

<i>Welcome to the PIA for FY 2012!</i>	
Congress passed the E-Government Act of 2002 to encourage the use of Web-based Internet applications or other information technology by Government agencies, with the intention of enhancing access to government information and services and increasing the effectiveness, efficiency, and quality of government operations.	Macros Must Be Enabled To Use Full Functionality For This Form Template!
	Microsoft Office 2003: To enable macros, go to: 1) Tools > Macros > Security - Set to Medium; 2) Click OK; 3) Close the file and when reopening click on <u>Enable Macros at the prompt</u> . Or 1) When file opens click on <u>Enable Macros at the prompt</u> .
To combat public concerns regarding the disclosure of private information, the E-Government Act mandated various measures, including the requirement that Federal agencies conduct a Privacy Impact Assessment (PIA) for projects with information technology systems that collect, maintain, and/or disseminate "personally identifiable information" of the public. Personally identifiable information, or "personal information," is information that may be used to identify a specific person.	Microsoft Office 2007: To enable macros, go to: 1) Office Button > Prepare > Excel Options > Trust Center > Trust Center Settings > Macro Settings > Enable All Macros; 2) Click OK
The Privacy Act and VA policy require that personally identifiable information only be used for the purpose(s) for which it was collected, unless consent (opt-in) is granted. Individuals must be provided an opportunity to provide consent for any secondary use of information, such as use of collected information for marketing.	Final Signatures
	Final signatures are digitally signed or wet signatures on a case by case basis. All signatures should be done when all modifications have been approved by the VA Privacy Service and the reviewer has indicated that the signature is all that is necessary to obtain approval.
Directions: VA 6508 is the directive which outlines the PIA requirement for every System/Application/Program. If you find that you can't click on checkboxes, make sure that you are: 1) Not in "design mode" and 2) you have enabled macros.	Privacy Impact Assessment Uploaded into SMART All PIA Validation Letters should be mailed to Christina.Pettit@va.gov to receive full credit for submission.
INTERNAL WEBSITE : http://www.privacy.va.gov/PIA.asp EXTERNAL WEBSITE : http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp	Various Privacy Data Websites: SORNs : http://www.rms.oit.va.gov/SOR_Records.asp Directive Itself (6508): http://www.va.gov/vapubs/viewPublication.asp?Pub_ID=414&FTYPE=2 Schedule FY 2012 : http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp
Roles and Responsibilities:	
Roles and responsibilities for the specific process are clearly defined for all levels of staff in the VA Directive 6508 referenced in the procedure section of this document.	
a. Privacy Officer is responsible for the overall coordination and review of the PIA to ensure compliance with VA Directive 6508	
b. Records Officer is responsible for supplying records retention and deletion schedules	
c. Information Technology (IT) staff responsible for the privacy of the system data will perform a PIA in accordance with VA Directive 6508 and to immediately report all anomalies to the Privacy Service and appropriate management chain.	
d. Information Security Officer (ISO) is responsible for assisting the Privacy Officer and providing information regarding security controls.	
e. Chief Information Officer (CIO) is responsible for ensuring that the systems under his or her jurisdiction undergo a PIA. This responsibility includes identifying the IT systems; coordinating with the Privacy Officer, Information Security Officer, and others who have concerns about privacy and security issues; and reviewing and approving the PIA before submission to the Privacy Service.	
Definition of PII (Personally Identifiable Information)	
Personally Identifiable Information (PII) is --any information about an individual maintained by an agency, including (1) any information that can be used to distinguish or trace an individual's identity, such as name, social security number, date and place of birth, mother's maiden name, or biometric records; and (2) any other information that is linked or linkable to an individual, such as medical, educational, financial, and employment information.	
Examples of PII include, but are not limited to:	
• Personal identification number, such as social security number (SSN), passport number, driver's license number, taxpayer identification number, or financial account or credit card	
• Address information, such as street address or email address	
• Personal characteristics, including photographic image (especially of face or other identifying characteristic), fingerprints, handwriting, or other biometric data (e.g., retina scan, voice signature, facial geometry)	
• Information about an individual that is linked or linkable to one of the above (e.g., date of birth, place of birth, race, religion, weight, activities, geographical indicators, employment information, medical information, education information, financial information).	
Organizations should minimize the use, collection, and retention of PII to what is strictly necessary to accomplish their business purpose and mission.	
A "routine use" is a Privacy Act discretionary authority published in the Federal Register that permits VA to disclose information or records from a Privacy Act-protected record without the patient's prior signed authorization. A "routine use" permits the:	
(1) Release of PHI only when disclosure is also authorized by other applicable legal authorities, including 45 CFR Parts 160 and 164;	
(2) Release of drug or alcohol abuse, HIV, or sickle cell anemia medical information only when the disclosure is also authorized by 38 U.S.C. 7332.	

