** Any connection external to VA requires an ISA/MOU per VA 6500. This section below must be consistent with your System Security Plan Interconnection Security Agreement section.				
Organization	Name of Agency/Organization	Do they access this system?	Identify the type of Data Sharing	Is PII or PHI Shared?	What is the procedure you reference for the release of information?
Internal Sharing: VA Organization	VA HSR&D	<input type="radio"/> Yes <input type="radio"/> No	medications, lab test results, procedures, and	<input type="radio"/> Yes <input type="radio"/> No	IRB and DUA
Other Veteran Organization	N/A	<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Yes <input type="radio"/> No	
Other Federal Government Agency	Food and Drug Administration	<input type="radio"/> Yes <input type="radio"/> No	device related complications	<input type="radio"/> Yes <input type="radio"/> No	DUA/MOU
State Government Agency	N/A	<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Yes <input type="radio"/> No	
Local Government Agency	N/A	<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Yes <input type="radio"/> No	
Research Entity	N/A	<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Yes <input type="radio"/> No	
<input type="checkbox"/> Other Project/ System (Explain on Tab 8)					
<b>(FY 2012) PIA: Access to Records</b>					
Does the system gather information from another system?		<input checked="" type="radio"/> Yes <input type="radio"/> No			
Please enter the name of the system:		Vista			
<b>(FY 2012) PIA: Secondary Use</b>					
Will PII data be included with any secondary use request?		<input checked="" type="radio"/> Yes <input type="radio"/> No	<input type="checkbox"/> Mental Health	<input type="checkbox"/> HIV	<input type="checkbox"/> Drug/Alcohol Counseling
Check all that apply		<input type="checkbox"/> Social/Call	<input type="checkbox"/> Other (Explain on Tab 8)	<input checked="" type="checkbox"/> Research	

<b>(FY 2012) PIA: Records Management</b>		<b>*Green Highlight = Must Answer Question</b>	
Does this PIA form contain any sensitive information that could cause harm to the Department of Veterans Affairs or any party if disclosed to the public?			
<input type="radio"/> Yes (Explain on Tab 8)		<input checked="" type="radio"/> No	
Is the data collected to only what is necessary to provide requested service?			
<input checked="" type="radio"/> Yes		<input type="radio"/> No (Explain on Tab 8)	
Has the data provided been verified as complete?			
<input type="checkbox"/> Veteran Verified		<input type="checkbox"/> Received From Database	
		<input checked="" type="checkbox"/> Verification Unknown	
<b>(FY 2012) PIA: Retention &amp; Disposal</b>			
What is the data retention period?		GRS 24 link for VHA: <a href="http://www.archives.gov/records-mgmt/grs/">http://www.archives.gov/records-mgmt/grs/</a>	
The CART-CL system retains all patient information as part of the patient's permanent medical record.		RCS VB-1, Part II Revised for VBA: <a href="http://www.benefits.va.gov/WARMS/docs/admin20/rccs/part2/part2.pdf">www.benefits.va.gov/WARMS/docs/admin20/rccs/part2/part2.pdf</a>	
		National Archives and Records Administration: <a href="http://www.nara.gov">www.nara.gov</a>	
Explain why the information is needed for the indicated retention period?			
CART-CL is the clinical record and used for future patient care.			
What are the procedures for eliminating data at the end of the retention period?			
N/A			
Where are these procedures documented?			
N/A			
How are data retention procedures enforced?			
N/A			
Has the retention schedule been approved by the National Archives and Records Administration (NARA)			
<input checked="" type="radio"/> Yes		<input type="radio"/> No (Explain on Tab 8)	
<b>(FY 2012) PIA: Children's Online Privacy Protection Act (COPPA)</b>			
Will information be collected through the internet from children under age 13?			
<input type="radio"/> Yes (Explain on Tab 8)		<input checked="" type="radio"/> No	

