

<u>Welcome to the PIA for FY 2012!</u>	
Congress passed the E-Government Act of 2002 to encourage the use of Web-based Internet applications or other information technology by Government agencies, with the intention of enhancing access to government information and services and increasing the effectiveness, efficiency, and quality of government operations.	Macros Must Be Enabled To Use Full Functionality For This Form Template!
	Microsoft Office 2003: To enable macros, go to: 1) Tools > Macros > Security - Set to Medium; 2) Click OK; 3) Close the file and when reopening click on <u>Enable Macros at the prompt</u> . Or 1) When file opens click on <u>Enable Macros at the prompt</u> .
To combat public concerns regarding the disclosure of private information, the E-Government Act mandated various measures, including the requirement that Federal agencies conduct a Privacy Impact Assessment (PIA) for projects with information technology systems that collect, maintain, and/or disseminate "personally identifiable information" of the public. Personally identifiable information, or "personal information," is information that may be used to identify a specific person.	Microsoft Office 2007: To enable macros, go to: 1) Office Button > Prepare > Excel Options > Trust Center > Trust Center Settings > Macro Settings > Enable All Macros; 2) Click OK
The Privacy Act and VA policy require that personally identifiable information only be used for the purpose(s) for which it was collected, unless consent (opt-in) is granted. Individuals must be provided an opportunity to provide consent for any secondary use of information, such as use of collected information for marketing.	Final Signatures.
	Final signatures are digitally signed or wet signatures on a case by case basis. All signatures should be done when all modifications have been approved by the VA Privacy Service and the reviewer has indicated that the signature is all that is necessary to obtain approval.
Directions: VA 6508 is the directive which outlines the PIA requirement for every System/Application/Program. If you find that you can't click on checkboxes, make sure that you are: 1) Not in "design mode" and 2) you have enabled macros.	Privacy Impact Assessment Uploaded into SMART All PIA Validation Letters should be mailed to Christina.Pettit@va.gov to receive full credit for submission.
INTERNAL WEBSITE : http://vawww.privacy.va.gov/PIA.asp EXTERNAL WEBSITE : http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp	Various Privacy Data Websites: SORNS - http://www.rms.oit.va.gov/SOR_Records.asp Directive Itself (6508): http://www.va.gov/vapubs/viewPublication.asp?Pub_ID=414&FTYPE=2 Schedule FY 2012 : http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp
Roles and Responsibilities:	
Roles and responsibilities for the specific process are clearly defined for all levels of staff in the VA Directive 6508 referenced in the procedure section of this document.	
a. Privacy Officer is responsible for the overall coordination and review of the PIA to ensure compliance with VA Directive 6508	
b. Records Officer is responsible for supplying records retention and deletion schedules	
c. Information Technology (IT) staff responsible for the privacy of the system data will perform a PIA in accordance with VA Directive 6508 and to immediately report all anomalies to the Privacy Service and appropriate management chain.	
d. Information Security Officer (ISO) is responsible for assisting the Privacy Officer and providing information regarding security controls.	
e. Chief Information Officer (CIO) is responsible for ensuring that the systems under his or her jurisdiction undergo a PIA. This responsibility includes identifying the IT systems; coordinating with the Privacy Officer, Information Security Officer, and others who have concerns about privacy and security issues; and reviewing and approving the PIA before submission to the Privacy Service.	
Definition of PII (Personally Identifiable Information)	
Personally Identifiable Information (PII) is —any information about an individual maintained by an agency, including (1) any information that can be used to distinguish or trace an individual's identity, such as name, social security number, date and place of birth, mother's maiden name, or biometric records; and (2) any other information that is linked or linkable to an individual, such as medical, educational, financial, and employment information.	
Examples of PII include, but are not limited to:	
• Personal identification number, such as social security number (SSN), passport number, driver's license number, taxpayer identification number, or financial account or credit card number	
• Address information, such as street address or email address	
• Personal characteristics, including photographic image (especially of face or other identifying characteristic), fingerprints, handwriting, or other biometric data (e.g., retina scan, voice signature, facial geometry)	
• Information about an individual that is linked or linkable to one of the above (e.g., date of birth, place of birth, race, religion, weight, activities, geographical indicators, employment information, medical information, education information, financial information).	
Organizations should minimize the use, collection, and retention of PII to what is strictly necessary to accomplish their business purpose and mission.	
A "routine use" is a Privacy Act discretionary authority published in the Federal Register that permits VA to disclose information or records from a Privacy Act-protected record without the patient's prior signed authorization. A "routine use" permits the:	
(1) Release of PHI only when disclosure is also authorized by other applicable legal authorities, including 45 CFR Parts 160 and 164;	
(2) Release of drug or alcohol abuse, HIV, or sickle cell anemia medical information only when the disclosure is also authorized by 38 U.S.C. 7332.	