(FY 2012) PIA: System Information		*Green Highlight = Must Answer Question		*Yellow Highlight = Required to Sign PIA	
Program or System Name (as shown in SMART):		Region 1 > VHA > VISN 19 > Eastern Colorado HCS (Denver) > VistA - VMS			
OMB Unique System / Application / Program Identifier (AKA: UPID #):		029-00-01-11-01-1180-00			
Description of System/ Application/ Program : "must match what is stated in System Security Plan (SSP)"		<p>VistA is the software platform and hardware infrastructure (associated primarily with clinical operations) on which the VHA health care facilities operate their software applications and support for E-Government initiatives. It includes the computer equipment associated with clinical operations and the employees (approximately 2500 Full-Time Equivalents (FTE)) necessary to operate the system. VistA is a client-server system. It links the facility computer network to over 100 applications and databases. In 2006, the VistA system supported IT services across the VA organization which had a network of 21 Veterans Integrated Service Networks (VISNs) that managed 155 medical centers, over 881 community based outpatient clinics (CBOCs), 46 residential rehabilitation treatment programs, 135 nursing homes, 207 readjustment counseling centers, 57 veteran benefits regional offices, and 125 national cemeteries. VistA provides critical data that supports the delivery of healthcare to veterans and their dependents. Using the computer, the VA health care provider can access VistA applications and meet a wide range of health care data needs. The VistA system operates in medical centers, ambulatory and community-based clinics, nursing homes and domiciliarys. The VistA system is in the mature phase of the capital investment lifecycle.</p> <p>VistA is an integrated system of software applications that directly supports patient care at VHA healthcare facilities. VistA operates under the auspices of the VHA Office of Information. It connects VHA facilities' workstations and PCs with nationally mandated and locally adapted software applications that are accessed by end users through a graphical user interface known as the Computerized Patient Record System (CPRS). Each VistA application generates at least one data file. Within these files are the clinical, administrative, and computer infrastructure-related data that support day-to-day operations and contain patients' medical and healthcare utilization histories, including data on demographics, episodes of care, medicines, practitioner information, diagnoses, procedures, etc. All patients treated at VA Medical Centers are included in the files, which are updated continuously at the point of care or as part of administrative processes. Data are entered into VistA by way of manual entry, bar codes, and automated instrumentation. Some data are derived from central financial, personnel and operational systems and distributed to local facilities' VistA files.</p>			
Facility or Program Office Name:		Eastern Colorado Health Care System (ECHCS)			
Title:		Name:		Phone:	
Privacy Officer:		Marie Zaepfel		303-399-8020, x2176	
Information Security Officer:		Young Ja Kahn		303-370-7581	
System Owner/Delegate:		Donald Huckaby		303-393-5289	
Chief Information Officer:		Donald Huckaby		303-393-5289	
Information Owner:		Lynette Roff		303-393-2800	
Other Titles:					
Person Completing Document:		Eduardo Lorenzo		303-316-6618	
Other Titles:					
Date of Last Full Approved PIA by VACO Privacy Services: (MM/YYYY)				02/2009	
What specific legal authorities authorize this program or system:		Title 38, US Code, Section 7301(a)			
What is the expected number of individuals that will have their PII stored in this system:				344,000 Patients and 9,500 Employees	
Identify what stage the System / Application / Program is at:				Operations/Maintenance	
The approximate date (MM/YYYY) the system will be operational (if in the Design or Development stage), or the approximate number of years the system/application/program has been in operation.				Operational, 13 plus years.	
Is there an authorized change control process which documents any changes to existing applications or systems?				<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA	
If No, (Explain on Tab 8)					

Is there a contingency plan in place to process information when the system is down?		<input checked="" type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> N/A : First PIA
Has a PIA been completed within the last three years?		<input checked="" type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> N/A : First PIA
FISMA QUESTIONS				
1. Is this a new system?		<input type="radio"/> Yes	<input checked="" type="radio"/> No	
2. Does this system contain Federal information in identifiable form?		<input checked="" type="radio"/> Yes	<input type="radio"/> No	
3. Does the system include information on the public?		<input checked="" type="radio"/> Yes	<input type="radio"/> No	
4. Is there a Privacy Impact Assessment (PIA) that covers this system?		<input checked="" type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> National Security System under 40 U.S.C. 11103, a PIA is not required for this system
5. Is Federal-owned information in this system retrieved by name or unique		<input checked="" type="radio"/> Yes	<input type="radio"/> No	
6. What is the System of Records Notice (SORN) for this system?		79VA19		
7. Has this SORN been reviewed or updated within the last three years?		No, never reviewed.		
Date of Report (MM/YYYY):				20-Jan-12
Any check mark in the boxes below will require a full PIA. Please continue to the next TAB and complete the remaining questions.				
If there is no Personally Identifiable Information on your system, please complete TAB 2 & TAB 12. (See Comment for Definition of PII)				
<input checked="" type="checkbox"/> Have any changes been made to the system since the last PIA?				
<input type="checkbox"/> Is this a PIV system/application/program collecting PII data from Federal employees, contractors, or others performing work for the VA?				
<input checked="" type="checkbox"/> Will this system/application/program retrieve information on the basis of name, unique identifier, symbol or other PII data?				
<input checked="" type="checkbox"/> Does this system/application/program collect, store, or disseminate PII/PHI data?				
<input checked="" type="checkbox"/> Does this system/application/program collect, store or disseminate the SSN?				
Directions				

(FY 2012) PIA: System of Records

***Green Highlight = Must Answer Question**

- 1. Is a SORN (System of Records Notice) Required?
- 2. Is there a SORN already in place?

<input checked="" type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Not Sure
<input checked="" type="radio"/> Yes	<input type="radio"/> No	

***If Yes, select all of the appropriate SORN number(s):
 ***If Not Sure, continue to question 3

***Click to add. Delete SORN by highlighting SORN and comma if included and press the Delete key or place focus on area to delete all SORNs.

