

<u>Welcome to the PIA for FY 2012!</u>	
Congress passed the E-Government Act of 2002 to encourage the use of Web-based Internet applications or other information technology by Government agencies, with the intention of enhancing access to government information and services and increasing the effectiveness, efficiency, and quality of government operations.	<b>Macros Must Be Enabled To Use Full Functionality For This Form Template!</b>
	Microsoft Office 2003: To enable macros, go to: 1) Tools > Macros > Security - Set to Medium; 2) Click OK; 3) Close the file and when reopening click on <u>Enable Macros at the prompt</u> . Or 1) When file opens click on <u>Enable Macros at the prompt</u> .
To combat public concerns regarding the disclosure of private information, the E-Government Act mandated various measures, including the requirement that Federal agencies conduct a Privacy Impact Assessment (PIA) for projects with information technology systems that collect, maintain, and/or disseminate "personally identifiable information" of the public. Personally identifiable information, or "personal information," is information that may be used to identify a specific person.	Microsoft Office 2007: To enable macros, go to: 1) Office Button > Prepare > Excel Options > Trust Center > Trust Center Settings > Macro Settings > Enable All Macros; 2) Click OK
The Privacy Act and VA policy require that personally identifiable information only be used for the purpose(s) for which it was collected, unless consent (opt-in) is granted. Individuals must be provided an opportunity to provide consent for any secondary use of information, such as use of collected information for marketing.	<b>Final Signatures</b>
	Final signatures are digitally signed or wet signatures on a case by case basis. All signatures should be done when all modifications have been approved by the VA Privacy Service and the reviewer has indicated that the signature is all that is necessary to obtain approval.
<b>Directions:</b> VA 6508 is the directive which outlines the PIA requirement for every System/Application/Program. If you find that you can't click on checkboxes, make sure that you are: 1) Not in "design mode" and 2) you have enabled macros.	<b>Privacy Impact Assessment Uploaded into SMART</b> All PIA Validation Letters should be mailed to <a href="mailto:Christina.Pettit@va.gov">Christina.Pettit@va.gov</a> to receive full credit for submission.
<b>INTERNAL WEBSITE:</b> <a href="http://www.privacy.va.gov/PIA.asp">http://www.privacy.va.gov/PIA.asp</a> <b>EXTERNAL WEBSITE:</b> <a href="http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp">http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp</a>	<b>Various Privacy Data Websites:</b> SORNs: <a href="http://www.rms.oit.va.gov/SOR_Records.asp">http://www.rms.oit.va.gov/SOR_Records.asp</a> Directive itself (6508): <a href="http://www.va.gov/vapubs/ViewPublication.asp?Pub_ID=414&amp;FTType=2">http://www.va.gov/vapubs/ViewPublication.asp?Pub_ID=414&amp;FTType=2</a> Schedule FY 2012: <a href="http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp">http://www.privacy.va.gov/PRIVACY/Privacy_Impact_Assessment.asp</a>
<b>Roles and Responsibilities:</b>	
Roles and responsibilities for the specific process are clearly defined for all levels of staff in the VA Directive 6508 referenced in the procedure section of this document.	
a. Privacy Officer is responsible for the overall coordination and review of the PIA to ensure compliance with VA Directive 6508.	
b. Records Officer is responsible for supplying records retention and deletion schedules	
c. Information Technology (IT) staff responsible for the privacy of the system data will perform a PIA in accordance with VA Directive 6508 and to immediately report all anomalies to the Privacy Service and appropriate management chain.	
d. Information Security Officer (ISO) is responsible for assisting the Privacy Officer and providing information regarding security controls.	
e. Chief Information Officer (CIO) is responsible for ensuring that the systems under his or her jurisdiction undergo a PIA. This responsibility includes identifying the IT systems; coordinating with the Privacy Officer, Information Security Officer, and others who have concerns about privacy and security issues; and reviewing and approving the PIA before submission to the Privacy Service.	
<b>Definition of PII (Personally Identifiable Information)</b>	
Personally Identifiable Information (PII) is --any information about an individual maintained by an agency, including (1) any information that can be used to distinguish or trace an individual's identity, such as name, social security number, date and place of birth, mother's maiden name, or biometric records; and (2) any other information that is linked or linkable to an individual, such as medical, educational, financial, and employment information.	
<b>Examples of PII include, but are not limited to:</b>	
• Personal identification number, such as social security number (SSN), passport number, driver's license number, taxpayer identification number, or financial account or credit card	
• Address information, such as street address or email address	
• Personal characteristics, including photographic image (especially of face or other identifying characteristics), fingerprints, handwriting, or other biometric data (e.g., retina scan, voice signature, facial geometry)	
• Information about an individual that is linked or linkable to one of the above (e.g., date of birth, place of birth, race, religion, weight, activities, geographical indicators, employment information, medical information, education information, financial information).	
Organizations should minimize the use, collection, and retention of PII to what is strictly necessary to accomplish their business purpose and mission.	
<b>A "routine use" is a Privacy Act discretionary authority published in the Federal Register that permits VA to disclose information or records from a Privacy Act-protected record without the patient's prior signed authorization. A "routine use" permits the:</b>	
(1) Release of PII only when disclosure is also authorized by other applicable legal authorities, including 45 CFR Parts 160 and 164;	
(2) Release of drug or alcohol abuse, HIV, or sickle cell anemia medical information only when the disclosure is also authorized by 38 U.S.C. 7332.	