(FY 2012) PIA: System Information		Green Highlight = Must Answer Question		*Yellow Highlight = Required to Sign PIA	
Program or System Name (as shown in SMART):		Region 1 > VHA > VISN 18 > El Paso HCS > LAN			
OMB Unique System / Application / Program Identifier (UPID #):		029-00-02-00-01-1120-00			
Description of System/ Application/ Program : "must match what is stated in System Security Plan (SSP)"		This local area network is located at El Paso Veterans Affairs Health Care System (ELPVHCS) and remote sites of Las Cruces, New Mexico or El Paso, Texas Veteran Center. This local Area Network is essential for all aspects of the ELPVHCS operations including but not limited to processing patient care (scheduling, outpatient treatment) admin operations, financial process, electronic e-mail, and pharmacy operations. VISN 18 employees a WAN and each site employees an internal LAN to interconnect user client computers with information servers and often employs backbone links between multiple LANs.			
Facility or Program Office Name:		El Paso VAHCS			
Title:		Name:	Phone:	Email:	
Privacy Officer:		Jill Winstead	915-564-7813	jill.winstead@va.gov	
Information Security Officer:		Ruben Rosales	915-564-7520	ruben.rosales@va.gov	
System Owner/Delegate:		Mark Cecil	208-573-6697	mark.cecil@va.gov	
Chief Information Officer:		Tony Huerta-Montoya	915-564-7938	tony.huerta-montoya@va.gov	
Information Owner:		Joan Ricard	915-564-7904	joan.ricard2@va.gov	
Other Titles:					
Person Completing Document:					
Other Titles:					
Date of Last Full Approved PIA by VACO Privacy Services: (MM/YYYY)				07/2008	
What specific legal authorities authorize this program or system:		Title 38USC, Section 7301			
What is the expected number of individuals that will have their PII stored in this system:		64000			
Identify what stage the System / Application / Program is at:		Operations/Maintenance			
The approximate date (MM/YYYY) the system will be operational (if in the Design or Development stage), or the approximate number of years the system/application/program has been in operation.		10 yrs			
Is there an authorized change control process which documents any changes to existing applications or systems?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA			
If No, (Explain on Tab 8)					
Is there a contingency plan in place to process information when the system is down?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA			
Has a PIA been completed within the last three years?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA			
FISMA QUESTIONS					
1. Is this a new system?		<input type="radio"/> Yes <input checked="" type="radio"/> No			
2. Does this system contain Federal information in identifiable form?		<input type="radio"/> Yes <input checked="" type="radio"/> No			
3. Does the system include information on the public?		<input checked="" type="radio"/> Yes <input type="radio"/> No			
4. Is there a Privacy Impact Assessment (PIA) that covers this system?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> National Security System under 40 U.S.C. 11103, a PIA is not required for this system			
5. What is the System of Records Notice (SORN) for this system?		24VA19; 79VA19			
6. What is the System of Records Notice (SORN) for this system?		24VA19; 79VA19			
7. Has this SORN been reviewed or updated within the last three years?		Yes two years ago			
Date of Report (MM/YYYY):		Nov-11			
Any check mark in the boxes below will require a full PIA. Please continue to the next TAB and complete the remaining questions.					
If there is no Personally Identifiable Information on your system, please complete TAB 2 & TAB 12. (See Comment for Definition of PII)					
<input type="checkbox"/> Have any changes been made to the system since the last PIA?					
<input type="checkbox"/> Is this a PIV system/application/program collecting PII data from Federal employees, contractors, or others performing work for the VA?					
<input checked="" type="checkbox"/> Will this system/application/program retrieve information on the basis of name, unique identifier, symbol or other PII data?					
<input checked="" type="checkbox"/> Does this system/application/program collect, store, or disseminate PII/PHI data?					
<input checked="" type="checkbox"/> Does this system/application/program collect, store or disseminate the SSN?					