LIST OF SORN NUMBER(S) :

79VA19 , 23VA16, 24VA19, 77VA10Q, 88VA244 , 97VA105 , 100VA10NS10, 113VA112, 114VA16

For each applicable System(s) of Records, list:

- 3. If records are retrieved using any of the following entities, A SORN will be required (Please check all that apply)

<input checked="" type="checkbox"/> Full Name
<input checked="" type="checkbox"/> Maiden Name
<input checked="" type="checkbox"/> Mother's Maiden Name
<input checked="" type="checkbox"/> Alias
<input checked="" type="checkbox"/> Social Security Number
<input type="checkbox"/> Passport Number
<input type="checkbox"/> Driver's License Number
<input type="checkbox"/> Taxpayer Identification Number
<input type="checkbox"/> Financial Account Number
<input type="checkbox"/> Credit Card Number
<input checked="" type="checkbox"/> Street Address
<input type="checkbox"/> Email Address
<input checked="" type="checkbox"/> Photographic Image
<input type="checkbox"/> Fingerprints
<input checked="" type="checkbox"/> Handwriting
<input type="checkbox"/> Other Biometric Data
<input type="checkbox"/> Other (Explain on Tab 8)

<input checked="" type="radio"/> Yes	<input type="radio"/> No
<input checked="" type="radio"/> Yes	<input type="radio"/> No

- 4. Based on Question 3, is a SORN required?

***If Yes, has the process begun to obtain/acquire a SORN

Location where the specific applicable System of Records Notice may be accessed:

http://www.rms.oit.va.gov/SOR_Records.asp

(FY 2012) PIA: Data Collection And Storage

*Green Highlight = Must Answer Question

Please fill in each column for the data types selected.

Data Type	Collection Method	What are the subjects told about the intended use of their information?	How is this message conveyed to them?	How is a privacy notice provided?
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	Paper & Electronic	Healthcare	Written	Written
Family Relation (spouse, children, parents, grandparents, etc)	Paper & Electronic	Healthcare	Written	Written
Service Information	Paper & Electronic	Healthcare	Written	Written
Medical Information	Paper & Electronic	Healthcare	Written	Written
Criminal Record Information	N/A	N/A		
Guardian Information	Paper & Electronic	Healthcare	Written	Written
Education Information	Paper & Electronic	N/A	Written	Written
Benefit Information	Paper & Electronic	Healthcare	Written	Written
Other (Explain on Tab 8)				
Data Type	Storage Method	Source (if requested, identify the specific file, entity and/or name of agency)	Is data collection Mandatory or Voluntary?	
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	Verbally
Family Relation (spouse, children, parents, grandparents, etc)	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	On The Form
Service Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	On The Form
Medical Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	On The Form
Criminal Record Information	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	On The Form
Guardian Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	On The Form
Education Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	On The Form
Benefit Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	On The Form
Other (Explain on Tab 8)	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	On The Form
	<i>(Please Select Yes/No)</i>			
Proximity and Timing: Is the privacy notice provided at the time of data collection?	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Purpose: Does the privacy notice describe the principal purpose(s) for which the information will be used?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Authority: Does the privacy notice specify the effects of providing information on a voluntary basis?	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Disclosures: Does the privacy notice specify routine use(s) that may be made of the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
	<i>routine use(s)</i>			

(FY 2012) PIA: Data Sharing		** Any connection external to VA requires an ISA/MOU per VA 6500. This section below must be consistent with your System Security Plan Interconnection Security Agreement section.			
*Green Highlight = Must Answer Question					
Organization	Name of Agency/Organization	Do they access this system?	Identify the type of Data Sharing	Is PII or PHI Shared?	What is the procedure you reference for the release of information?
Internal Sharing: VA Organization		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Other Veteran Organization		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Other Federal Government Agency		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
State Government Agency		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Local Government Agency		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Research Entity		<input checked="" type="radio"/> Yes <input type="radio"/> No	Healthcare	<input type="radio"/> Yes <input checked="" type="radio"/> No	MOU
<input type="checkbox"/> Other Project/ System (Explain on Tab 8)					
(FY 2012) PIA: Access to Records					
Does the system gather information from another system?		<input type="radio"/> Yes <input checked="" type="radio"/> No			
Please enter the name of the system:					
(FY 2012) PIA: Secondary Use					
Will PII data be included with any secondary use request?		<input checked="" type="radio"/> Yes <input type="radio"/> No			
Check all that apply		<input checked="" type="checkbox"/> Mental Health	<input checked="" type="checkbox"/> HIV	<input checked="" type="checkbox"/> Drug/Alcohol Counseling	
		<input checked="" type="checkbox"/> Sickie Cell	<input type="checkbox"/> Other (Explain on Tab 8)	<input checked="" type="checkbox"/> Research	

(FY 2012) PIA: Records Management		*Green Highlight = Must Answer Question	
Does this PIA form contain any sensitive information that could cause harm to the Department of Veterans Affairs or any party if disclosed to the public?			
<input type="radio"/> Yes (Explain on Tab 8) <input checked="" type="radio"/> No			
Is the data collected to only what is necessary to provide requested service?			
<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)			
Has the data provided been verified as complete?			
<input type="checkbox"/> Veteran Verified <input type="checkbox"/> Received From Database <input checked="" type="checkbox"/> Verification Unknown			
(FY 2012) PIA: Retention & Disposal			
What is the data retention period?		RCS 10-1 link for VHA: www.va.gov/vhapublications/rcs10/rcs10-1.pdf	
Answer: 75 years. IAW records disposition authority approved by the Archivist of the United States.		RCS VB-1, Part II Revised for VBA: www.benefits.va.gov/WARMS/docs/admin20/rcs/part2/part2.pdf	
		National Archives and Records Administration: www.nara.gov	
Explain why the information is needed for the indicated retention period?			
Answer: For treatment, payment and operations purposes			
What are the procedures for eliminating data at the end of the retention period?			
Answer: NIST standards			
Where are these procedures documented?			
Answer: Local and national policy on records management			
How are data retention procedures enforced?			
Answer: Records Manager			
Has the retention schedule been approved by the National Archives and Records Administration (NARA)?			
<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)			
(FY 2012) PIA: Children's Online Privacy Protection Act (COPPA)			
Will information be collected through the internet from children under age 13?			
<input type="radio"/> Yes (Explain on Tab 8) <input checked="" type="radio"/> No			

(FY 2012) PIA: Security *Green Highlight = Must Answer Question

Is the system/application/program following IT security Requirements and procedures required by Federal law and policy to ensure that information is appropriately secured.