(FY 2012) PIA: System Information		*Green Highlight = Must Answer Question	*Yellow Highlight = Required
Program or System Name (as shown in SMART):		REGION 2 - VHA > VBN12 > Hlms VAMC > VIRA - VMS	
OMB Unique System / Application / Program Identifier (AKA: UPID #):		029-00-01-11-01-1180-00	
Description of System/ Application/ Program : "must match what is stated in System Security Plan (SSP)"		<p>Each Veterans Affairs (VA) medical center uses VISA Legacy (formerly DHCP, Decentralized Hospital Comp Program), an integrated hospital information system. DHCP was an M-based internally developed portfolio. VISA Legacy encompasses DHCP and a variety of other clinical and administrative applications, some on 3 platforms. VISA Legacy is currently running on two core platforms, Microsoft Windows 2000 (W2K)/Cache Virtual Memory System (VMS)/Cache. This facility operates the following:</p> <ul style="list-style-type: none"> <li>( ) InterSystems Cache on Microsoft Windows 2000 (W2K)/Cache</li> <li>(X) InterSystems Cache on VMS (VMS)/Cache</li> </ul> <p>VISA Legacy is structured so that it can be customized in certain specialized areas and most local medical have taken advantage of this flexibility. Applications within VISA Legacy support a multitude of areas including medical imaging, supply management, decision support, medical research, and education. VHA began developing DHCP in 1982 with a core set of applications. Today, VISA Legacy is one of the most comprehensive integrated health information systems in the United States. Since episode-of-care workload reporting was an initial focus for corporate databases, most of VHA's corporate systems collect their information from VISA Legacy. Recent enhancements have clearly shifted the focus from workload to enabling the integration of clinical information from various disciplines, forming the basis for an automated and distributed health information system.</p>	
Facility or Program Office Name:		Milwaukee, WI	
Title:		Name:	Phone:
Privacy Officer:		Cheryl Fears	708-202-2118
Information Security Officer:		Mike Wozien	708-202-8387 x25499
System Owner/Delegate:		Jeffrey Fears	708-492-3987
Chief Information Officer:		Gordon Brown	708-202-8387 x25538
Information Owner:		Sharon Helman (transfers 3/1/12)	708-202-8387
Other Titles:			
Person Completing Document:		Gordon Brown FCIO	708-202-8387 x25538
Other Titles:			
Date of Last Full Approved PIA by VACO Privacy Services: (MM/YYYY)		05/2009	
What specific legal authorities authorize this program or system:		Title 38, United States Code, section 7301(a)	
What is the expected number of individuals that will have their PII stored in this system:		approx 261,000	
Identify what stage the System / Application / Program is at:		Operations/Maintenance	
The approximate date (MM/YYYY) the system will be operational (if in the Design or Development stage), or the approximate number of years the system/application/program has been in operation.		21 years	
Is there an authorized change control process which documents any changes to existing applications or systems?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	
If No, (Explain on Tab 8)			
Is there a contingency plan in place to process information when the system is down?		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	
Has a PIA been completed within the last three years?		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	
<b>PIA QUESTIONS</b>			
1. Is this a new system?		<input type="radio"/> Yes <input type="radio"/> No	
2. Does this system contain Federal information in identifiable form?		<input type="radio"/> Yes <input type="radio"/> No	
3. Does the system include information on the public?		<input type="radio"/> Yes <input type="radio"/> No	
4. Is there a Privacy Impact Assessment (PIA) that covers this system?		<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> National Security System under 40 U.S.C. 11101, a PIA is not required for this system	
2. System Information			

5. Is Federal-owned information in this system retrieved by name or unique identifier?	<input checked="" type="radio"/> Yes <input type="radio"/> No		
6. What is the System of Records Notice (SORN) for this system?	79VA19		
7. Has this SORN been reviewed or updated within the last three years?	Yes last year		
Date of Report (MM/YYYY):			2/1/2012
<b>Any check mark in the boxes below will require a full PIA. Please continue to the next TAB and complete the remaining questions.</b>			
<input checked="" type="checkbox"/>	Have any changes been made to the system since the last PIA?		
<input type="checkbox"/>	Is this a PIV system/application/program collecting PII data from Federal employees, contractors, or others performing work for the VA?		
<input checked="" type="checkbox"/>	Was this system/application/program retrieved information on the basis of name, unique identifier, symbol or other PII data?		
<input checked="" type="checkbox"/>	Does this system/application/program collect, store, or disseminate PII/PII data?		
<input checked="" type="checkbox"/>	Does this system/application/program collect, store or disseminate the SORN?		