□ How the system/applications program works or understand the work.

Directions				

(FY 2012) PIA: System of Records

*Green Highlight = Must Answer Question

- 1. Is a SORN (System of Records Notice) Required?
- 2. Is there a SORN already in place?

<input checked="" type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Not Sure
<input checked="" type="radio"/> Yes	<input type="radio"/> No	

***If Yes, select all of the appropriate SORN number(s):
***If Not Sure, continue to question 3

***Click to add. Delete SORN by highlighting SORN and comma if included and press the Delete key or place focus on area to delete all SORNs.

LIST OF SORN NUMBER(S) :

79VA19 ; 24VA19

For each applicable System(s) of Records, list:

- 3. If records are retrieved using any of the following entities, A SORN will be required (Please check all that apply)

<input checked="" type="checkbox"/> Full Name
<input type="checkbox"/> Maiden Name
<input type="checkbox"/> Mother's Maiden Name
<input checked="" type="checkbox"/> Alias
<input checked="" type="checkbox"/> Social Security Number
<input type="checkbox"/> Passport Number
<input type="checkbox"/> Driver's License Number
<input type="checkbox"/> Taxpayer Identification Number
<input checked="" type="checkbox"/> Financial Account Number
<input type="checkbox"/> Credit Card Number
<input type="checkbox"/> Street Address
<input type="checkbox"/> Email Address
<input type="checkbox"/> Photographic Image
<input type="checkbox"/> Fingerprints
<input type="checkbox"/> Handwriting
<input type="checkbox"/> Other Biometric Data
<input type="checkbox"/> Other (Explain on Tab 8)

- 4. Based on Question 3, is a SORN required?

<input checked="" type="radio"/> Yes	<input type="radio"/> No
<input checked="" type="radio"/> Yes	<input type="radio"/> No

***If Yes, has the process begun to obtain/acquire a SORN

Location where the specific applicable System of Records Notice may be accessed:

http://www.rms.oit.va.gov/SOR_Records.asp

(FY 2012) PIA: Data Collection And Storage		*Green Highlight = Must Answer Question		
Please fill in each column for the data types selected.				
Data Type	Collection Method	What are the subjects told about the intended use of their information?	How is this message conveyed to them?	How is a privacy notice provided?
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	Verbal	Healthcare	Verbal	Written
Family Relation (spouse, children, parents, grandparents, etc)	ALL	Healthcare	Verbal	Written
Service Information	ALL	Eligibility	Provided By Another System	Written
Medical Information	ALL	Healthcare	Verbal	Written
Criminal Record Information	Electronic/File Transfer	N/A	N/A	Written
Guardian Information	ALL	Healthcare	Verbal	Written
Education Information	N/A			Written
Benefit Information	ALL	Healthcare	Written	Written
Other (Explain on Tab 8)				
Data Type	Storage Method	Source (If requested, identify the specific file, entity and/or name of agency)	Is data collection Mandatory or Voluntary?	
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	On The Form
Family Relation (spouse, children, parents, grandparents, etc)	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	On The Form
Service Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	On The Form
Medical Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	On The Form
Criminal Record Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	On The Form
Guardian Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	On The Form
Education Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	On The Form
Benefit Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	On The Form
Other (Explain on Tab 8)	<input checked="" type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	Verbally
	<i>(Please Select Yes/No)</i>			
Proximity and Timing: Is the privacy notice provided at the time of data collection?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Purpose: Does the privacy notice describe the principal purpose(s) for which the information will be used?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Authority: Does the privacy notice specify the effects of providing information on a voluntary basis?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Disclosures: Does the privacy notice specify routine use(s) that may be made of the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
	routine use(s)			