Yes No (Explain on Tab 8)

Has the system/application/program conducted a risk assessment, identified appropriate security controls to protect against that risk, and implemented those controls.

Yes No (Explain on Tab 8)

Is security monitoring conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?

Yes No (Explain on Tab 8)

Is security assessment conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?

Yes No (Explain on Tab 8)

Is adequate physical security in place to protect against unauthorized access?

Yes No (Explain on Tab 8)

*Ensure PE 2, PE-3, PE-6, PE-7, PE-8 have been addressed appropriately for your categorization.

Explain what security risks were identified in the security assessment? (Check all that apply)

<input type="checkbox"/> Biological Release	<input checked="" type="checkbox"/> Fire	<input checked="" type="checkbox"/> Lightning Strike	<input checked="" type="checkbox"/> Terrorism
<input checked="" type="checkbox"/> Blizzard	<input checked="" type="checkbox"/> Flood	<input checked="" type="checkbox"/> Malicious Code	<input checked="" type="checkbox"/> Thunderstorm
<input checked="" type="checkbox"/> Burglary/Break In	<input checked="" type="checkbox"/> Hacker, Cracker	<input checked="" type="checkbox"/> Password Privacy Negligence	<input checked="" type="checkbox"/> Tornado
<input checked="" type="checkbox"/> Civil Unrest	<input checked="" type="checkbox"/> Mail	<input checked="" type="checkbox"/> Personnel Unavailable	<input type="checkbox"/> Tsunami
<input checked="" type="checkbox"/> Component Failure	<input checked="" type="checkbox"/> HAZMAT Release/Spill	<input checked="" type="checkbox"/> Power Failure	<input checked="" type="checkbox"/> User Negligence
<input type="checkbox"/> Dam Failure	<input checked="" type="checkbox"/> Human Health Emergency	<input checked="" type="checkbox"/> Sabotage	<input checked="" type="checkbox"/> User Sabotage
<input checked="" type="checkbox"/> Dust/Debris	<input type="checkbox"/> Hurricane	<input checked="" type="checkbox"/> System Inclusion, Break-ins	<input type="checkbox"/> Vibration
<input checked="" type="checkbox"/> Earthquake	<input checked="" type="checkbox"/> HVAC Failure	<input checked="" type="checkbox"/> System Misconfiguration	<input type="checkbox"/> Volcano
<input checked="" type="checkbox"/> Extreme Cold	<input checked="" type="checkbox"/> Indoor Humidity	<input checked="" type="checkbox"/> System Penetration	<input checked="" type="checkbox"/> Water Damage
<input checked="" type="checkbox"/> Extreme Heat	<input type="checkbox"/> Landslide	<input checked="" type="checkbox"/> System Tempering	<input checked="" type="checkbox"/> Winter Weather Hazards

*If any other risks identified, explain in Tab 8

Based upon the risks identified above, Explain what security controls are being used to mitigate these risks. (Check all that apply)

<input checked="" type="checkbox"/> Access Control	<input checked="" type="checkbox"/> Configuration Management	<input checked="" type="checkbox"/> Media Protection	<input checked="" type="checkbox"/> System and Services Acquisition
<input checked="" type="checkbox"/> Audit and Accountability	<input checked="" type="checkbox"/> Contingency Planning	<input checked="" type="checkbox"/> Personnel Security	<input checked="" type="checkbox"/> System and Communication Protection
<input checked="" type="checkbox"/> Awareness and Training	<input checked="" type="checkbox"/> Identification and Authentication	<input checked="" type="checkbox"/> Physical and Environmental Protection	<input checked="" type="checkbox"/> System and Information Integrity
<input checked="" type="checkbox"/> Security Assessment and Authorization	<input checked="" type="checkbox"/> Incident Response	<input checked="" type="checkbox"/> Risk Assessment	<input checked="" type="checkbox"/> Planning <input checked="" type="checkbox"/> Maintenance

Answer: (Other Controls) Explain on Tab 8

PIA: PIA Assessment

Based upon NIST 800-60, volume II, List the information data types chosen as a basis for your FIPS 199 System Categorization.

Answer:

C.2.5.1 Debt Collection Information Type, C.2.5.2 User Fee Collection Information, C.2.8.4 Central Property Management Information Type, C.2.8.5 Central Personnel Management Information Type, C.2.8.8 Income Information Information Type, C.2.8.9 Personal Identity and Authentication Information Information Type, C.3.1.1 Facilities, Fleet, and Equipment Management Information Type, C.3.1.3 Security Management Information Type, C.3.1.4 Travel Information Type, C.3.2.1 Assets and Liability Management Information Type, C.3.2.3 Funds Control Information Type, C.3.2.4 Accounting Information Type, C.3.2.5 Payments Information Type, C.3.2.6 Collections and Receivables Information Type, C.3.2.7 Cost Accounting/Performance Measurement Information Type, C.3.3.4 Compensation Management Information Type, C.3.3.5 Benefits Management Information Type, C.3.3.6 Employee Performance Management Information Type, C.3.4.1 Goods Acquisition Information Type, C.3.4.2 Inventory Control Information Type, C.3.4.3 Logistics Management Information Type, C.3.4.4 Services Acquisition Information Type, D.14.1 Access to Care Information Type, D.14.3 Health Care Administration Information Type, D.14.4 Health Care Delivery Services Information Type.