2. System Information

(FY 2012) PIA: System of Records

\*Green Highlight = Must Answer Question

- 1. Is a SORN (System of Records Notice) Required?
- 2. Is there a SORN already in place?

Yes     No     Not Sure  
 Yes     No

\*\*\*If Yes, select all of the appropriate SORN number(s):  
\*\*\*If Not Sure, continue to question 3

\*\*\*Click to add. Delete SORN by highlighting SORN and comma if included and press the Delete key or place focus on area to delete all SORNs.

LIST OF SORN NUMBER(S) :

79VA10

For each applicable System(s) of Records, list:

- 3. If records are retrieved using any of the following entities, A SORN will be required (Please check all that apply)

<input checked="" type="checkbox"/> Full Name
<input type="checkbox"/> Maiden Name
<input type="checkbox"/> Mother's Maiden Name
<input type="checkbox"/> Alias
<input checked="" type="checkbox"/> Social Security Number
<input type="checkbox"/> Passport Number
<input type="checkbox"/> Driver's License Number
<input type="checkbox"/> Taxpayer Identification Number
<input type="checkbox"/> Financial Account Number
<input type="checkbox"/> Credit Card Number
<input type="checkbox"/> Street Address
<input type="checkbox"/> Email Address
<input type="checkbox"/> Photographic Image
<input type="checkbox"/> Fingerprints
<input type="checkbox"/> Handwriting
<input type="checkbox"/> Other Biometric Data
<input type="checkbox"/> Other (explain on Tab 8)

- 4. Based on Question 3, is a SORN required?

Yes     No  
 Yes     No

\*\*\*If Yes, has the process begun to obtain/acquire a SORN

Location where the specific applicable System of Records Notice may be accessed:

[http://www.rms.oit.va.gov/SOR\\_Records.asp](http://www.rms.oit.va.gov/SOR_Records.asp)

3. System of Records

(FY 2012) PIA: Data Collection And Storage

\*Green Highlight = Must Answer Question

Please fill in each column for the data types selected.

Data Type	Collection Method	What are the subjects told about the intended use of their information?	How is this message conveyed to them?
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	All	All	All
Family Relation (spouse, children, parents, grandparents, etc)	All	All	All
Service Information	All	All	All
Medical Information	All	All	All
Criminal Record Information	N/A	N/A	N/A
Guardian Information	All	All	All
Education Information	All	All	All
Benefit Information	All	All	All
Other (Explain on Tab 8)			

Data Type	Storage Method	Source (If requested, identify the specific file, entity and/or name of agency)	Is data collection Mandatory or Voluntary?
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)	<input type="radio"/> Yes <input type="radio"/> No	Veteran	<input type="radio"/> Mandatory <input type="radio"/> Voluntary
Family Relation (spouse, children, parents, grandparents, etc)	<input type="radio"/> Yes <input type="radio"/> No	Veteran	<input type="radio"/> Mandatory <input type="radio"/> Voluntary
Service Information	<input type="radio"/> Yes <input type="radio"/> No	Other Federal Agency (Identify)	<input type="radio"/> Mandatory <input type="radio"/> Voluntary
Medical Information	<input type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input type="radio"/> Mandatory <input type="radio"/> Voluntary
Criminal Record Information	<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Mandatory <input type="radio"/> Voluntary
Guardian Information	<input type="radio"/> Yes <input type="radio"/> No	Veteran	<input type="radio"/> Mandatory <input type="radio"/> Voluntary
Education Information	<input type="radio"/> Yes <input type="radio"/> No	Other Federal Agency (Identify)	<input type="radio"/> Mandatory <input type="radio"/> Voluntary
Benefit Information	<input type="radio"/> Yes <input type="radio"/> No	Other Federal Agency (Identify)	<input type="radio"/> Mandatory <input type="radio"/> Voluntary
Other (Explain on Tab 8)	<input type="radio"/> Yes <input type="radio"/> No		<input type="radio"/> Mandatory <input type="radio"/> Voluntary