(FY 2012) PIA: Security \*Green Highlight = Must Answer Question

Is the system/application/program following IT security Requirements and procedures required by federal law and policy to ensure that information is appropriately secured.	<input checked="" type="radio"/> Yes	<input type="radio"/> No (Explain on Tab 8)
Has the system/application/program conducted a risk assessment, identified appropriate security controls to protect against that risk, and implemented those controls..	<input checked="" type="radio"/> Yes	<input type="radio"/> No (Explain on Tab 8)
Is security monitoring conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?	<input checked="" type="radio"/> Yes	<input type="radio"/> No (Explain on Tab 8)
Is security assessment conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?	<input checked="" type="radio"/> Yes	<input type="radio"/> No (Explain on Tab 8)
Is adequate physical security in place to protect against unauthorized access?	<input checked="" type="radio"/> Yes	<input type="radio"/> No (Explain on Tab 8)
<b>*Ensure PE 2, PE-3, PE-6, PE-7, PE-8 have been addressed appropriately for your categorization</b>		

Explain what security risks were identified in the security assessment? (Check all that apply)

<input checked="" type="checkbox"/> Biological Release	<input checked="" type="checkbox"/> Fire	<input checked="" type="checkbox"/> Lightning Strike	<input checked="" type="checkbox"/> Terrorist
<input checked="" type="checkbox"/> Hazzard	<input checked="" type="checkbox"/> Flood	<input checked="" type="checkbox"/> Malicious Code	<input checked="" type="checkbox"/> Thunderstorm
<input checked="" type="checkbox"/> Burglary/break in	<input checked="" type="checkbox"/> Hackers, Cracker	<input checked="" type="checkbox"/> Password Privacy Negligence	<input checked="" type="checkbox"/> Bomb
<input checked="" type="checkbox"/> Civil Unrest	<input checked="" type="checkbox"/> Mail	<input checked="" type="checkbox"/> Personnel Unavailable	<input type="checkbox"/> Tsunami
<input checked="" type="checkbox"/> Component Failure	<input checked="" type="checkbox"/> RZ/MAT Release/Spill	<input checked="" type="checkbox"/> Power Failure	<input checked="" type="checkbox"/> User Negligence
<input type="checkbox"/> Main Failure	<input checked="" type="checkbox"/> Human Health Emergency	<input checked="" type="checkbox"/> Sabotage	<input checked="" type="checkbox"/> User Sabotage
<input checked="" type="checkbox"/> Pests/Debris	<input type="checkbox"/> Hurricane	<input checked="" type="checkbox"/> System Intrusion, break ins	<input checked="" type="checkbox"/> Vibration
<input checked="" type="checkbox"/> Earthquake	<input checked="" type="checkbox"/> HVAC Failure	<input checked="" type="checkbox"/> System Misconfiguration	<input checked="" type="checkbox"/> Volcano
<input checked="" type="checkbox"/> Extreme Cold	<input checked="" type="checkbox"/> Labor Humility	<input checked="" type="checkbox"/> System Penetration	<input checked="" type="checkbox"/> Water Damage
<input checked="" type="checkbox"/> Extreme Heat	<input type="checkbox"/> Landslide	<input checked="" type="checkbox"/> System Tampering	<input checked="" type="checkbox"/> Winter Weather Hazards

**\*If any other risks identified, explain in Tab 8**

Based upon the risks identified above, Explain what security controls are being used to mitigate these risks. (Check all that apply)

<input type="checkbox"/> Access Control	<input checked="" type="checkbox"/> Configuration Management	<input type="checkbox"/> Media Protection	<input type="checkbox"/> System and Services Acquisition
<input type="checkbox"/> Audit and Accountability	<input checked="" type="checkbox"/> Contingency Planning	<input type="checkbox"/> Personnel Security	<input type="checkbox"/> System and Communication Protection
<input type="checkbox"/> Awareness and Training	<input checked="" type="checkbox"/> Identification and Authentication	<input type="checkbox"/> Physical and Environmental Protection	<input type="checkbox"/> System and Information Integrity
<input checked="" type="checkbox"/> Security Assessment and Authorization	<input checked="" type="checkbox"/> Incident Response	<input type="checkbox"/> Risk Assessment	<input checked="" type="checkbox"/> Planning
			<input checked="" type="checkbox"/> Maintenance

Answer: (Other Controls) Explain on Tab 8

PIA: PIA Assessment

Based upon NIST 800-60, volume II; List the Information data types chosen as a basis for your FIPS 199 System Categorization.