Availability Assessment: If the data being collected is not available to process for any reason what will the potential impact be upon the system or organization? (Choose One)

- The potential impact is high if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.
- The potential impact is moderate if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals.
- The potential impact is low if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.

Integrity Assessment: If the data being collected has been corrupted for any reason what will the potential impact be upon the system or organization? (Choose One)

- The potential impact is high if the loss of integrity could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.
- The potential impact is moderate if the loss of integrity could be expected to have a serious adverse effect on operations, assets or individuals.
- The potential impact is low if the loss of integrity could be expected to have a limited adverse effect on operations, assets or individuals.

Confidentiality Assessment: If the data being collected has been shared with unauthorized individuals what will the potential impact be upon the system or organization? (Choose One)

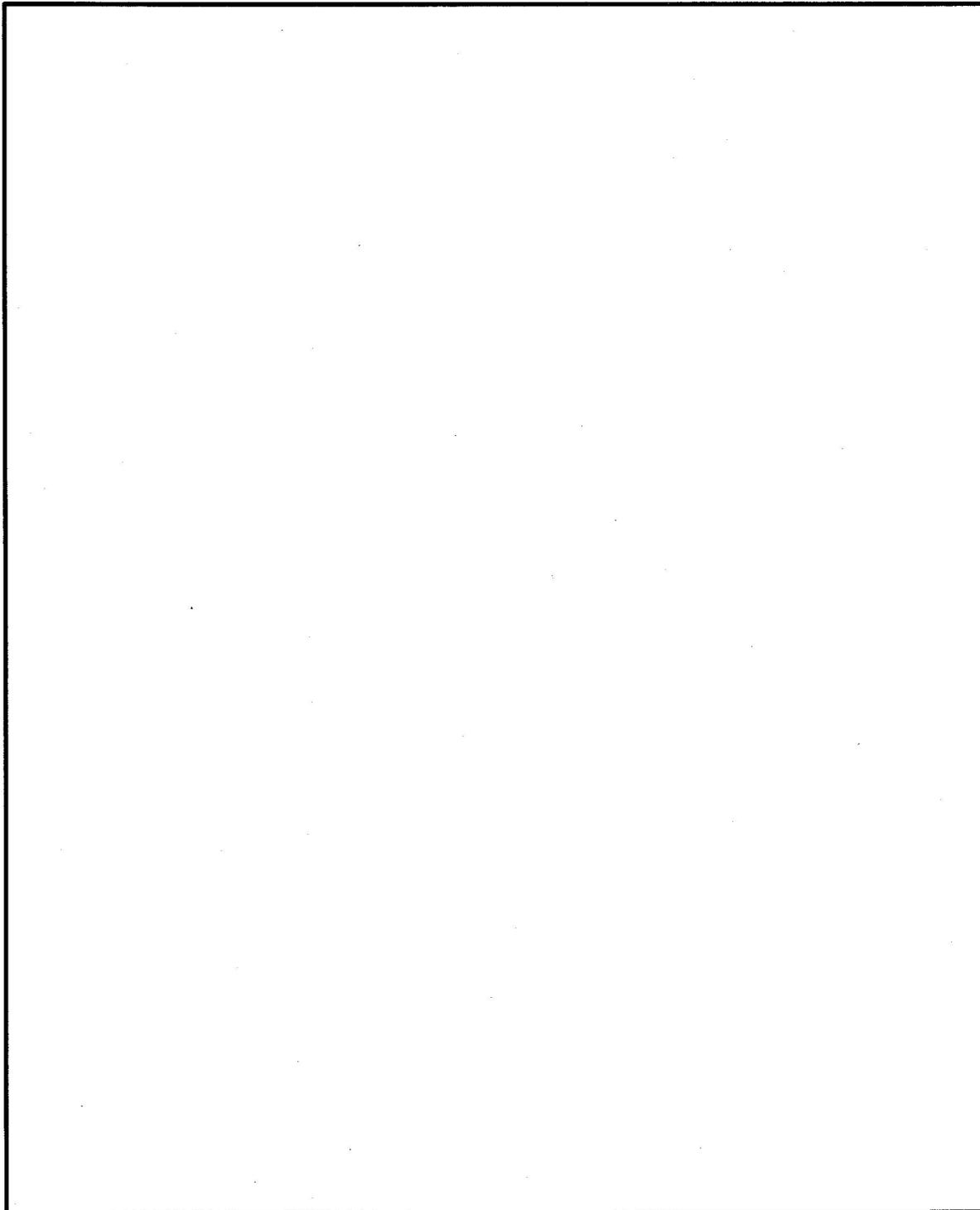
- The potential impact is high if the loss of confidentiality could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.
- The potential impact is moderate if the loss of confidentiality could be expected to have a serious adverse effect on operations, assets or individuals.
- The potential impact is low if the loss of confidentiality could be expected to have a limited adverse effect on operations, assets or individuals.

The controls are being considered for the project based on the selections from the previous assessments?

