

<b>Welcome to the PIA for FY 2012!</b>	
Congress passed the E-Government Act of 2002 to encourage the use of Web-based Internet applications or other information technology by Government agencies, with the intention of enhancing access to government information and services and increasing the effectiveness, efficiency, and quality of government operations.	<b>Macros Must Be Enabled To Use Full Functionality For This Form Template!</b>
	<b>Microsoft Office 2003:</b> To enable macros, go to: 1) Tools > Macros > Security - Set to Medium; 2) Click OK; 3) Close the file and when reopening click on <u>Enable Macros at the prompt.</u> Or 1) When file opens click on <u>Enable Macros at the prompt.</u>
To combat public concerns regarding the disclosure of private information, the E-Government Act mandated various measures, including the requirement that Federal agencies conduct a Privacy Impact Assessment (PIA) for projects with information technology systems that collect, maintain, and/or disseminate "personally identifiable information" of the public. Personally identifiable information, or "personal information," is information that may be used to identify a specific person.	<b>Microsoft Office 2007:</b> To enable macros, go to: 1) Office Button > Prepare > Excel Options > Trust Center > Trust Center Settings > Macro Settings > Enable All Macros; 2) Click OK
The Privacy Act and VA policy require that personally identifiable information only be used for the purpose(s) for which it was collected, unless consent (opt-in) is granted. Individuals must be provided an opportunity to provide consent for any secondary use of information, such as use of collected information for marketing.	<b>Final Signatures.</b>
	Final signatures are digitally signed or wet signatures on a case by case basis. All signatures should be done when all modifications have been approved by the VA Privacy Service and the reviewer has indicated that the signature is all that is necessary to obtain approval.
<b>Directions:</b>	<b>Privacy Impact Assessment Uploaded into SMART</b>
VA 6508 is the directive which outlines the PIA requirement for every System/Application/Program. If you find that you can't click on checkboxes, make sure that you are: 1) Not in "design mode" and 2) you have enabled macros.	All PIA Validation Letters should be mailed to <a href="mailto:Christina.Pettit@va.gov">Christina.Pettit@va.gov</a> to receive full credit for submission.
<b>INTERNAL WEBSITE :</b> <a href="http://vawww.privacy.va.gov/PIA.asp">http://vawww.privacy.va.gov/PIA.asp</a>	<b>Various Privacy Data Websites:</b>
<b>EXTERNAL WEBSITE :</b> <a href="http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp">http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp</a>	SORNS : <a href="http://www.rms.oit.va.gov/SOR_Records.asp">http://www.rms.oit.va.gov/SOR_Records.asp</a>
	Directive Itself (6508): <a href="http://www.va.gov/vapubs/viewPublication.asp?Pub_ID=414&amp;FTYPE=2">http://www.va.gov/vapubs/viewPublication.asp?Pub_ID=414&amp;FTYPE=2</a>
<b>Roles and Responsibilities:</b>	Schedule FY 2012 : <a href="http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp">http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp</a>
Roles and responsibilities for the specific process are clearly defined for all levels of staff in the VA Directive 6508 referenced in the procedure section of this document.	
a. <b>Privacy Officer</b> is responsible for the overall coordination and review of the PIA to ensure compliance with VA Directive 6508	
b. <b>Records Officer</b> is responsible for supplying records retention and deletion schedules	
c. <b>Information Technology (IT)</b> staff responsible for the privacy of the system data will perform a PIA in accordance with VA Directive 6508 and to immediately report all anomalies to the Privacy Service and appropriate management chain.	
d. <b>Information Security Officer (ISO)</b> is responsible for assisting the Privacy Officer and providing information regarding security controls.	
e. <b>Chief Information Officer (CIO)</b> is responsible for ensuring that the systems under his or her jurisdiction undergo a PIA. This responsibility includes identifying the IT systems; coordinating with the Privacy Officer, Information Security Officer, and others who have concerns about privacy and security issues; and reviewing and approving the PIA before submission to the Privacy Service.	
<b>Definition of PII (Personally Identifiable Information)</b>	
<b>Personally Identifiable Information (PII)</b> is —any information about an individual maintained by an agency, including (1) any information that can be used to distinguish or trace an individual's identity, such as name, social security number, date and place of birth, mother's maiden name, or biometric records; and (2) any other information that is linked or linkable to an individual, such as medical, educational, financial, and employment information.	
<b>Examples of PII include, but are not limited to:</b>	
• Personal identification number, such as social security number (SSN), passport number, driver's license number, taxpayer identification number, or financial account or credit card	
• Address information, such as street address or email address	
• Personal characteristics, including photographic image (especially of face or other identifying characteristic), fingerprints, handwriting, or other biometric data (e.g., retina scan, voice signature, facial geometry)	
• Information about an individual that is linked or linkable to one of the above (e.g., date of birth, place of birth, race, religion, weight, activities, geographical indicators, employment information, medical information, education information, financial information).	
Organizations should minimize the use, collection, and retention of PII to what is strictly necessary to accomplish their business purpose and mission.	
<b>A "routine use" is a Privacy Act discretionary authority published in the Federal Register that permits VA to disclose information or records from a Privacy Act-protected record without the patient's prior signed authorization. A "routine use" permits the:</b>	
(1) Release of PHI only when disclosure is also authorized by other applicable legal authorities, including 45 CFR Parts 160 and 164;	
(2) Release of drug or alcohol abuse, HIV, or sickle cell anemia medical information only when the disclosure is also authorized by 38 U.S.C. 7332.	