(FY 2012) PIA: Data Sharing *Green Highlight = Must Answer Question		** Any connection external to VA requires an ISA/MOU per VA 6500. This section below System Security Plan Interconnection Security Agreement section must be consistent with your			
Organization	Name of Agency/Organization	Do they access this system?	Identify the type of Sharing Data	Is PII or PHI Shared?	What is the procedure you reference for the release of information?
Internal Sharing: VA Organization	VBA	<input checked="" type="radio"/> Yes <input type="radio"/> No	Patient Eligibility	<input checked="" type="radio"/> Yes <input type="radio"/> No	VBA IRM Handbook
Other Veteran Organization		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Other Federal Government Agency	DOD	<input checked="" type="radio"/> Yes <input type="radio"/> No	Healthcare	<input checked="" type="radio"/> Yes <input type="radio"/> No	VHA Handbook 1605.2
State Government Agency		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Local Government Agency		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Research Entity		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
<input type="checkbox"/> Other Project/ System (Explain on Tab 8)					
(FY 2012) PIA: Access to Records					
Does the system gather information from another system?		<input checked="" type="radio"/> Yes <input type="radio"/> No			
Please enter the name of the system:		HEC			
(FY 2012) PIA: Secondary Use					
Will PII data be included with any secondary use request?		<input checked="" type="radio"/> Yes <input type="radio"/> No	<input checked="" type="checkbox"/> Mental Health	<input checked="" type="checkbox"/> HIV	<input checked="" type="checkbox"/> Drug/Alcohol Counseling
Check all that apply		<input checked="" type="checkbox"/> Sickle Cell	<input type="checkbox"/> Other (Explain on Tab 8)	<input type="checkbox"/> Research	



(FY 2012) PIA: Records Management		*Green Highlight = Must Answer Question			
Does this PIA form contain any sensitive information that could cause harm to the Department of Veterans Affairs or any party if disclosed to the public?					
<input checked="" type="radio"/> Yes (Explain on Tab 8) <input type="radio"/> No					
Is the data collected to only what is necessary to provide requested service?					
<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)					
Has the data provided been verified as complete?					
<input checked="" type="checkbox"/> Veteran Verified <input checked="" type="checkbox"/> Received From Database <input type="checkbox"/> Verification Unknown					
(FY 2012) PIA: Retention & Disposal					
What is the data retention period?			RCS 10-1 link for VHA:	www.va.gov/vhapublications/rcs10/rcs10-1.pdf	
75 years past last activity			RCS VB-1, Part II Revised for VBA:	www.benefits.va.gov/WARMS/docs/admin20/rcs/part2/part2.pdf	
			National Archives and Records Administration:	www.nara.gov	
Explain why the information is needed for the indicated retention period?					
Answer: healthcare					
What are the procedures for eliminating data at the end of the retention period?					
Answer: scheduled destruction as defined per RCS10-11 (destroyed or deleted - per approved methods; shredding, destruction)					
Where are these procedures documented?					
Answer: facility policy and VHA regulations					
How are data retention procedures enforced?					
Answer: Via facility Records Management Policies and enforcement; all hard copy records have been retired to Federal Records					
Has the retention schedule been approved by the National Archives and Records Administration (NARA)					
<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)					
(FY 2012) PIA: Children's Online Privacy Protection Act (COPPA)					
Will information be collected through the internet from children under age 13?					
<input type="radio"/> Yes (Explain on Tab 8) <input checked="" type="radio"/> No					

(FY 2012) PIA: Security *Green Highlight = Must Answer Question

Is the system/application/program following IT security Requirements and procedures required by federal law and policy to ensure that information is appropriately secured.	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
Has the system/application/program conducted a risk assessment, identified appropriate security controls to protect against that risk, and implemented those controls.	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
Is security monitoring conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
Is security assessment conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
Is adequate physical security in place to protect against unauthorized access?	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)

*Ensure PE 2, PE-3, PE-6, PE-7, PE-8 have been addressed appropriately for your categorization

Explain what security risks were identified in the security assessment? (Check all that apply)