The minimum security requirements for our high impact system cover seventeen security-related areas with regard to protecting the confidentiality, integrity, and availability of VA information systems and the information processed, stored, and transmitted by those systems. The security-related areas include: access control; awareness and training; audit and accountability; certification, accreditation, and security assessments; configuration management; contingency planning; identification and authentication; incident response; maintenance; media protection; physical and environmental protection; planning; personnel security; risk assessment; systems and services acquisition; system and communications protection; and system and information integrity. Our facility employs all security controls in the respective high impact security control baseline unless specific exceptions have been allowed based on the tailoring guidance provided in NIST Special Publication 800-53 and specific VA directives.

(FY 2012) PIA: Additional Comments

Add any additional comments or information that may have been left out for any question. Please indicate the question you are responding to and then add your comments.



(FY 2012) PIA: VBA Minor Applications

Which of these are sub-components of your system?		
Access Manager	Automated Sales Reporting (ASR)	Automated Folder Processing System (AFPS)
Actuarial	BCMA Contingency Machines	Automated Medical Information Exchange II (AIME II)
Agent Orange		Automated Medical Information System (AMIS)290
Appraisal System	Centralized Property Tracking System	Automated Standardized Performance Elements Nationwide (ASPEN)
ASSISTS	Common Security User Manager (CSUM)	Broome Closet
Awards	Compensation and Pension (C&P)	Centralized Accounts Receivable System (CARS)
Baker System	Control of Veterans Records (COVERS)	Committee on Waivers and Compromises (COWC)
Bbraun (CP Hemo)	Courseware Delivery System (CDS)	Compensation and Pension (C&P) Record Interchange (CAPRI)
	Dental Records Manager	
	Education Training Website	Compensation & Pension Training Website
C&P Payment System	Electronic Appraisal System	Distribution of Operational Resources (DOOR)
C&P Training Website	Electronic Card System (ECS)	Educational Assistance for Members of the Selected Reserve Program CH 1606
CONDO PUD Builder	Electronic Payroll Deduction (EPD)	Electronic Performance Support System (EPSS)
	Eligibility Verification Report (EVR)	Enterprise Wireless Messaging System (Blackberry)
	Fiduciary Beneficiary System (FBS)	Financial Management Information System (FMI)
EndoSoft	Fiduciary STAR Case Review	Hearing Officer Letters and Reports System (HOLAR)
FOCAS	Financial and Accounting System (FAS)	Inquiry Routing Information System (IRIS)
Inforce	Insurance Unclaimed Liabilities	
INS - BIRLS	Inventory Management System (IMS)	Modern Awards Process Development (MAP-D)
Insurance Online	Interactive Voce Response (IVR)	Personal Computer Generated Letters (PCGL)
Insurance Self Service	LGY Centralized Fax System	Personnel Information Exchange System (PIES)
LGY Home Loans	Loan Service and Claims	Post Vietnam Era educational Program (VEAP) CH 32
LGY Processing		Purchase Order Management System (POMS)
MES	Loan Guaranty Training Website	Reinstatement Entitlement Program for Survivors (REAPS)
Mobilization	Mental Health Assistant	Reserve Educational Assistance Program CH 1607
Montgomery GI Bill	National Silent Monitoring (NSM)	RightFax
MUSE	Powerscribe Dictation System	Service Member Records Tracking System
Omnicell	Rating Board Automation 2000 (RBA2000)	Survivors and Dependents Education Assistance CH 35
Priv Plus	Records Locator System	Systematic Technical Accuracy Review (STAR)
RAI/MDS	Remittance Processing System	Training and Performance Support System (TPSS)
Right Now Web	Review of Quality (ROQ)	VA Online Certification of Enrollment (VA-ONCE)
SAHSHA	Search Participant Profile (SPP)	VA Reserve Educational Assistance Program
Script Pro	Spinal Bifida Program Ch 18	
SIHARE	State Benefits Reference System	Veterans Assistance Discharge System (VADS)
Sidexis	State of Case/Supplemental (SOC/SSOC)	Veterans Exam Request Info System (VERIS)
Synquest	Telecare Record Manager	Veterans Insurance Claims Tracking and Response System (VICTARS)
		Veterans Service Representative (VSR) Advisor
VBA Training Academy	VBA Enterprise Messaging System	Vocational Rehabilitation & Employment (VR&E) CH 31
Veterans Canteen Web		
VETSNET Housekeeping	Web Electronic Lender Identification	Web Automated Folder Processing System (WAFPS)
VR&E Training Website		Web Automated Reference Material System (WARMS)
Web LGY		Web Automated Verification of Enrollment
		Web-Enabled Approval Management System (WEAMS)
		Web Service Medical Records (WebSMR)
		Work Study Management System (WSMS)
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		

