

<u>Welcome to the PIA for FY 2012!</u>		
Congress passed the E-Government Act of 2002 to encourage the use of Web-based Internet applications or other information technology by Government agencies, with the intention of enhancing access to government information and services and increasing the effectiveness, efficiency, and quality of government operations.		Macros Must Be Enabled To Use Full Functionality For This Form Template!
		Microsoft Office 2003: To enable macros, go to: 1) Tools > Macros > Security - Set to Medium; 2) Click OK; 3) Close the file and when reopening click on <u>Enable Macros at the prompt</u> . Or 1) When file opens click on <u>Enable Macros at the prompt</u> .
To combat public concerns regarding the disclosure of private information, the E-Government Act mandated various measures, including the requirement that Federal agencies conduct a Privacy Impact Assessment (PIA) for projects with information technology systems that collect, maintain, and/or disseminate "personally identifiable information" of the public. Personally identifiable information, or "personal information," is information that may be used to identify a specific person.		Microsoft Office 2007: To enable macros, go to: 1) Office Button > Prepare > Excel Options > Trust Center > Trust Center Settings > Macro Settings > Enable All Macros; 2) Click OK
The Privacy Act and VA policy require that personally identifiable information only be used for the purpose(s) for which it was collected, unless consent (opt-in) is granted. Individuals must be provided an opportunity to provide consent for any secondary use of information, such as use of collected information for marketing.		<u>Final Signatures</u>
		Final signatures are digitally signed or wet signatures on a case by case basis. All signatures should be done when all modifications have been approved by the VA Privacy Service and the reviewer has indicated that the signature is all that is necessary to obtain approval.
Directions: VA 6508 is the directive which outlines the PIA requirement for every System/Application/Program. If you find that you can't click on checkboxes, make sure that you are: 1) Not in "design mode" and 2) you have enabled macros.		Privacy Impact Assessment Uploaded into SMART All PIA Validation Letters should be mailed to Christina.Pettit@va.gov to receive full credit for submission.
<u>INTERNAL WEBSITE</u> : http://vawww.privacy.va.gov/PIA.asp		Various Privacy Data Websites:
<u>EXTERNAL WEBSITE</u> : http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp		SORNS : http://www.rms.oit.va.gov/SOR_Records.asp
		Directive Itself (6508): http://www.va.gov/vapubs/viewPublication.asp?Pub_ID=414&FTYPE=2
Roles and Responsibilities:		Schedule FY 2012 : http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp
Roles and responsibilities for the specific process are clearly defined for all levels of staff in the VA Directive 6508 referenced in the procedure section of this document.		
a. Privacy Officer is responsible for the overall coordination and review of the PIA to ensure compliance with VA Directive 6508		
b. Records Officer is responsible for supplying records retention and deletion schedules		
c. Information Technology (IT) staff responsible for the privacy of the system data will perform a PIA in accordance with VA Directive 6508 and to immediately report all anomalies to the Privacy Service and appropriate management chain.		
d. Information Security Officer (ISO) is responsible for assisting the Privacy Officer and providing information regarding security controls.		
e. Chief Information Officer (CIO) is responsible for ensuring that the systems under his or her jurisdiction undergo a PIA. This responsibility includes identifying the IT systems; coordinating with the Privacy Officer, Information Security Officer, and others who have concerns about privacy and security issues; and reviewing and approving the PIA before submission to the Privacy Service.		
Definition of PII (Personally Identifiable Information)		
Personally Identifiable Information (PII) is —any information about an individual maintained by an agency, including (1) any information that can be used to distinguish or trace an individual's identity, such as name, social security number, date and place of birth, mother's maiden name, or biometric records; and (2) any other information that is linked or linkable to an individual, such as medical, educational, financial, and employment information.		
Examples of PII include, but are not limited to:		
• Personal identification number, such as social security number (SSN), passport number, driver's license number, taxpayer identification number, or financial account or credit card number		
• Address information, such as street address or email address		
• Personal characteristics, including photographic image (especially of face or other identifying characteristic), fingerprints, handwriting, or other biometric data (e.g., retina scan, voice signature, facial geometry)		
• Information about an individual that is linked or linkable to one of the above (e.g., date of birth, place of birth, race, religion, weight, activities, geographical indicators, employment information, medical information, education information, financial information).		
Organizations should minimize the use, collection, and retention of PII to what is strictly necessary to accomplish their business purpose and mission.		
A "routine use" is a Privacy Act discretionary authority published in the Federal Register that permits VA to disclose information or records from a Privacy Act-protected record without the patient's prior signed authorization. A "routine use" permits the:		
(1) Release of PHI only when disclosure is also authorized by other applicable legal authorities, including 45 CFR Parts 160 and 164;		
(2) Release of drug or alcohol abuse, HIV, or sickle cell anemia medical information only when the disclosure is also authorized by 38 U.S.C. 7332.		