Answer: **D.14.4 Health Care Delivery Services Information Type, D.14.5 Health Care Research and Practitioner Education**

<p><u>Availability Assessment:</u> If the data being collected is not available to process for any reason what will the potential impact be upon the system or organization? (Choose One)</p>	<p><input type="checkbox"/> The potential impact is <b>high</b> if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.</p> <p><input type="checkbox"/> The potential impact is <b>moderate</b> if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals.</p> <p><input checked="" type="checkbox"/> The potential impact is <b>low</b> if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.</p>
<p><u>Integrity Assessment:</u> If the data being collected has been corrupted for any reason what will the potential impact be upon the system or organization? (Choose One)</p>	<p><input type="checkbox"/> The potential impact is <b>high</b> if the loss of integrity could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.</p> <p><input type="checkbox"/> The potential impact is <b>moderate</b> if the loss of integrity could be expected to have a serious adverse effect on operations, assets or individuals.</p> <p><input checked="" type="checkbox"/> The potential impact is <b>low</b> if the loss of integrity could be expected to have a limited adverse effect on operations, assets or individuals.</p>
<p><u>Confidentiality Assessment:</u> If the data being collected has been shared with unauthorized individuals what will the potential impact be upon the system or organization? (Choose One)</p>	<p><input type="checkbox"/> The potential impact is high if the loss of confidentiality could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.</p> <p><input type="checkbox"/> The potential impact is moderate if the loss of confidentiality could be expected to have a serious adverse effect on operations, assets or individuals.</p> <p><input checked="" type="checkbox"/> The potential impact is low if the loss of confidentiality could be expected to have a limited adverse effect on operations, assets or individuals.</p>

The controls are being considered for the project based on the selections from the previous assessments? The VA's risk assessment validates the security control set and determines if any additional controls are needed to protect agency operations. Many of the security controls such as contingency planning controls, incident response controls, security training and awareness controls, personnel security controls, physical and environmental protection controls, and intrusion detection controls are common security controls used throughout the VA. Our overall security controls follow NIST SP800-53 moderate impact defined set of controls. The system owner is responsible for any system-specific issues associated with the implementation of this facility' common security controls. These issues are identified and described in the system security plans for the individual information systems.

## (FY 2012) PIA: Additional Comments

---

Add any additional comments or information that may have been left out for any question. Please indicate the question you are responding to and then add your comments.