(FY 2012) PIA: Minor Applications A-M

Which of these are sub-components of your system?						
1184 Web		Citrix	x	Electronic Signature	x	Imaging
A4P	x	Clinical Case Registries		Embedded Fragment Registry		Incentive Awards
ACCu Care	x	Clinical Data Repository/Health Data Repository		ENCORE 2	x	Incident Reporting
ACCu Check	x	Clinical Info Resource Network		ENDSOFT	x	Income Verification Match
ACCu Med	x	Clinical Monitoring System	x	Engineering	x	Incomplete Records Tracking
Adobe Acrobat	x	Clinical Notes Templates		Enrollment Application System	x	Inpatient Medications
ADP Planning (PlanMan)	x	Clinical Procedures		Enterprise Terminology Server & VHA Enterprise Terminology Services	x	Intake/ Output
x ADT	x	Clinical Reminders		ePROMISE	x	Integrated Billing
x Adverse Reaction Tracking		Clippership	x	Equipment/ Turn-in Request	x	Integrated Patient Funds
x Agent Cashier		Combat Veteran Outreach	x	Event Capture		Interim Management Support
x Air Fortress		Committee on Waiver and Compromises	x	Event Driven Reporting		Inventory Management System
x ASISTS	x	Consult/ Request Tracking	x	Extensible Editor	x	Kernal
Authorization/ Subscription		Controlled Correspondence		External Peer Review	x	Kids
Auto Instrument	x	Controlled Substances		EYECAP		KOWA
x Auto Replenishment/ Ward Stock	x	CP&E	x	Fee Based Claims System	x	Lab Service
AUTOCAD	x	CPRS	x	Fee Basis	x	Laboratory Electronic Data Interchange
Automated Access Request	x	CPT/ HCPCS Codes		Financial and Accounting System (FAS)		Letterman
Automated Info Collection Sys	x	Credentials Tracking	x	Financial Management System (FMS)	x	Lexicon Utility
x Automated Lab Instruments	x	Credit Card Authentication		Functional Independence		Library
x Automated Med Info Exchange	x	Data Innovations	x	Gen. Med. Rec. - I/O	x	List Manager
Automated Sales Reporting		DELIVEREX	x	Gen. Med. Rec. - Vitals		Lynx Duress Alarm
AutoMed	x	Dental		Gen. Med. Rec. - Generator	x	Mallman
x Bad Code Med Admin	x	DICTATION-Power Scribe		GENDEX	x	MCCR National Database
x Barcode Medication Administration Contingency Plan (BCU)	x	Dietetics	x	Generic Code Sheet		Meadows (MDWS)
x BCMA Contingency Workstations	x	Discharge Summary		Genesys	x	Medicine
BDN 301	x	DRG Groupier		Get Well Networks	x	Mental Health
x Beneficiary Travel	x	DRM Plus		GMED		MHTP
Big Fix	x	Drug Accountability		GRECC		MICOM
CA Verified Components - DSSI		DSIT	x	Health Data and Informatics		Microsoft Exchange E-mail System
x Capacity Management - RUM	x	DSS Extracts	x	Health Level Seven		Military/Vet Eye Injury Registry
Capacity Management Tools	x	DSS Quadramed	x	Health Summary	x	Minimal Patient Dataset
x CAPRI	x	EDS Whiteboard (AVIED)		Health Summary Contingency		Missing Patient Reg (Original) A4EL
Cardiff Teleform		Education Tracking	x	HINQ	x	Mumps AudioFAX
Cardiology Systems (stand alone servers from the network)	x	EEO Complaint Tracking	x	Hospital Based Home Care		MyHealthEVet
x Care Management	x	EKG System	x	ICB		
x CareTracker		Electronic Card System (ECD)	x	ICR - Immunology Case Registry		
CHECKPOINT		Electronic Payroll Deduction (EPD)	x	IFCAP		
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.						
Name						
Description						
Comments						
Is PII collected by this minor application?						
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If yes, where?						
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If yes, where?						
Who has access to this data?						