(FY 2012) PIA: System Information		*Green Highlight = Must Answer Question		*Yellow Highlight = Required to Sign PIA	
Program or System Name (as shown in SMART):		REGION 1 > VHA > VISN 20 > Puget Sound HCS > VISN/HCS LAN			
OMB Unique System / Application / Program Identifier (UPID #):		029-00-02-00-01-1120-00			
Description of System/ Application/ Program : "must match what is stated in System Security Plan (SSP)"		The LAN system is comprised of network devices, workstations, terminals, servers, printers, and other devices that support communications, to include routers, hubs, switches, firewalls, etc. The LAN system includes magnetic tape drives, disk drives,, and uninterruptible power supplies (UPS), all of which are used at various locations in 6 nationwide regions.			
Facility or Program Office Name:		VA Puget Sound HCS			
Title:		Name:	Phone:	Email:	
Privacy Officer:		Sweden De Matas	206-277-6039	sweden.dematas@va.gov	
Information Security Officer:		Jeffery Fuller	253-583-1024	Jeffery.Fuller@va.gov	
System Owner/Delegate:		Glenn Zwinger	206-768-5485	glenn.zwinger@va.gov	
Chief Information Officer:		Glenn Zwinger	206-768-5485	glenn.zwinger@va.gov	
Information Owner:		David Elizalde	206-277-1350	David.elizalde@va.gov	
Other Titles:					
Person Completing Document:		Sweden De Matas	206-277-6039	sweden.dematas@va.gov	
Other Titles:					
Date of Last Full Approved PIA by VACO Privacy Services: (MM/YYYY)				02/2009	
What specific legal authorities authorize this program or system:		7301(a)			
What is the expected number of individuals that will have their PII stored in this system:		100,000+			
Identify what stage the System / Application / Program is at:		Operations/Maintenance			
The approximate date (MM/YYYY) the system will be operational (if in the Design or Development stage), or the approximate number of years the system/application/program has been in operation.		01/1979			
Is there an authorized change control process which documents any changes to existing applications or systems?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA			
If No, (Explain on Tab 8)					
Is there a contingency plan in place to process information when the system is down?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA			
Has a PIA been completed within the last three years?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA			
FISMA QUESTIONS					
1. Is this a new system?		<input type="radio"/> Yes <input checked="" type="radio"/> No			
2. Does this system contain Federal information in identifiable form?		<input checked="" type="radio"/> Yes <input type="radio"/> No			
3. Does the system include information on the public?		<input type="radio"/> Yes <input checked="" type="radio"/> No			
4. Is there a Privacy Impact Assessment (PIA) that covers this system?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> National Security System under 40 U.S.C. 11103, a PIA is not required for this system			
Identifier?		<input checked="" type="radio"/> Yes <input type="radio"/> No			
6. What is the System of Records Notice (SORN) for this system?		24VA19			
7. Has this SORN been reviewed or updated within the last three years?		Yes two years ago			
Date of Report (MM/YYYY):		25-Jan-12			
Any check mark in the boxes below will require a full PIA. Please continue to the next TAB and complete the remaining questions.					
If there is no Personally Identifiable Information on your system, please complete TAB 2 & TAB 12. (See Comment for Definition of PII)					
<input checked="" type="checkbox"/> Have any changes been made to the system since the last PIA?					
<input type="checkbox"/> Is this a PIV system/application/program collecting PII data from Federal employees, contractors, or others performing work for the VA?					
<input checked="" type="checkbox"/> Will this system/application/program retrieve information on the basis of name, unique identifier, symbol or other PII data?					
<input checked="" type="checkbox"/> Does this system/application/program collect, store, or disseminate PII/PHI data?					
<input checked="" type="checkbox"/> Does this system/application/program collect, store or disseminate the SSN?					
Directions					

(FY 2012) PIA: System of Records

*Green Highlight = Must Answer Question

- 1. Is a SORN (System of Records Notice) Required?
- 2. Is there a SORN already in place?