<input checked="" type="checkbox"/> Biological Release	<input checked="" type="checkbox"/> Fire	<input checked="" type="checkbox"/> Lightning Strike	<input type="checkbox"/> Terrorist
<input checked="" type="checkbox"/> Blizzard	<input type="checkbox"/> Flood	<input type="checkbox"/> Malicious Code	<input checked="" type="checkbox"/> Thunderstorm
<input checked="" type="checkbox"/> Burglary/Break In	<input type="checkbox"/> Hacker / Cracker	<input type="checkbox"/> Password Privacy Negligence	<input checked="" type="checkbox"/> Tornado
<input type="checkbox"/> Civil Unrest	<input type="checkbox"/> Hail	<input checked="" type="checkbox"/> Personnel Unavailable	<input type="checkbox"/> Tsunami
<input checked="" type="checkbox"/> Component Failure	<input type="checkbox"/> HAZMAT Release/Spill	<input checked="" type="checkbox"/> Power Failure	<input checked="" type="checkbox"/> User Negligence
<input type="checkbox"/> Dam Failure	<input type="checkbox"/> Human Health Emergency	<input type="checkbox"/> Sabotage	<input type="checkbox"/> User Sabotage
<input checked="" type="checkbox"/> Dust/Debris	<input type="checkbox"/> Hurricane	<input type="checkbox"/> System Intrusion, Break-Ins	<input type="checkbox"/> Vibration
<input type="checkbox"/> Earthquake	<input checked="" type="checkbox"/> HVAC Failure	<input type="checkbox"/> System Misconfiguration	<input type="checkbox"/> Volcano
<input type="checkbox"/> Extreme Cold	<input checked="" type="checkbox"/> Indoor Humidity	<input type="checkbox"/> System Penetration	<input checked="" type="checkbox"/> Water Damage
<input checked="" type="checkbox"/> Extreme Heat	<input type="checkbox"/> Landslide	<input type="checkbox"/> System Tampering	<input checked="" type="checkbox"/> Winter Weather Hazards

*If any other risks identified, explain in Tab 8

Based upon the risks identified above, Explain what security controls are being used to mitigate these risks. (Check all that apply)

<input checked="" type="checkbox"/> Access Control	<input checked="" type="checkbox"/> Configuration Management	<input checked="" type="checkbox"/> Media Protection	<input checked="" type="checkbox"/> System and Services Acquisition
<input checked="" type="checkbox"/> Audit and Accountability	<input checked="" type="checkbox"/> Contingency Planning	<input checked="" type="checkbox"/> Personnel Security	<input checked="" type="checkbox"/> System and Communication Protection
<input checked="" type="checkbox"/> Awareness and Training	<input checked="" type="checkbox"/> Identification and Authentication	<input checked="" type="checkbox"/> Physical and Environmental Protection	<input checked="" type="checkbox"/> System and Information Integrity
<input checked="" type="checkbox"/> Security Assessment and Authorization	<input checked="" type="checkbox"/> Incident Response	<input checked="" type="checkbox"/> Risk Assessment	<input checked="" type="checkbox"/> Planning <input checked="" type="checkbox"/> Maintenance

Answer: (Other Controls) Explain on Tab 8

PIA: PIA Assessment

Based upon NIST 800-60, volume II; List the information data types chosen as a basis for your FIPS 199 System Categorization.

Answer: health Care Delivery Services Information Type

<p>Availability Assessment: If the data being collected is not available to process for any reason what will the potential impact be upon the system or organization? (Choose One)</p>	<input checked="" type="checkbox"/> The potential impact is high if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is moderate if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is low if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.
<p>Integrity Assessment: If the data being collected has been corrupted for any reason what will the potential impact be upon the system or organization? (Choose One)</p>	<input checked="" type="checkbox"/> The potential impact is high if the loss of integrity could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is moderate if the loss of integrity could be expected to have a serious adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is low if the loss of integrity could be expected to have a limited adverse effect on operations, assets or individuals.
<p>Confidentiality Assessment: If the data being collected has been shared with unauthorized individuals what will the potential impact be upon the system or organization? (Choose One)</p>	<input checked="" type="checkbox"/> The potential impact is high if the loss of confidentiality could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is moderate if the loss of confidentiality could be expected to have a serious adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is low if the loss of confidentiality could be expected to have a limited adverse effect on operations, assets or individuals.

The controls are being considered for the project based on the selections from the previous assessments?
 The minimum security requirements for our high impact system cover seventeen security-related areas with regard to protecting the confidentiality, integrity, and availability of VA information systems and the information processed, stored, and transmitted by those systems. The security-related areas include: access control; awareness and training; audit and accountability; certification, accreditation, and security assessments; configuration management; contingency planning; identification and authentication; incident response; maintenance; media protection; physical and environmental protection; planning; personnel security; risk assessment; systems and services acquisition; system and communications protection; and system and information integrity. Our facility employs all security controls in the respective high impact security control baseline unless specific exceptions have been allowed based on the tailoring guidance provided in NIST Special Publication 800-53 and specific VA directives.

(FY 2012) PIA: Additional Comments

Add any additional comments or information that may have been left out for any question. Please indicate the question you are responding to and then add your comments.