(FY 2012) PIA: VISTA Minor Applications N-Z

Which of these are sub-components of your system?				
	<input checked="" type="checkbox"/>	National Cemetery Association	<input checked="" type="checkbox"/>	Pharmacy Data Management
	<input checked="" type="checkbox"/>	National Drug File	<input checked="" type="checkbox"/>	Pharmacy National Database
	<input checked="" type="checkbox"/>	National Laboratory Test	<input checked="" type="checkbox"/>	Pharmacy Prescription Practice
	<input checked="" type="checkbox"/>	NDBI	<input checked="" type="checkbox"/>	PICIS OR
	<input checked="" type="checkbox"/>	Network Health Exchange	<input checked="" type="checkbox"/>	Police & Security
	<input checked="" type="checkbox"/>	NOAHLINK	<input checked="" type="checkbox"/>	Problem List
	<input checked="" type="checkbox"/>	NOIS	<input checked="" type="checkbox"/>	Progress Notes
	<input checked="" type="checkbox"/>	Nursing Service	<input checked="" type="checkbox"/>	Prosthetics
	<input checked="" type="checkbox"/>	Occurrence Screen	<input checked="" type="checkbox"/>	Purchase Order Management System
	<input checked="" type="checkbox"/>	Omniceil	<input checked="" type="checkbox"/>	Pyxis
	<input checked="" type="checkbox"/>	Oncology	<input checked="" type="checkbox"/>	Q-Matic
	<input checked="" type="checkbox"/>	Onvicord (VLOG)	<input checked="" type="checkbox"/>	QMSI Prescription Processing
	<input checked="" type="checkbox"/>	Optifill	<input checked="" type="checkbox"/>	Quality Assurance Integration
	<input checked="" type="checkbox"/>	Order Entry/ Results Reporting	<input checked="" type="checkbox"/>	Quality Improvement Checklist
	<input checked="" type="checkbox"/>	Outpatient Pharmacy	<input checked="" type="checkbox"/>	QUASER
	<input checked="" type="checkbox"/>	P2000 ROBOT	<input checked="" type="checkbox"/>	Radiology/ Nuclear Medicine
	<input checked="" type="checkbox"/>	PACS database	<input checked="" type="checkbox"/>	RAF
	<input checked="" type="checkbox"/>	Patch Module	<input checked="" type="checkbox"/>	RALS
	<input checked="" type="checkbox"/>	Patient Data Exchange	<input checked="" type="checkbox"/>	Record Tracking
	<input checked="" type="checkbox"/>	Patient Feedback	<input checked="" type="checkbox"/>	Registration
	<input checked="" type="checkbox"/>	Patient Representative	<input checked="" type="checkbox"/>	Release of Information - DSSI
	<input checked="" type="checkbox"/>	PCE Patient Care Encounter	<input checked="" type="checkbox"/>	Remote Order/ Entry System
	<input checked="" type="checkbox"/>	Personal Computer Generated Letters	<input checked="" type="checkbox"/>	RPC Broker
	<input checked="" type="checkbox"/>	Pharmacy Benefits Management	<input checked="" type="checkbox"/>	Run Time Library
			<input checked="" type="checkbox"/>	SAGG
			<input checked="" type="checkbox"/>	SAN
			<input checked="" type="checkbox"/>	Scanning Exam and Evaluation System
			<input checked="" type="checkbox"/>	Scheduling
			<input checked="" type="checkbox"/>	Security Suite Utility Pack
			<input checked="" type="checkbox"/>	Sentillion
			<input checked="" type="checkbox"/>	Shift Change Handoff Tool
			<input checked="" type="checkbox"/>	ShoreTel
			<input checked="" type="checkbox"/>	Social Work
			<input checked="" type="checkbox"/>	Stellent
			<input checked="" type="checkbox"/>	Stentor
			<input checked="" type="checkbox"/>	Surgey
			<input checked="" type="checkbox"/>	Survey Generator
			<input checked="" type="checkbox"/>	Telecare Record Manager
			<input checked="" type="checkbox"/>	Temp Trak
			<input checked="" type="checkbox"/>	Text Integration Utilities
			<input checked="" type="checkbox"/>	Tickler Database
			<input checked="" type="checkbox"/>	Toolkit
			<input checked="" type="checkbox"/>	TopCon
			<input checked="" type="checkbox"/>	TraceMaster
			<input checked="" type="checkbox"/>	Tracking Continuing Education
			<input checked="" type="checkbox"/>	Traumatic Brain Injury
			<input checked="" type="checkbox"/>	Unwinder
			<input checked="" type="checkbox"/>	Utility Management Rollup
			<input checked="" type="checkbox"/>	Utilization Review
			<input checked="" type="checkbox"/>	VA Conference Room Registration
			<input checked="" type="checkbox"/>	VA Fileman
			<input checked="" type="checkbox"/>	VAMedSafe
			<input checked="" type="checkbox"/>	VBECS
			<input checked="" type="checkbox"/>	VDEF
			<input checked="" type="checkbox"/>	Vendor - Document Storage Sys
			<input checked="" type="checkbox"/>	Veterans Canteen Web
			<input checked="" type="checkbox"/>	Veterans Information Solution
			<input checked="" type="checkbox"/>	VHAHUNAPP1
			<input checked="" type="checkbox"/>	VHAHUNFPC1
			<input checked="" type="checkbox"/>	VHS & RA Tracking System
			<input checked="" type="checkbox"/>	Visit Tracking
			<input checked="" type="checkbox"/>	VISTA RAD
			<input checked="" type="checkbox"/>	VISTA RO
			<input checked="" type="checkbox"/>	VistALink
			<input checked="" type="checkbox"/>	VistALink Security
			<input checked="" type="checkbox"/>	Visual Impairment Service Team ANRV
			<input checked="" type="checkbox"/>	Vitria BusinessWare
			<input checked="" type="checkbox"/>	VIXS
			<input checked="" type="checkbox"/>	Voluntary Timekeeping
			<input checked="" type="checkbox"/>	Voluntary Timekeeping National
			<input checked="" type="checkbox"/>	WEB HINQ
			<input checked="" type="checkbox"/>	Whiteboard
			<input checked="" type="checkbox"/>	Women's Health
			<input checked="" type="checkbox"/>	Workload and Overtime
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.				
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(FY 2012) PIA: Final Signatures		*Green Highlight = Must Answer Question	
Facility Name:	Eastern Colorado Health Care System (ECHCS)		
Title:	Name:	Phone:	Email:
Privacy Officer:	Marie Zaepfel	303-399-8020. x2176	Marie.Zaepfel@va.gov
	<i>Marie Zaepfel 3-27-2012</i>		
Information Security Officer:	Young Ja Kahn	303-370-7581	Youngja.Kahn@va.gov
	<i>Young Ja Kahn 3-27-2012</i>		
System Owner/Delegate:	Donald Huckaby	303-393-5289	Donald.Huckaby@va.gov
	<i>Donald Huckaby 3-27-2012</i>		
Chief Information Officer:	Donald Huckaby	303-393-5289	Donald.Huckaby@va.gov
	<i>Donald Huckaby 3-27-2012</i>		
Other Titles:		0	0 0
Date of Report:	20-Jan-12		
OMB Unique Project Identifier	029-00-01-11-01-1180-00		
Project Name	Region 1 > VHA > VISN 19 > Eastern Colorado HCS (Denver) > VistA - VMS		
<p>The Signature Process:</p> <ul style="list-style-type: none"> • Complete the PIA form. • Name the PIA Excel FORM ["FY12-Region # - Facility Name - Facility # -Date(mmdyyyyy).xls"] <ul style="list-style-type: none"> • Example: "FY12-Region3-Lexington VAMC-596-10302008.xls" • Submit the completed PIA Excel form to SMART Database. • Fix errors the reviewers sent back, rename the file and submit to SMART Database <ul style="list-style-type: none"> • If no errors, convert form into PDF with Nuance PDF Professional. • Name the PIA PDF form ["FY12-Region #-Facility Name- Facility # -Date(mmdyyyyy).xls"] <ul style="list-style-type: none"> • Obtain digital signatures on the "Final Signatures tab" • Submit signed PIA PDF form to the SMART Database. 			