<input checked="" type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Not Sure
<input checked="" type="radio"/> Yes	<input type="radio"/> No	

***If Yes, select all of the appropriate SORN number(s):
***If Not Sure, continue to question 3

***Click to add. Delete SORN by highlighting SORN and comma if included and press the Delete key or place focus on area to delete all SORNs.

LIST OF SORN NUMBER(S) :

24VA19

For each applicable System(s) of Records, list:

- 3. If records are retrieved using any of the following entities, A SORN will be required (Please check all that apply)

<input type="checkbox"/> Full Name
<input type="checkbox"/> Maiden Name
<input type="checkbox"/> Mother's Maiden Name
<input type="checkbox"/> Alias
<input type="checkbox"/> Social Security Number
<input type="checkbox"/> Passport Number
<input type="checkbox"/> Driver's License Number
<input type="checkbox"/> Taxpayer Identification Number
<input type="checkbox"/> Financial Account Number
<input type="checkbox"/> Credit Card Number
<input type="checkbox"/> Street Address
<input type="checkbox"/> Email Address
<input type="checkbox"/> Photographic Image
<input type="checkbox"/> Fingerprints
<input type="checkbox"/> Handwriting
<input type="checkbox"/> Other Biometric Data
<input type="checkbox"/> Other (Explain on Tab 8)

- 4. Based on Question 3, is a SORN required?

<input checked="" type="radio"/> Yes	<input type="radio"/> No
<input checked="" type="radio"/> Yes	<input type="radio"/> No

***If Yes, has the process begun to obtain/acquire a SORN

Location where the specific applicable System of Records Notice may be accessed:

http://www.rms.oit.va.gov/SOR_Records.asp

(FY 2012) PIA: Data Collection And Storage		*Green Highlight = Must Answer Question		
Please fill in each column for the data types selected.				
Data Type	Collection Method	What are the subjects told about the intended use of their information?	How is this message conveyed to them?	How is a privacy notice provided?
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	Paper & Electronic	Eligibility	Verbal/Automatic	Verbal/Automatic
Family Relation (spouse, children, parents, grandparents, etc)	Paper & Electronic	Eligibility	Verbal/Automatic	Verbal/Automatic
Service Information	Paper & Electronic	Eligibility	Verbal/Automatic	Verbal/Automatic
Medical Information	Paper & Electronic	Eligibility	Verbal/Automatic	Verbal/Automatic
Criminal Record Information	Paper & Electronic	Eligibility	Verbal/Automatic	Verbal/Automatic
Guardian Information	Paper & Electronic	Eligibility	Verbal/Automatic	Verbal/Automatic
Education Information	Paper & Electronic	Eligibility	Verbal/Automatic	Verbal/Automatic
Benefit Information	Paper & Electronic	Eligibility	Verbal/Automatic	Verbal/Automatic
Other (Explain on Tab 8)				
Data Type	Storage Method	Source (If requested, identify the specific file, entity and/or name of agency)	Is data collection Mandatory or Voluntary?	
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	
Family Relation (spouse, children, parents, grandparents, etc)	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	
Service Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	
Medical Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	
Criminal Record Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	
Guardian Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	
Education Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	
Benefit Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	
Other (Explain on Tab 8)	<input type="radio"/> Yes <input checked="" type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	
	<i>(Please Select Yes/No)</i>			
Proximity and Timing: Is the privacy notice provided at the time of data collection?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Purpose: Does the privacy notice describe the principal purpose(s) for which the information will be used?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Authority: Does the privacy notice specify the effects of providing information on a voluntary basis?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Disclosures: Does the privacy notice specify routine use(s) that may be made of the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
routine use(s)				

(FY 2012) PIA: Data Sharing *Green Highlight = Must Answer Question	** Any connection external to VA requires an ISA/MOU per VA 6500. This section below must be consistent with your System Security Plan Interconnection Security Agreement section.				
Organization	Name of Agency/Organization	Do they access this system?	Identify the type of Data Sharing	Is PII or PHI Shared?	What is the procedure you reference for the release of information?
Internal Sharing: VA Organization		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Other Veteran Organization		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Other Federal Government Agency		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
State Government Agency		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Local Government Agency		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Research Entity		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
<input type="checkbox"/> Other Project/ System (Explain on Tab 8)					
(FY 2012) PIA: Access to Records					
Does the system gather information from another system?		<input checked="" type="radio"/> Yes <input type="radio"/> No			
Please enter the name of the system:					
(FY 2012) PIA: Secondary Use					
Will PII data be included with any secondary use request?		<input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="checkbox"/> Mental Health	<input type="checkbox"/> HIV	<input type="checkbox"/> Drug/Alcohol Counseling
Check all that apply		<input type="checkbox"/> Sickle Cell	<input type="checkbox"/> Other (Explain on Tab 8)	<input type="checkbox"/> Research	