(FY 2012) PIA: System Information		*Green Highlight = Must Answer Question	*Yellow Highlight = Required to Sign PIA
Program or System Name (as shown in SMART):	REGION 1 > VHA > VISN 18 > Phoenix HCS > VistA-VMS		
OMB Unique System / Application / Program Identifier (AKA: UPID #):	029-00-01-01-1180-00		
Description of System/ Application/ Program : "must match what is stated in System Security Plan (SSP)"	PVAHCS medical center uses VA VISTA, (formerly DHCP, Decentralized Hospital Computer Program), an integrated hospital information system. DHCP was an M based internally developed portfolio and VA VISTA encompasses DHCP and a variety of other clinical and administrative applications, some on single-use platforms. VA VISTA contains the PVAHCS patient database and the menu options that allow PVAHCS staff members access to the information. System is physically located at Region 1 in Denver.		
Facility or Program Office Name:	Phoenix VA Health Care System		
Title:	Name:	Phone:	Email:
Privacy Officer:	Mary Monet	602 277 5551 x2342	<a href="mailto:Mary.Monet@va.gov">Mary.Monet@va.gov</a>
Information Security Officer:	Bj Munoz	602 277 5551 x7156	<a href="mailto:bj.munoz@va.gov">bj.munoz@va.gov</a>
System Owner/Delegate:	Robert L. Jones	602 277 5551 x6314	<a href="mailto:Robert.Jones23@va.gov">Robert.Jones23@va.gov</a>
Chief Information Officer:	Van Gray	602 277 5551 x6082	<a href="mailto:van.gray@va.gov">van.gray@va.gov</a>
Information Owner:	Van Gray	602 277 5551 x6082	<a href="mailto:van.gray@va.gov">van.gray@va.gov</a>
Other Titles:			
Person Completing Document:	Robert L. Jones	602 277 5551 x6314	<a href="mailto:Robert.Jones23@va.gov">Robert.Jones23@va.gov</a>
Other Titles:			
Date of Last Full Approved PIA by VACO Privacy Services: (MM/YYYY)			07/2010
What specific legal authorities authorize this program or system:	Title 38, U.S.C, Section 7301 (a)		
What is the expected number of individuals that will have their PII stored in this system:	350000		
Identify what stage the System / Application / Program is at:	Operations/Maintenance		
The approximate date (MM/YYYY) the system will be operational (if in the Design or Development stage), or the approximate number of years the system/application/program has been in operation.	25 years		
Is there an authorized change control process which documents any changes to existing applications or systems?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA		
If No, (Explain on Tab 8)			
Is there a contingency plan in place to process information when the system is down?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA		
Has a PIA been completed within the last three years?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA		
<b>FISMA QUESTIONS</b>			
1. Is this a new system?	<input checked="" type="radio"/> Yes <input type="radio"/> No		
2. Does this system contain Federal information in identifiable form?	<input checked="" type="radio"/> Yes <input type="radio"/> No		
3. Does the system include information on the public?	<input checked="" type="radio"/> Yes <input type="radio"/> No		
4. Is there a Privacy Impact Assessment (PIA) that covers this system?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> National Security System under 40 U.S.C. 11103, a PIA is not required for this system		
5. Is Federal-owned information in this system retrieved by name or unique	<input checked="" type="radio"/> Yes <input type="radio"/> No		
6. What is the System of Records Notice (SORN) for this system?	79VA19		
7. Has this SORN been reviewed or updated within the last three years?	Yes last year		
Date of Report (MM/YYYY):	1-Nov-11		
<b>Any check mark in the boxes below will require a full PIA. Please continue to the next TAB and complete the remaining questions.</b>			
<b>If there is no Personally Identifiable Information on your system, please complete TAB 2 &amp; TAB 12. ( See Comment for Definition of PII)</b>			
<input checked="" type="checkbox"/>	Have any changes been made to the system since the last PIA?		
<input type="checkbox"/>	Is this a PIV system/application/program collecting PII data from Federal employees, contractors, or others performing work for the VA?		
<input checked="" type="checkbox"/>	Will this system/application/program retrieve information on the basis of name, unique identifier, symbol or other PII data?		
<input checked="" type="checkbox"/>	Does this system/application/program collect, store, or disseminate PII/PHI data?		
<input checked="" type="checkbox"/>	Does this system/application/program collect, store or disseminate the SSN?		
<a href="#">Directions</a>			
2- System Information			