---

(FY 2012) PIA: VBA Minor Applications

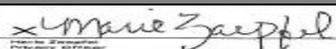
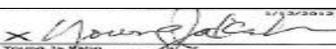
Which of these are sub-components of your system?		
Access Manager	Automated Sales Reporting (ASR)	Automated Folder Processing System (AFPS)
Actuarial	BCMA Contingency Machines	Automated Medical Information Exchange II (AIME II)
Agent Orange		Automated Medical Information System (AMIS)290
Appraisal System	Centralized Property Tracking System	Automated Standardized Performance Elements Nationwide (ASPEN)
ASSISTS	Common Security User Manager (CSUM)	Broome Closet
Awards	Compensation and Pension (C&P)	Centralized Accounts Receivable System (CARS)
Baker System	Control of Veterans Records (COVERS)	Committee on Waivers and Compromises (COWC)
Bbraun (CP Hemo)	Courseware Delivery System (CDS)	Compensation and Pension (C&P) Record Interchange (CAPRI)
	Dental Records Manager	
	Education Training Website	Compensation & Pension Training Website
C&P Payment System	Electronic Appraisal System	Distribution of Operational Resources (DOOR)
C&P Training Website	Electronic Card System (ECS)	Educational Assistance for Members of the Selected Reserve Program CH 1606
CONDO PUD Builder	Electronic Payroll Deduction (EPD)	Electronic Performance Support System (EPSS)
	Eligibility Verification Report (EVR)	Enterprise Wireless Messaging System (Blackberry)
	Fiduciary Beneficiary System (FBS)	Financial Management Information System (FMI)
EndoSoft	Fiduciary STAR Case Review	Hearing Officer Letters and Reports System (HOLAR)
FOCAS	Financial and Accounting System (FAS)	Inquiry Routing Information System (IRIS)
Inforce	Insurance Unclaimed Liabilities	Modern Awards Process Development (MAP-D)
INS - BIRLS	Inventory Management System (IMS)	
Insurance Online	Interactive Voce Response (IVR)	Personal Computer Generated Letters (PCGL)
Insurance Self Service	LGY Centralized Fax System	Personnel Information Exchange System (PIES)
LGY Home Loans	Loan Service and Claims	Post Vietnam Era educational Program (VEAP) CH 32
LGY Processing	Loan Guaranty Training Website	Purchase Order Management System (POMS)
MES		Reinstatement Entitlement Program for Survivors (REAPS)
Mobilization	Mental Health Assistant	Reserve Educational Assistance Program CH 1607
Montgomery GI Bill	National Silent Monitoring (NSM)	RightFax
MUSE	Powerscribe Dictation System	Service Member Records Tracking System
Omnicell	Rating Board Automation 2000 (RBA2000)	Survivors and Dependents Education Assistance CH 35
Priv Plus	Records Locator System	Systematic Technical Accuracy Review (STAR)
RAI/MDS	Remittance Processing System	Training and Performance Support System (TPSS)
Right Now Web	Review of Quality (ROQ)	VA Online Certification of Enrollment (VA-ONCE)
SAHSHA	Search Participant Profile (SPP)	VA Reserve Educational Assistance Program
Script Pro	Spinal Bifida Program Ch 18	
SHARE	State Benefits Reference System	Veterans Assistance Discharge System (VADS)
Sidexis	State of Case/Supplemental (SOC/SSOC)	Veterans Exam Request Info System (VERIS)
Synquest	Telecare Record Manager	Veterans Insurance Claims Tranking and Response System (VICTARS)
		Veterans Service Representative (VSR) Advisor
VBA Training Academy	VBA Enterprise Messaging System	Vocational Rehabilitation & Employment (VR&E) CH 31
Veterans Canteen Web		
VETSNET Housekeeping	Web Electronic Lender Identification	Web Automated Folder Processing System (WAFPS)
		Web Automated Reference Material System (WARMS)
VR&E Training Website		Web Automated Verification of Enrollment
Web LGY		Web-Enabled Approval Management System (WEAMS)
		Web Service Medical Records (WebSMR)
		Work Study Management System (WSMS)
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		