(FY 2012) PIA: Records Management		*Green Highlight = Must Answer Question	
Does this PIA form contain any sensitive information that could cause harm to the Department of Veterans Affairs or any party if disclosed to the public?			
<input type="radio"/> Yes (Explain on Tab 8) <input checked="" type="radio"/> No			
Is the data collected to only what is necessary to provide requested service?			
<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)			
Has the data provided been verified as complete?			
<input type="checkbox"/> Veteran Verified <input checked="" type="checkbox"/> Received From Database <input checked="" type="checkbox"/> Verification Unknown			
(FY 2012) PIA: Retention & Disposal			
What is the data retention period?		RCS 10-1 link for VHA: www.va.gov/vhapublications/rcs10/rcs10-1.pdf	
Answer: Varies but typically indefinite (research)		RCS VB-1, Part II Revised for VBA: www.benefits.va.gov/WARMS/docs/admin20/rcs/part2/part2.pdf	
		National Archives and Records Administration: www.nara.gov	
Explain why the information is needed for the indicated retention period?			
Answer: Quality improvement and as required by RCS 10-1			
What are the procedures for eliminating data at the end of the retention period?			
Answer: Electronic removal by the IT department			
Where are these procedures documented?			
Answer: Records Management Program and associated policies			
How are data retention procedures enforced?			
Answer: Records Manager Audit			
Has the retention schedule been approved by the National Archives and Records Administration (NARA)			
<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)			
(FY 2012) PIA: Children's Online Privacy Protection Act (COPPA)			
Will information be collected through the internet from children under age 13?			
<input type="radio"/> Yes (Explain on Tab 8) <input checked="" type="radio"/> No			

(FY 2012) PIA: Security *Green Highlight = Must Answer Question

Is the system/application/program following IT security Requirements and procedures required by federal law and policy to ensure that information is appropriately secured.	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
Has the system/application/program conducted a risk assessment, identified appropriate security controls to protect against that risk, and implemented those controls.	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
Is security monitoring conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
Is security assessment conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)
Is adequate physical security in place to protect against unauthorized access?	<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)

*Ensure PE 2, PE-3, PE-6, PE-7, PE-8 have been addressed appropriately for your categorization

Explain what security risks were identified in the security assessment? (Check all that apply)

<input checked="" type="checkbox"/> Biological Release	<input checked="" type="checkbox"/> Fire	<input checked="" type="checkbox"/> Lightning Strike	<input checked="" type="checkbox"/> Terrorist
<input checked="" type="checkbox"/> Blizzard	<input checked="" type="checkbox"/> Flood	<input checked="" type="checkbox"/> Malicious Code	<input checked="" type="checkbox"/> Thunderstorm
<input checked="" type="checkbox"/> Burglary/Break In	<input checked="" type="checkbox"/> Hacker / Cracker	<input checked="" type="checkbox"/> Poor/Privacy Negligence	<input checked="" type="checkbox"/> Tornado
<input checked="" type="checkbox"/> Civil Unrest	<input checked="" type="checkbox"/> Host	<input checked="" type="checkbox"/> Personnel Unavailable	<input checked="" type="checkbox"/> Tsunami
<input checked="" type="checkbox"/> Component Failure	<input checked="" type="checkbox"/> HAZMAT Release/Spill	<input checked="" type="checkbox"/> Power Failure	<input checked="" type="checkbox"/> User Negligence
<input checked="" type="checkbox"/> Dam Failure	<input checked="" type="checkbox"/> Human Health Emergency	<input checked="" type="checkbox"/> Sabotage	<input checked="" type="checkbox"/> User Sabotage
<input checked="" type="checkbox"/> Dust/Debris	<input checked="" type="checkbox"/> Hurricane	<input checked="" type="checkbox"/> System Intrusion, Break-Ins	<input checked="" type="checkbox"/> Vibration
<input checked="" type="checkbox"/> Earthquake	<input checked="" type="checkbox"/> HVAC Failure	<input checked="" type="checkbox"/> System Misconfiguration	<input checked="" type="checkbox"/> Volcano
<input checked="" type="checkbox"/> Extreme Cold	<input checked="" type="checkbox"/> Indoor Humidity	<input checked="" type="checkbox"/> System Penetration	<input checked="" type="checkbox"/> Water Damage
<input checked="" type="checkbox"/> Extreme Heat	<input checked="" type="checkbox"/> Landslide	<input checked="" type="checkbox"/> System Tampering	<input checked="" type="checkbox"/> Winter Weather Hazards