(FY 2012) PIA: System of Records

\*Green Highlight = Must Answer Question

- 1. Is a SORN (System of Records Notice) Required?
- 2. Is there a SORN already in place?

<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Not Sure
<input type="radio"/> Yes	<input type="radio"/> No	

\*\*\*If Yes, select all of the appropriate SORN number(s):  
 \*\*\*If Not Sure, continue to question 3

\*\*\*Click to add. Delete SORN by highlighting SORN and comma if included and press the Delete key or place focus on area to delete all SORNs.

LIST OF SORN NUMBER(S) :

79VA19

For each applicable System(s) of Records, list:

- 3. If records are retrieved using any of the following entities, A SORN will be required (Please check all that apply)

<input checked="" type="checkbox"/> Full Name
<input type="checkbox"/> Maiden Name
<input type="checkbox"/> Mother's Maiden Name
<input type="checkbox"/> Alias
<input checked="" type="checkbox"/> Social Security Number
<input type="checkbox"/> Passport Number
<input type="checkbox"/> Driver's License Number
<input type="checkbox"/> Taxpayer Identification Number
<input type="checkbox"/> Financial Account Number
<input type="checkbox"/> Credit Card Number
<input type="checkbox"/> Street Address
<input type="checkbox"/> Email Address
<input type="checkbox"/> Photographic Image
<input type="checkbox"/> Fingerprints
<input type="checkbox"/> Handwriting
<input type="checkbox"/> Other Biometric Data
<input type="checkbox"/> Other (Explain on Tab 8)

- 4. Based on Question 3, is a SORN required?

<input type="radio"/> Yes	<input type="radio"/> No
<input type="radio"/> Yes	<input type="radio"/> No

\*\*\*If Yes, has the process begun to obtain/acquire a SORN

Location where the specific applicable System of Records Notice may be accessed:

[http://www.privacy.va.gov/docs/PIAlist/vista\\_region\\_1.asp](http://www.privacy.va.gov/docs/PIAlist/vista_region_1.asp)