(FY 2012) PIA: Minor Applications A-M

Which of these are sub-components of your system?			
1184 Web	Citrix	Electronic Signature	Imaging
A4P	Clinical Case Registries	Embedded Fragment Registry	Incentive Awards
ACCu Care	Clinical Data Repository/Health Data Repository	ENCORE 2	Incident Reporting
ACCU Check	Clinical Info Resource Network	ENDSOFT	Income Verification Match
ACCU Med	Clinical Monitoring System	Engineering	Incomplete Records Tracking
Adobe Acrobat	Clinical Notes Templates	Enrollment Application System	Inpatient Medications
ADP Planning (PlanMan)	Clinical Procedures	Enterprise Terminology Server & VHA Enterprise Terminology Services	Intake/ Output
ADT	Clinical Reminders	ePROMISE	Integrated Billing
Adverse Reaction Tracking	Clippership	Equipment/ Turn-in Request	Integrated Patient Funds
Agent Cashier	Combat Veteran Outreach	Event Capture	Interim Mangement Support
Air Fortress	Committee on Waiver and Compromises	Event Driven Reporting	Inventory Management System
ASISTS	Consult/ Request Tracking	Extensible Editor	Kernal
Authorization/ Subscription	Controlled Correspondence	External Peer Review	Kids
Auto Instrument	Controlled Substances	EYECAP	KOWA
Auto Replenishment/ Ward Stock	CP&E	Fee Based Claims System	Lab Service
AUTOCAD	CPRS	Fee Basis	Laboratory Electronic Data Interchange
Automated Access Request	CPT/ HCPCS Codes	Financial and Accounting System (FAS)	Letterman
Automated Info Collection Sys	Credentials Tracking	Financial Management System (FMS)	Lexicon Utility
Automated Lab Instruments	Credit Card Authentication	Functional Independence	Library
Automated Med Info Exchange	Data Innovations	Gen. Med. Rec. - I/O	List Manager
Automated Sales Reporting	DELIVEREX	Gen. Med. Rec. - Vitals	Lynx Duress Alarm
AutoMed	Dental	Gen. Med.Rec. - Generator	Mallman
Bad Code Med Admin	DICTATION-Power Scribe	GENDEX	MCCR National Database
Barcode Medication Administration Contingency Plan (BCU)	Dietetics	Generic Code Sheet	Meadows (MDWS)
BCMA Contingency Workstations	Discharge Summary	Genesys	Medicine
BDN 301	DRG Grouper	Get Well Networks	Mental Health
Beneficiary Travel	DRM Plus	GMED	MHPT
Big Fix	Drug Accountability	GRECC	MICOM
CA Verified Components - DSSI	DSIT	Health Data and Informatics	Microsoft Exchange E-mail System
Capacity Management - RUM	DSS Extracts	Health Level Seven	Military/Vet Eye Injury Registry
Capacity Management Tools	DSS Quadramed	Health Summary	Minimal Patient Dataset
CAPRI	EDS Whiteboard (AVJED)	Health Summary Contingency	Missing Patient Reg (Original) A4EL
Cardiff Teleform	Education Tracking	HINQ	Mumps AudioFAX
Cardiology Systems (stand alone servers from the network)	EEO Complaint Tracking	Hospital Based Home Care	MyHealthEvet
Care Management	EKG System	ICB	
CareTracker	Electronic Card System (ECD)	ICR - Immunology Case Registry	
CHECKPOINT	Electronic Payroll Deduction (EPD)	IFCAP	
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.			
Name			
Description			
Comments			
Is PII collected by this minor application?			
Does this minor application store PII?			
If yes, where?			
Who has access to this data?			
Name			
Description			
Comments			
Is PII collected by this minor application?			
Does this minor application store PII?			
If yes, where?			
Who has access to this data?			
Name			
Description			
Comments			
Is PII collected by this minor application?			
Does this minor application store PII?			
If yes, where?			
Who has access to this data?			