(FY 2012) PIA: VBA Minor Applications

Which of these are sub-components of your system?		
Access Manager	Automated Sales Reporting (ASR)	Automated Folder Processing System (AFPS)
Actuarial	BCMA Contingency Machines	Automated Medical Information Exchange II (AIME II)
Agent Orange	Centralized Property Tracking System	Automated Medical Information System (AMIS)290
Appraisal System	Common Security User Manager (CSUM)	Automated Standardized Performance Elements Nationwide (ASPEN)
ASSISTS	Compensation and Pension (C&P)	Broome Closet
Awards	Control of Veterans Records (COVERS)	Centralized Accounts Receivable System (CARS)
Baker System	Courseware Delivery System (CDS)	Committee on Waivers and Compromises (COWC)
Bbraun (CP Hemo)	Dental Records Manager	Compensation and Pension (C&P) Record Interchange (CAPRI)
	Education Training Website	
	Electronic Appraisal System	Distribution of Operational Resources (DOOR)
C&P Payment System	Electronic Card System (ECS)	Educational Assistance for Members of the Selected Reserve Program CH 1606
C&P Training Website	Electronic Payroll Deduction (EPD)	Electronic Performance Support System (EPSS)
CONDO PUD Builder	Eligibility Verification Report (EVR)	Enterprise Wireless Messaging System (Blackberry)
	Fiduciary Beneficiary System (FBS)	Financial Management Information System (FMI)
	Fiduciary STAR Case Review	Hearing Officer Letters and Reports System (HOLAR)
EndoSoft	Financial and Accounting System (FAS)	Inquiry Routing Information System (IRIS)
FOCAS	Insurance Unclaimed Liabilities	
Inforce	Inventary Management System (IMS)	Modern Awards Process Development (MAP-D)
INS - BIRLS	Interactive Voce Response (IVR)	Personal Computer Generated Letters (PCGL)
Insurance Online	LGY Centralized Fax System	Personnel Information Exchange System (PIES)
Insurance Self Service	Loan Service and Claims	Post Vietnam Era educational Program (VEAP) CH 32
LGY Home Loans	Loan Guaranty Training Website	Purchase Order Management System (POMS)
LGY Processing	Mental Health Assistant	Reinstatement Entitlement Program for Survivors (REAPS)
MES	National Silent Monitoring (NSM)	Reserve Educational Assistance Program CH 1607
Mobilization	PowerScribe Dictation System	RightFax
Montgomery GI Bill	Rating Board Automation 2000 (RBA2000)	Service Member Records Tracking System
MUSE	Records Locator System	Survivors and Dependents Education Assistance CH 35
Omniceil	Remittance Processing System	Systematic Technical Accuracy Review (STAR)
Priv Plus	Review of Quality (ROQ)	Training and Performance Support System (TPSS)
RAI/MDS	Search Participant Profile (SPP)	VA Online Certification of Enrollment (VA-ONCE)
Right Now Web	Spinal Bifida Program Ch 18	
SAHSHA	State Benefits Reference System	VA Reserve Educational Assistance Program
Script Pro	State of Case/Supplemental (SOC/SSOC)	Veterans Assistance Discharge System (VADS)
SHARE	Telecare Record Manager	Veterans Exam Request Info System (VERIS)
SHARE		Veterans Insurance Claims Tracking and Response System (VICTARS)
Sidexis		Veterans Service Representative (VSR) Advisor
Synquest	VBA Enterprise Messaging System	
VBA Training Academy	Web Electronic Lender Identification	Vocational Rehabilitation & Employment (VR&E) CH 31
Veterans Canteen Web		Web Automated Folder Processing System (WAFPS)
VETSNET Housekeeping		Web Automated Reference Material System (WARMS)
VR&E Training Website		Web Automated Verification of Enrollment
Web LGY		Web-Enabled Approval Management System (WEAMS)
		Web Service Medical Records (WebSMR)
		Work Study Management System (WSMS)
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		