(FY 2012) PIA: Data Collection And Storage		*Green Highlight = Must Answer Question		
Please fill in each column for the data types selected.				
Data Type	Collection Method	What are the subjects told about the intended use of their information?	How is this message conveyed to them?	How is a privacy notice provided?
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	Paper & Electronic	Healthcare	Written	Written
Family Relation (spouse, children, parents, grandparents, etc)	Paper & Electronic	Healthcare	Written	Written
Service Information	Paper & Electronic	Healthcare	Written	Written
Medical Information	Paper & Electronic	Healthcare	Written	Written
Criminal Record Information	Paper & Electronic	N/A	Written	Written
Guardian Information	Paper & Electronic	Healthcare	Written	Written
Education Information	Paper & Electronic	Healthcare	Written	Written
Benefit Information	Paper & Electronic	Benefits	Written	Written
Paper & Electronic				
Data Type	Storage Method	Source (If requested, identify the specific file, entity and/or name of agency)	Is data collection Mandatory or Voluntary?	
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	Automated
Family Relation (spouse, children, parents, grandparents, etc)	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	Automated
Service Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	Automated
Medical Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	Automated
Criminal Record Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Local Agency (Identify)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	Automated
Guardian Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	Automated
Education Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Public (identify specific entity)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	Automated
Benefit Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	Automated
Other (Explain on Tab 8)	<input checked="" type="radio"/> Yes <input type="radio"/> No		<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	Automated
<i>(Please Select Yes/No)</i>				
Proximity and Timing: Is the privacy notice provided at the time of data collection?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Purpose: Does the privacy notice describe the principal purpose(s) for which the information will be used?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Authority: Does the privacy notice specify the effects of providing information on a voluntary basis?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Disclosures: Does the privacy notice specify routine use(s) that may be made of the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
	<a href="#">routine use(s)</a>			

(FY 2012) PIA: Data Sharing *Green Highlight = Must Answer Question		** Any connection external to VA requires an ISA/MOU per VA 6500. This section below must be consistent with your System Security Plan Interconnection Security Agreement section.			
Organization	Name of Agency/Organization	Do they access this system?	Identify the type of Data Sharing	Is PII or PHI Shared?	What is the procedure you reference for the release of information?
Internal Sharing: VA Organization	VBA, HEC, MPI	<input checked="" type="radio"/> Yes <input type="radio"/> No	Healthcare	<input type="radio"/> Yes <input checked="" type="radio"/> No	VHA Handbook 1605.2
Other Veteran Organization	NONE	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Other Federal Government Agency	SSD, DOD	<input checked="" type="radio"/> Yes <input type="radio"/> No	Healthcare	<input type="radio"/> Yes <input checked="" type="radio"/> No	VHA Handbook 1605.2
State Government Agency	Veteran's Health Care	<input checked="" type="radio"/> Yes <input type="radio"/> No	Healthcare	<input type="radio"/> Yes <input checked="" type="radio"/> No	VHA Handbook 1605.2
Local Government Agency	Police	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Research Entity	None	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
<input type="checkbox"/> Other Project/ System (Explain on Tab 8)					
<b>(FY 2012) PIA: Access to Records</b>					
Does the system gather information from another system?		<input checked="" type="radio"/> Yes <input type="radio"/> No			
Please enter the name of the system:		VISTA-VMS			
<b>(FY 2012) PIA: Secondary Use</b>					
Will PII data be included with any secondary use request?		<input checked="" type="radio"/> Yes <input type="radio"/> No			
Check all that apply		<input checked="" type="checkbox"/> Mental Health	<input checked="" type="checkbox"/> HIV	<input checked="" type="checkbox"/> Drug/Alcohol Counseling	
		<input checked="" type="checkbox"/> Sickle Cell	<input type="checkbox"/> Other (Explain on Tab 8)	<input checked="" type="checkbox"/> Research	