*If any other risks identified, explain in Tab 8

Based upon the risks identified above, Explain what security controls are being used to mitigate these risks. (Check all that apply)

<input checked="" type="checkbox"/> Access Control	<input checked="" type="checkbox"/> Configuration Management	<input checked="" type="checkbox"/> Media Protection	<input checked="" type="checkbox"/> System and Services Acquisition
<input checked="" type="checkbox"/> Audit and Accountability	<input checked="" type="checkbox"/> Contingency Planning	<input checked="" type="checkbox"/> Personnel Security	<input checked="" type="checkbox"/> System and Communication Protection
<input checked="" type="checkbox"/> Awareness and Training	<input checked="" type="checkbox"/> Identification and Authentication	<input checked="" type="checkbox"/> Physical and Environmental Protection	<input checked="" type="checkbox"/> System and Information Integrity
<input checked="" type="checkbox"/> Security Assessment and Authorization	<input checked="" type="checkbox"/> Incident Response	<input checked="" type="checkbox"/> Risk Assessment	<input checked="" type="checkbox"/> Planning <input checked="" type="checkbox"/> Maintenance

Answer: (Other Controls) Explain on Tab 8

PIA: PIA Assessment

Based upon NIST 800-60, volume II; List the information data types chosen as a basis for your FIPS 199 System Categorization.

Answer:

Health Care Delivery Services

Availability Assessment: If the data being collected is not available to process for any reason what will the potential impact be upon the system or organization? (Choose One)

- The potential impact is **high** if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.
- The potential impact is **moderate** if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals.
- The potential impact is **low** if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.

Integrity Assessment: If the data being collected has been corrupted for any reason what will the potential impact be upon the system or organization? (Choose One)

- The potential impact is **high** if the loss of integrity could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.
- The potential impact is **moderate** if the loss of integrity could be expected to have a serious adverse effect on operations, assets or individuals.
- The potential impact is **low** if the loss of integrity could be expected to have a limited adverse effect on operations, assets or individuals.

Confidentiality Assessment: If the data being collected has been shared with unauthorized individuals what will the potential impact be upon the system or organization? (Choose One)

- The potential impact is **high** if the loss of confidentiality could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.
- The potential impact is **moderate** if the loss of confidentiality could be expected to have a serious adverse effect on operations, assets or individuals.
- The potential impact is **low** if the loss of confidentiality could be expected to have a limited adverse effect on operations, assets or individuals.

The controls are being considered for the project based on the selections from the previous assessments?

The minimum security requirements for our high impact system cover seventeen security-related areas with regard to protecting the confidentiality, integrity, and availability of VA information systems and the information processed, stored, and transmitted by those systems. The security-related areas include: access control; awareness and training; audit and accountability; certification, accreditation, and security assessments; configuration management; contingency planning; identification and authentication; incident response; maintenance; media protection; physical and environmental protection; planning; personnel security; risk assessment; systems and services acquisition; system and communications protection; and system and information integrity. Our facility employs all security controls in the respective high impact security control baseline unless specific exceptions have been allowed based on the tailoring guidance provided in NIST Special Publication 800-53 and specific VA directives.

(FY 2012) PIA: Additional Comments

Add any additional comments or information that may have been left out for any question. Please indicate the question you are responding to and then add your comments.