(FY 2012) PIA: Minor Applications A-M

Which of these are sub-components of your system?						
1184 Web	x	Citrix	x	Electronic Signature	x	Imaging
AAP	x	Clinical Case Registries		Embedded Fragment Registry		Incentive Awards
ACCU Care	x	Clinical Data Repository/Health Data Repository		ENCORE 2	x	Incident Reporting
ACCU Check		Clinical Info Resource Network		ENDSOFT		Income Verification Match
ACCU Med	x	Clinical Monitoring System	x	Engineering		Incomplete Records Tracking
X Adobe Acrobat		Clinical Notes Templates		Enrollment Application System		Inpatient Medications
ADP Planning (PlanMan)	x	Clinical Procedures		Enterprise Terminology Server & VHA Enterprise Terminology Services		Intake/ Output
ADT	x	Clinical Reminders		ePROMISE	x	Integrated Billing
Adverse Reaction Tracking		Clippership	x	Equipment/ Turn-in Request	x	Integrated Patient Funds
X Agent Cashier		Combat Veteran Outreach	x	Event Capture	x	Interim Mangement Support
X Air Fortress		Committee on Waiver and Compromises	x	Event Driven Reporting	x	Inventory Management System
X ASISTS		Consult/ Request Tracking		Extensible Editor	x	Kernal
Authorization/ Subscription		Controlled Correspondence	x	External Peer Review		Kids
Auto Instrument		Controlled Substances		EYECAP		KOWA
Auto Replenishment/ Ward Stock		CP&E	x	Fee Based Claims System	x	Lab Service
X AUTOCAD	x	CPRS	x	Fee Basis	x	Laboratory Electronic Data Interchange
Automated Access Request		CPT/ HCPCS Codes	x	Financial and Accounting System (FAS)		Letterman
Automated Info Collection Sys	x	Credentials Tracking	x	Financial Management System (FMS)	x	Lexicon Utility
Automated Lab Instruments		Credit Card Authentication	x	Functional Independence	x	Library
Automated Med Info Exchange		Data Innovations		Gen. Med. Rec. - I/O	x	List Manager
Automated Sales Reporting		DELIVEREX		Gen. Med. Rec. - Vitals		Lynx Duress Alarm
AutoMed	x	Dental		Gen. Med. Rec. - Generator	x	Mailman
Bad Code Med Admin	x	DICTATION-Power Scribe		GENDEX		MCCR National Database
Barcode Medication Administration Contingency Plan (BCU)	x	Dietetics	x	Generic Code Sheet		Meadows (MDWS)
BCMA Contingency Workstations	x	Discharge Summary		Genesys	x	Medicine
BDN 301	x	DRG Grouper		Get Well Networks	x	Mental Health
X Beneficiary Travel		DRM Plus		GMED		MHTP
X Big Fix		Drug Accountability		GRECC		MICOM
X CA Verified Components - DSSI		DSIT	x	Health Data and Informatics	x	Microsoft Exchange E-mail System
X Capacity Management - RUM	x	DSS Extracts	x	Health Level Seven		Military/Vet Eye Injury Registry
X Capacity Management Tools	x	DSS Quadramed		Health Summary		Minimal Patient Dataset
X CAPRI		EDS Whiteboard (AVIED)		Health Summary Contingency		Missing Patient Reg (Original) A4EL
Cardiff Teleform		Education Tracking	x	HINQ	x	Mumps AudioFAX
Cardiology Systems (stand alone servers from the network)	x	EEO Complaint Tracking	x	Hospital Based Home Care	x	MyHealthEVet
X Care Management	x	EKG System	x	ICB		
CareTracker	x	Electronic Card System (ECD)	x	ICR - Immunology Case Registry		
CHECKPOINT	x	Electronic Payroll Deduction (EPD)	x	IFCAP		
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.						
Name						
Description						
Comments						
Is PII collected by this minor application?						
Does this minor application store PII?						
If yes, where?						
Who has access to this data?						
Name						
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(FY 2012) PIA: VISTA Minor Applications N-Z