(FY 2012) PIA: Records Management		*Green Highlight = Must Answer Question	
Does this PIA form contain any sensitive information that could cause harm to the Department of Veterans Affairs or any party if disclosed to the public?			
<input type="radio"/> Yes (Explain on Tab 8) <input checked="" type="radio"/> No			
Is the data collected to only what is necessary to provide requested service?			
<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)			
Has the data provided been verified as complete?			
<input checked="" type="checkbox"/> Veteran Verified <input type="checkbox"/> Received From Database <input type="checkbox"/> Verification Unknown			
<b>(FY 2012) PIA: Retention &amp; Disposal</b>			
What is the data retention period?		RCS 10-1 link for VHA: <a href="http://www.va.gov/vhapublications/rcs10/rcs10-1.pdf">www.va.gov/vhapublications/rcs10/rcs10-1.pdf</a>	
Forever (no data is deleted from VistA)		RCS VB-1, Part II Revised for VBA: <a href="http://www.benefits.va.gov/WARMS/docs/admin20/rcs/part2/part2.pdf">www.benefits.va.gov/WARMS/docs/admin20/rcs/part2/part2.pdf</a>	
		National Archives and Records Administration: <a href="http://www.nara.gov">www.nara.gov</a>	
Explain why the information is needed for the indicated retention period?			
Health care			
What are the procedures for eliminating data at the end of the retention period?			
data is not deleted			
Where are these procedures documented?			
Do not remove data so there is no procedures documented.			
How are data retention procedures enforced?			
VistA System does not allow deletion.			
Has the retention schedule been approved by the National Archives and Records Administration (NARA)			
<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)			
<b>(FY 2012) PIA: Children's Online Privacy Protection Act (COPPA)</b>			
Will information be collected through the internet from children under age 13?			
<input type="radio"/> Yes (Explain on Tab 8) <input checked="" type="radio"/> No			

(FY 2012) PIA: Security \*Green Highlight = Must Answer Question

Is the system/application/program following IT security Requirements and procedures required by federal law and policy to ensure that information is appropriately secured?  Yes  No (Explain on Tab 8)

Has the system/application/program conducted a risk assessment, identified appropriate security controls to protect against that risk, and implemented those controls..?  Yes  No (Explain on Tab 8)

Is security monitoring conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?  Yes  No (Explain on Tab 8)

Is security assessment conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?  Yes  No (Explain on Tab 8)

Is adequate physical security in place to protect against unauthorized access?  Yes  No (Explain on Tab 8)

\*Ensure PE 2, PE-3, PE-6, PE-7, PE-8 have been addressed appropriately for your categorization

Explain what security risks were identified in the security assessment? (Check all that apply)

<input checked="" type="checkbox"/> Biological Release	<input checked="" type="checkbox"/> Fire	<input type="checkbox"/> Lightning Strike	<input checked="" type="checkbox"/> Terrorism
<input type="checkbox"/> Blizzard	<input checked="" type="checkbox"/> Flood	<input checked="" type="checkbox"/> Malicious Code	<input checked="" type="checkbox"/> Thunderstorm
<input checked="" type="checkbox"/> Burglary/Break In	<input checked="" type="checkbox"/> Hacker, Cracker	<input checked="" type="checkbox"/> Password Privacy Negligence	<input type="checkbox"/> Tornado
<input checked="" type="checkbox"/> Civil Unrest	<input type="checkbox"/> Hail	<input checked="" type="checkbox"/> Personnel Unavailable	<input type="checkbox"/> Tsunami
<input checked="" type="checkbox"/> Component Failure	<input checked="" type="checkbox"/> HAZMAT Release/Spill	<input type="checkbox"/> Power Failure	<input type="checkbox"/> User Negligence
<input checked="" type="checkbox"/> Dam Failure	<input checked="" type="checkbox"/> Human Health Emergency	<input checked="" type="checkbox"/> Sabotage	<input checked="" type="checkbox"/> User Sabotage
<input checked="" type="checkbox"/> Dust/Debris	<input type="checkbox"/> Hurricane	<input checked="" type="checkbox"/> System Intrusion, Break-Ins	<input type="checkbox"/> Vibration
<input type="checkbox"/> Earthquake	<input type="checkbox"/> HVAC Failure	<input checked="" type="checkbox"/> System Misconfiguration	<input type="checkbox"/> Volcano
<input type="checkbox"/> Extreme Cold	<input checked="" type="checkbox"/> Indoor Humidity	<input checked="" type="checkbox"/> System Penetration	<input type="checkbox"/> Water Damage
<input checked="" type="checkbox"/> Extreme Heat	<input type="checkbox"/> Landslide	<input checked="" type="checkbox"/> System Tampering	<input type="checkbox"/> Winter Weather Hazards