(FY 2012) PIA: VBA Minor Applications

Which of these are sub-components of your system?		
Access Manager	Automated Sales Reporting (ASR)	Automated Folder Processing System (AFPS)
Actuarial	BCMA Contingency Machines	Automated Medical Information Exchange II (AIME II)
Agent Orange	Centralized Property Tracking System	Automated Medical Information System (AMIS)290
Appraisal System	Common Security User Manager (CSUM)	Automated Standardized Performance Elements Nationwide (ASPEN)
ASSISTS	Compensation and Pension (C&P)	Broome Closet
Awards	Control of Veterans Records (COVERS)	Centralized Accounts Receivable System (CARS)
Baker System	Courseware Delivery System (CDS)	Committee on Waivers and Compromises (COWC)
Bbraun (CP Hemo)	Dental Records Manager	Compensation and Pension (C&P) Record Interchange (CAPRI)
	Education Training Website	
	Electronic Appraisal System	Distribution of Operational Resources (DOOR)
C&P Payment System	Electronic Card System (ECS)	Educational Assistance for Members of the Selected Reserve Program CH 1606
C&P Training Website	Electronic Payroll Deduction (EPD)	Electronic Performance Support System (EPSS)
CONDO PUD Builder	Eligibility Verification Report (EVR)	Enterprise Wireless Messaging System (Blackberry)
	Fiduciary Beneficiary System (FBS)	Financial Management Information System (FMI)
	Fiduciary STAR Case Review	Hearing Officer Letters and Reports System (HOLAR)
EndoSoft	Financial and Accounting System (FAS)	Inquiry Routing Information System (IRIS)
FOCAS	Insurance Unclaimed Liabilities	Modern Awards Process Development (MAP-D)
Inforce	Inventary Management System (IMS)	Personal Computer Generated Letters (PCGL)
INS - BIRLS	Interactive Voce Response (IVR)	Personnel Information Exchange System (PIES)
Insurance Online	LGY Centralized Fax System	Post Vietnam Era educational Program (VEAP) CH 32
Insurance Self Service	Loan Service and Claims	Purchase Order Management System (POMS)
LGY Home Loans	Loan Guaranty Training Website	Reinstatement Entitlement Program for Survivors (REAPS)
LGY Processing	Mental Health Assistant	Reserve Educational Assistance Program CH 1607
MES	National Silent Monitoring (NSM)	RightFax
Mobilization	Powerscribe Dictation System	Service Member Records Tracking System
Montgomery GI Bill	Rating Board Automation 2000 (RBA2000)	Survivors and Dependents Education Assistance CH 35
MUSE	Records Locator System	Systematic Technical Accuracy Review (STAR)
Omniceil	Remittance Processing System	Training and Performance Support System (TPSS)
Priv Plus	Review of Quality (ROQ)	VA Online Certification of Enrollment (VA-ONCE)
RAI/MDS	Search Participant Profile (SPP)	VA Reserve Educational Assistance Program
Right Now Web	Spinal Bifida Program Ch 18	
SAHSHA	State Benefits Reference System	Veterans Assistance Discharge System (VADS)
Script Pro	State of Case/Supplemental (SOC/SSOC)	Veterans Exam Request Info System (VERIS)
SHARE	Telecare Record Manager	Veterans Insurance Claims Tracking and Response System (VICTARS)
Sidexis	VBA Enterprise Messaging System	Veterans Service Representative (VSR) Advisor
Synquest	Web Electronic Lender Identification	Vocational Rehabilitation & Employment (VR&E) CH 31
VBA Training Academy		Web Automated Folder Processing System (WAFPS)
Veterans Canteen Web		Web Automated Reference Material System (WARMS)
VETSNET Housekeeping		Web Automated Verification of Enrollment
VR&E Training Website		Web-Enabled Approval Management System (WEAMS)
Web LGY		Web Service Medical Records (WebSMR)
		Work Study Management System (WSMS)
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		

(FY 2012) PIA: Final Signatures		*Green Highlight = Must Answer Question	
Facility Name:			
Title:	Name:	Phone:	Email:
Privacy Officer:			1/30/12
Information Security Officer:			
Jeffery W. Fuller	<small>Digitally signed by Jeffery W. Fuller DN: cn=Jeffery W. Fuller, o=Department of Veterans Affairs, ou=Department of Veterans Affairs, email=jeffery.w.fuller@va.gov, c=US Date: 2012.01.29 13:00:57 -0800</small>		
System Owner/Delegate:			
Digital Signature Block			
Chief Information Officer:			
Digital Signature Block			
Other Titles:			
Digital Signature Block			
Date of Report:			
OMB Unique Project Identifier			
Project Name			
<p>The Signature Process:</p> <ul style="list-style-type: none"> • Complete the PIA form. • Name the PIA Excel FORM ["FY12-Region # - Facility Name - Facility # -Date(mmddyyyy).xls"] <ul style="list-style-type: none"> • Example: "FY12-Region3-Lexington VAMC-596-10302008.xls" • Submit the completed PIA Excel form to SMART Database. • Fix errors the reviewers sent back, rename the file and submit to SMART Database <ul style="list-style-type: none"> • If no errors, convert form into PDF with Nuance PDF Professional. • Name the PIA PDF form ["FY12-Region #-Facility Name- Facility # -Date(mmddyyyy).xls"] <ul style="list-style-type: none"> • Obtain digital signatures on the "Final Signatures tab" • Submit signed PIA PDF form to the SMART Database. 			