(FY 2012) PIA: VISTA Minor Applications N-Z

Which of these are sub-components of your system?			
National Cemetery Association	Pharmacy Data Management	Scanning Exam and Evaluation System	VBECs
National Drug File	Pharmacy National Database	Scheduling	VDEF
National Laboratory Test	Pharmacy Prescription Practice	Security Suite Utility Pack	Vendor - Document Storage Sys
NDBI	PICIS OR	Sentillion	Veterans Canteen Web
Network Health Exchange	Police & Security	Shift Change Handoff Tool	Veterans Information Solution
NOAHLINK	Problem List	ShoreTel	VHAHUNAPP1
NOIS	Progress Notes	Social Work	VHAHUNFPC1
Nursing Service	Prosthetics	Stellant	VHS & RA Tracking System
Occurrence Screen	Purchase Order Management System	Stentor	Visit Tracking
Omnicell	Pyxis	Surgery	VISTA RAD
Oncology	Q-Matic	Survey Generator	VISTA RO
Onicord (VLOG)	QMSI Prescription Processing	Telecare Record Manager	VistALink
Optifill	Quality Assurance Integration	Temp Trak	VistALink Security
Order Entry/ Results Reporting	Quality Improvement Checklist	Text Integration Utilities	Visual Impairment Service Team ANRV
Outpatient Pharmacy	QUASER	Tickler Database	Vitria BusinessWare
P2000 ROBOT	Radiology/ Nuclear Medicine	Toolkit	VIXS
PACS database	RAFT	TopCon	Voluntary Timekeeping
Patch Module	RALS	TraceMaster	Voluntary Timekeeping National
Patient Data Exchange	Record Tracking	Tracking Continuing Education	WEB HINQ
Patient Feedback	Registration	Traumatic Brain Injury	Whiteboard
Patient Representative	Release of Information - DSSI	Unwinder	Women's Health
PCE Patient Care Encounter	Remote Order/ Entry System	Utility Management Rollup	Workload and Overtime
Personal Computer Generated Letters	RPC Broker	Utilization Review	
Pharmacy Benefits Mangement	Run Time Library	VA Conference Room Registration	
	SAGG	VA Fileman	
	SAN	VAMedSafe	
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.			
Name			
Description			
Comments			
Is PII collected by this minor application?			
Does this minor application store PII?			
If yes, where?			
Who has access to this data?			
Name			
Description			
Comments			
Is PII collected by this minor application?			
Does this minor application store PII?			
If yes, where?			
Who has access to this data?			
Name			
Description			
Comments			
Is PII collected by this minor application?			
Does this minor application store PII?			
If yes, where?			
Who has access to this data?			

(FY 2012) PIA: Final Signatures		*Green Highlight = Must Answer Question	
Facility Name:	Eastern Colorado Health Care System - Denver		
Title:	Name:	Phone:	Email:
	Marie zaepfel	303-399-8020 x2176	<a href="mailto:Marie.Zaepfel@va.gov">Marie.Zaepfel@va.gov</a>
	Young Ja Kahn	303-370-7581	<a href="mailto:YoungJa.Kahn@va.gov">YoungJa.Kahn@va.gov</a>
	Jack Seymour	303-504-2686	<a href="mailto:Jack.Seymour@va.gov">Jack.Seymour@va.gov</a>
	Don Huckaby	(303) 393-5289	<a href="mailto:Donald.Huckaby@va.gov">Donald.Huckaby@va.gov</a>
Other Titles:	0	0	0
Digital Signature Block			
Date of Report:	10/2011		
OMB Unique Project Identifier	OMB# 290001110000000		
Project Name	FPO > VHA > Patient Care > CART-CL		
<p>The Signature Process:</p> <ul style="list-style-type: none"> <li>• Complete the PIA form.</li> <li>• Name the PIA Excel FORM ["FY12-Region # - Facility Name - Facility # -Date(mmdyyyy).xls"] <ul style="list-style-type: none"> <li>• Example: "FY12-Region3-Lexington VAMC-596-10302008.xls"</li> <li>• Submit the completed PIA Excel form to SMART Database.</li> </ul> </li> <li>• Fix errors the reviewers sent back, rename the file and submit to SMART Database <ul style="list-style-type: none"> <li>• If no errors, convert form into PDF with Nuance PDF Professional.</li> </ul> </li> <li>• Name the PIA PDF form ["FY12-Region #-Facility Name- Facility # -Date(mmdyyyy).xls"] <ul style="list-style-type: none"> <li>• Obtain digital signatures on the "Final Signatures tab"</li> <li>• Submit signed PIA PDF form to the SMART Database.</li> </ul> </li> </ul>			

|

|