\*If any other risks identified, explain in Tab 8

Based upon the risks identified above, Explain what security controls are being used to mitigate these risks. (Check all that apply)

<input checked="" type="checkbox"/> Access Control	<input checked="" type="checkbox"/> Configuration Management	<input checked="" type="checkbox"/> Media Protection	<input checked="" type="checkbox"/> System and Services Acquisition
<input checked="" type="checkbox"/> Audit and Accountability	<input checked="" type="checkbox"/> Contingency Planning	<input checked="" type="checkbox"/> Personnel Security	<input checked="" type="checkbox"/> System and Communication Protection
<input checked="" type="checkbox"/> Awareness and Training	<input checked="" type="checkbox"/> Identification and Authentication	<input checked="" type="checkbox"/> Physical and Environmental Protection	<input checked="" type="checkbox"/> System and Information Integrity
<input checked="" type="checkbox"/> Security Assessment and Authorization	<input checked="" type="checkbox"/> Incident Response	<input checked="" type="checkbox"/> Risk Assessment	<input checked="" type="checkbox"/> Planning
			<input checked="" type="checkbox"/> Maintenance

Answer: (Other Controls) Explain on Tab 8

PIA: PIA Assessment

Based upon NIST 800-60, volume II; List the Information data types chosen as a basis for your FIPS 199 System Categorization.

Answer: Provision of consent and privacy notice and security controls.

<p><u>Availability Assessment:</u> If the data being collected is not available to process for any reason what will the potential impact be upon the system or organization? (Choose One)</p>	<input checked="" type="checkbox"/> The potential impact is <b>high</b> if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is <b>moderate</b> if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is <b>low</b> if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.
<p><u>Integrity Assessment:</u> If the data being collected has been corrupted for any reason what will the potential impact be upon the system or organization? (Choose One)</p>	<input checked="" type="checkbox"/> The potential impact is <b>high</b> if the loss of integrity could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is <b>moderate</b> if the loss of integrity could be expected to have a serious adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is <b>low</b> if the loss of integrity could be expected to have a limited adverse effect on operations, assets or individuals.
<p><u>Confidentiality Assessment:</u> If the data being collected has been shared with unauthorized individuals what will the potential impact be upon the system or organization? (Choose One)</p>	<input type="checkbox"/> The potential impact is <b>high</b> if the loss of confidentiality could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals. <input checked="" type="checkbox"/> The potential impact is <b>moderate</b> if the loss of confidentiality could be expected to have a serious adverse effect on operations, assets or individuals. <input type="checkbox"/> The potential impact is <b>low</b> if the loss of confidentiality could be expected to have a limited adverse effect on operations, assets or individuals.

The controls are being considered for the project based on the selections from the previous assessments? The minimum security requirements for our high impact system cover seventeen security-related areas with regard to protecting the confidentiality, integrity, and availability of VA information systems and the information processed, stored, and transmitted by those systems. The security-related areas include: access control; awareness and training; audit and accountability; certification, accreditation, and security assessments; configuration management; contingency planning; identification and authentication; incident response; maintenance; media protection; physical and environmental protection; planning; personnel security; risk assessment; systems and services acquisition; system and communications protection; and system and information integrity. Our facility employs all security controls in the respective high impact security control baseline unless specific exceptions have been allowed based on the tailoring guidance provided in NIST Special Publication 800-53 and specific VA directives.

## (FY 2012) PIA: Additional Comments

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Add any additional comments or information that may have been left out for any question. Please indicate the question you are responding to and then add your comments.

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The VistA system has been relocated to Denver Regional Data Center. A read only database backup system is available at the Phoenix site if the Primary site (Denver) and the Secondary site (Sacramento) are unavailable.