Which of these are sub-components of your system?				
National Cemetery Association	Pharmacy Data Management	x	Scanning Exam and Evaluation System	VBEC5
National Drug File	Pharmacy National Database	x	Scheduling	VDEF
National Laboratory Test	Pharmacy Prescription Practice		Security Suite Utility Pack	Vendor - Document Storage Sys
NDBI	PICIS OR	x	Sentillion	x Veterans Canteen Web
Network Health Exchange	Police & Security		Shift Change Handoff Tool	Veterans Information Solution
NOAHLINK	Problem List	x	ShoreTel	VHAHUNAPP1
NOIS	Progress Notes	x	Social Work	VHAHUNFPC1
Nursing Service	x Prosthetics		Stellant	VHS & RA Tracking System
Occurrence Screen	x Purchase Order Management System		Stentor	Visit Tracking
x Omnicell	Pyxis	x	Surgery	VISTA RAD
Oncology	x Q-Matic		Survey Generator	VISTA RO
Onvicon (VLOG)	QMSI Prescription Processing		Telecare Record Manager	VISTALink
x Optifill	x Quality Assurance Integration	x	Temp Trak	VISTALink Security
Order Entry/ Results Reporting	x Quality Improvement Checklist		Text Integration Utilities	Visual Impairment Service Team ANRV
x Outpatient Pharmacy	x QUASER		Tickler Database	Vitria BusinessWare
P2000 ROBOT	x Radiology/ Nuclear Medicine		Toolkit	VIXS
PACS database	RAFT	x	TopCon	Voluntary Timekeeping
Patch Module	RALS		TraceMaster	Voluntary Timekeeping National
Patient Data Exchange	Record Tracking		Tracking Continuing Education	WEB HINQ
Patient Feedback	Registration		Traumatic Brain Injury	Whiteboard
x Patient Representative	x Release of Information - DSSI		Unwinder	x Women's Health
PCE Patient Care Encounter	Remote Order/ Entry System		Utility Management Rollup	Workload and Overtime
x Personal Computer Generated Letters	RPC Broker		Utilization Review	
Pharmacy Benefits Mangement	Run Time Library		VA Conference Room Registration	
	SAGG	x	VA Fileman	
	SAN		VAMedSafe	
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.				
Name				
Description				
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Name				
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Who has access to this data?				

(FY 2012) PIA: Final Signatures		*Green Highlight = Must Answer Question	
Facility Name:	El Paso VAHCS		
Title:	Name:	Phone:	Email:
Privacy Officer:	Jill Winstead	915-564-7813	julie.winstead@va.gov
Digital Signature Block			
Information Security Officer:	Ruben Rosales	915-564-7520	ruben.rosales@va.gov
Digital Signature Block			
System Owner/Delegate:	Mark Cecil	208-573-6697	mark.cecil@va.gov
Digital Signature Block			
Chief Information Officer:	Tony Huerta-Montoya	915-564-7938	tony.huerta-montoya@va.gov
Digital Signature Block			
Other Titles:	0	0	0
Digital Signature Block			
Date of Report:	1-Nov-11		
OMB Unique Project Identifier	029-00-02-00-01-1120-00		
Project Name	Region 1 > VHA > VISN 18 > El Paso HCS > LAN		
<p>The Signature Process:</p> <ul style="list-style-type: none"> • Complete the PIA form. • Name the PIA Excel FORM ["FY12-Region # - Facility Name - Facility # -Date(mmddyyyy).xls"] <ul style="list-style-type: none"> • Example: "FY12-Region3-Lexington VAMC-596-10302008.xls" • Submit the completed PIA Excel form to SMART Database. • Fix errors the reviewers sent back, rename the file and submit to SMART Database <ul style="list-style-type: none"> • If no errors, convert form into PDF with Nuance PDF Professional. • Name the PIA PDF form ["FY12-Region #-Facility Name- Facility # -Date(mmddyyyy).xls"] <ul style="list-style-type: none"> • Obtain digital signatures on the "Final Signatures tab" • Submit signed PIA PDF form to the SMART Database. 			

(FY 2012) PIA: Final Signatures

*Green Highlight = Must Answer Question

Facility Name:	El Paso VAHCS		
PIA Title:	Name:	Phone:	Email:
Privacy Officer:	Jill Winstead	915-564-7813	jill.winstead@va.gov
Information Security Officer:	Ruben Rosales	915-564-7520	ruben.rosales@va.gov
System Owner/Delegate:	Mark Cecil	208-573-6697	mark.cecil@va.gov
Chief Information Officer:	Tony Huerta-Montoya	915-564-7938	tony.huerta-montoya@va.gov
Other Titles:	0	0	0
Date of Report:	1-Nov-11		
OMB Unique Project Identifier	029-00-02-00-01-1120-00		
Project Name	Region 1 > VHA > VISN 18 > El Paso HCS > LAN		

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