(FY 2012) PIA: VISTA Minor Applications N-Z

Which of these are sub-components of your system?							
<input checked="" type="checkbox"/>	National Cemetery Association	<input checked="" type="checkbox"/>	Pharmacy Data Management	<input checked="" type="checkbox"/>	Scanning Exam and Evaluation System	<input checked="" type="checkbox"/>	VBECs
<input checked="" type="checkbox"/>	National Drug File	<input checked="" type="checkbox"/>	Pharmacy National Database	<input checked="" type="checkbox"/>	Scheduling	<input checked="" type="checkbox"/>	VDEF
<input checked="" type="checkbox"/>	National Laboratory Test	<input checked="" type="checkbox"/>	Pharmacy Prescription Practice	<input checked="" type="checkbox"/>	Security Suite Utility Pack	<input checked="" type="checkbox"/>	Vendor - Document Storage Sys
<input checked="" type="checkbox"/>	NDBI	<input checked="" type="checkbox"/>	PICIS OR	<input checked="" type="checkbox"/>	Sentillion	<input checked="" type="checkbox"/>	Veterans Canteen Web
<input checked="" type="checkbox"/>	Network Health Exchange	<input checked="" type="checkbox"/>	Police & Security	<input checked="" type="checkbox"/>	Shift Change Handoff Tool	<input checked="" type="checkbox"/>	Veterans Information Solution
<input checked="" type="checkbox"/>	NOAHLINK	<input checked="" type="checkbox"/>	Problem List	<input checked="" type="checkbox"/>	ShoreTel	<input checked="" type="checkbox"/>	VHAHUNAPP1
<input checked="" type="checkbox"/>	NOIS	<input checked="" type="checkbox"/>	Progress Notes	<input checked="" type="checkbox"/>	Social Work	<input checked="" type="checkbox"/>	VHAHUNFPC1
<input checked="" type="checkbox"/>	Nursing Service	<input checked="" type="checkbox"/>	Prosthetics	<input checked="" type="checkbox"/>	Stellant	<input checked="" type="checkbox"/>	VHS & RA Tracking System
<input checked="" type="checkbox"/>	Occurrence Screen	<input checked="" type="checkbox"/>	Purchase Order Management System	<input checked="" type="checkbox"/>	Stentor	<input checked="" type="checkbox"/>	Visit Tracking
<input checked="" type="checkbox"/>	Omnicell	<input checked="" type="checkbox"/>	Pyxis	<input checked="" type="checkbox"/>	Surgery	<input checked="" type="checkbox"/>	VISTA RAD
<input checked="" type="checkbox"/>	Oncology	<input checked="" type="checkbox"/>	Q-Matic	<input checked="" type="checkbox"/>	Survey Generator	<input checked="" type="checkbox"/>	VISTA RO
<input checked="" type="checkbox"/>	Onicord (VLOG)	<input checked="" type="checkbox"/>	QMSI Prescription Processing	<input checked="" type="checkbox"/>	Telecare Record Manager	<input checked="" type="checkbox"/>	VistALink
<input checked="" type="checkbox"/>	Optifill	<input checked="" type="checkbox"/>	Quality Assurance Integration	<input checked="" type="checkbox"/>	Temp Trak	<input checked="" type="checkbox"/>	VistALink Security
<input checked="" type="checkbox"/>	Order Entry/ Results Reporting	<input checked="" type="checkbox"/>	Quality Improvement Checklist	<input checked="" type="checkbox"/>	Text Integration Utilities	<input checked="" type="checkbox"/>	Visual Impairment Service Team ANRV
<input checked="" type="checkbox"/>	Outpatient Pharmacy	<input checked="" type="checkbox"/>	QUASER	<input checked="" type="checkbox"/>	Tickler Database	<input checked="" type="checkbox"/>	Vitria BusinessWare
<input checked="" type="checkbox"/>	P2000 ROBOT	<input checked="" type="checkbox"/>	Radiology/ Nuclear Medicine	<input checked="" type="checkbox"/>	Toolkit	<input checked="" type="checkbox"/>	VIXS
<input checked="" type="checkbox"/>	PACS database	<input checked="" type="checkbox"/>	RAFT	<input checked="" type="checkbox"/>	TopCon	<input checked="" type="checkbox"/>	Voluntary Timekeeping
<input checked="" type="checkbox"/>	Patch Module	<input checked="" type="checkbox"/>	RAIS	<input checked="" type="checkbox"/>	TraceMaster	<input checked="" type="checkbox"/>	Voluntary Timekeeping National
<input checked="" type="checkbox"/>	Patient Data Exchange	<input checked="" type="checkbox"/>	Record Tracking	<input checked="" type="checkbox"/>	Tracking Continuing Education	<input checked="" type="checkbox"/>	WEB HINQ
<input checked="" type="checkbox"/>	Patient Feedback	<input checked="" type="checkbox"/>	Registration	<input checked="" type="checkbox"/>	Traumatic Brain Injury	<input checked="" type="checkbox"/>	Whiteboard
<input checked="" type="checkbox"/>	Patient Representative	<input checked="" type="checkbox"/>	Release of Information - DSSI	<input checked="" type="checkbox"/>	Unwinder	<input checked="" type="checkbox"/>	Women's Health
<input checked="" type="checkbox"/>	PCE Patient Care Encounter	<input checked="" type="checkbox"/>	Remote Order/ Entry System	<input checked="" type="checkbox"/>	Utility Management Rollup	<input checked="" type="checkbox"/>	Workload and Overtime
<input checked="" type="checkbox"/>	Personal Computer Generated Letters	<input checked="" type="checkbox"/>	RPC Broker	<input checked="" type="checkbox"/>	Utilization Review		
<input checked="" type="checkbox"/>	Pharmacy Benefits Mangement	<input checked="" type="checkbox"/>	Run Time Library	<input checked="" type="checkbox"/>	VA Conference Room Registration		
		<input checked="" type="checkbox"/>	SAGG	<input checked="" type="checkbox"/>	VA Fileman		
		<input checked="" type="checkbox"/>	SAN	<input checked="" type="checkbox"/>	VAMedSafe		

Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.

Name	
Description	
Comments	
Is PII collected by this minor application?	
Does this minor application store PII?	
If yes, where?	
Who has access to this data?	
Name	
Description	
Comments	
Is PII collected by this minor application?	
Does this minor application store PII?	
If yes, where?	
Who has access to this data?	
Name	
Description	
Comments	
Is PII collected by this minor application?	
Does this minor application store PII?	
If yes, where?	
Who has access to this data?	

(FY 2012) PIA: Final Signatures		*Green Highlight = Must Answer Question	
Facility Name:	Phoenix VA Health Care System		
Title:	Name:	Phone:	Email:
Privacy Officer:	Mary Monet	602 277 5551 x2342	Mary.Monet@va.gov
Information Security Officer:	Bj Munoz	x7156	bj.munoz@va.gov
System Owner/Delegate:	Robert L. Jones	602 277 5551 x6314	Robert.Jones23@va.gov
Chief Information Officer:	Van Gray	602 277 5551 x6082	van.gray@va.gov
Other Titles:	0	0	0
Date of Report:	1-Nov-11		
OMB Unique Project Identifier	029-00-01-01-1180-00		
Project Name	REGION 1 > VHA > VISN 18 > Phoenix HCS > VistA-VMS		
<p>The Signature Process:</p> <ul style="list-style-type: none"> <li>• Complete the PIA form.</li> <li>• Name the PIA Excel FORM ["FY12-Region # - Facility Name - Facility # -Date(mmdyyyy).xls"] <ul style="list-style-type: none"> <li>• Example: "FY12-Region3-Lexington VAMC-596-10302008.xls"</li> <li>• Submit the completed PIA Excel form to SMART Database.</li> </ul> </li> <li>• Fix errors the reviewers sent back, rename the file and submit to SMART Database <ul style="list-style-type: none"> <li>• If no errors, convert form into PDF with Nuance PDF Professional.</li> </ul> </li> <li>• Name the PIA PDF form ["FY12-Region #-Facility Name- Facility # -Date(mmdyyyy).xls"] <ul style="list-style-type: none"> <li>• Obtain digital signatures on the "Final Signatures tab"</li> <li>• Submit signed PIA PDF form to the SMART Database.</li> </ul> </li> </ul>			