	<i>(Please Select Yes/No)</i>		
Proximity and Timing: Is the privacy notice provided at the time of data collection?	<input type="radio"/> Yes <input type="radio"/> No		
Purpose: Does the privacy notice describe the principal purpose(s) for which the information will be used?	<input type="radio"/> Yes <input type="radio"/> No		
Authority: Does the privacy notice specify the effects of providing information on a voluntary basis?	<input type="radio"/> Yes <input type="radio"/> No		
Disclosures: Does the privacy notice specify routine use(s) that may be made of the information?	<input type="radio"/> Yes <input type="radio"/> No		
<u>routine use(s)</u>			

(FY 2012) PIA: Data Sharing *Green Highlight = Must Answer Question		** Any connection external to VA requires an ISA/MOU per VA 6500. This section below must be consistent with your System Security Plan Interconnection Security Agreement section.			
Organization	Name of Agency/Organization	Do they access this system?	Identify the type of Data Sharing	is PII or PHI Shared?	What is the procedure you reference for the release of information?
Internal Sharing: VA Organization		<input checked="" type="radio"/> Yes <input type="radio"/> No		<input checked="" type="radio"/> Yes <input type="radio"/> No	
Other Veteran Organization		<input checked="" type="radio"/> Yes <input type="radio"/> No		<input checked="" type="radio"/> Yes <input type="radio"/> No	
Other Federal Government Agency		<input checked="" type="radio"/> Yes <input type="radio"/> No		<input checked="" type="radio"/> Yes <input type="radio"/> No	
State Government Agency		<input checked="" type="radio"/> Yes <input type="radio"/> No		<input checked="" type="radio"/> Yes <input type="radio"/> No	
Local Government Agency		<input checked="" type="radio"/> Yes <input type="radio"/> No		<input checked="" type="radio"/> Yes <input type="radio"/> No	
Research Entity	Northwestern	<input type="radio"/> Yes <input checked="" type="radio"/> No	Research and Development	<input type="radio"/> Yes <input checked="" type="radio"/> No	HIPAA Authorization/Waiver
<input type="checkbox"/> Other Project/ System (Explain on Tab 8)					
<b>(FY 2012) PIA: Access to Records</b>					
Does the system gather information from another system?		<input checked="" type="radio"/> Yes <input type="radio"/> No			
Please enter the name of the system:		REGION 2 - VHA > VSN 12 > HIG & VAMC > IAN			
<b>(FY 2012) PIA: Secondary Use</b>					
Will PII data be included with any secondary use request?		<input checked="" type="radio"/> Yes <input type="radio"/> No	<input type="checkbox"/> Research	<input type="checkbox"/> HFY	<input type="checkbox"/> Post/Adm/Oper
Check all that apply		<input type="checkbox"/> Other	<input type="checkbox"/> Other (Explain on Tab 8)	<input checked="" type="checkbox"/> Research	

<b>(FY 2012) PIA: Records Management</b>		<b>*Green Highlight = Must Answer Question</b>	
Does this PIA form contain any sensitive information that could cause harm to the Department of Veterans Affairs or any party if disclosed to the public?			
<input type="radio"/> Yes (Exemption 100) <input type="radio"/> No			
Is the data collected to only what is necessary to provide requested service?			
<input type="radio"/> Yes <input type="radio"/> No (Exemption 100)			
Has the data provided been verified as complete?			
<input type="checkbox"/> Not verified <input checked="" type="checkbox"/> Received from DPO <input type="checkbox"/> Verified Unknown			
<b>(FY 2012) PIA: Retention &amp; Disposal</b>			
What is the data retention period?			RCS 10-1 link for VHA: <a href="http://www.va.gov/vhapub/cab">www.va.gov/vhapub/cab</a>
7.5 years after the patient dies			RCS VB-1, Part II Revised for VBA: <a href="http://www.benefits.va.gov/WZ">www.benefits.va.gov/WZ</a>
			National Archives and Records Administration: <a href="http://www.nara.gov">www.nara.gov</a>
Explain why the information is needed for the indicated retention period?			
Medical Records per Policy		RCS	
What are the procedures for eliminating data at the end of the retention period?			
Follow RCS 10-1 as well as facility procedure for PII destruction		RCS	
Where are these procedures documented?			
RCS 10-1		RCS	
How are data retention procedures enforced?			
No records are disposed/destroyed without approval for the Record Managers. All records are disposed of in accordance with VA		RCS	
Has the retention schedule been approved by the National Archives and Records Administration (NARA)?			
<input type="radio"/> Yes <input type="radio"/> No (Exemption 100)			
<b>(FY 2012) PIA: Children's Online Privacy Protection Act (COPPA)</b>			
Will information be collected through the Internet from children under age 13?			
<input type="radio"/> Yes (Exemption 100) <input type="radio"/> No			

(FY 2012) PIA: Security \*Green Highlight = Must Answer Question

Is the system/application/program following IT security requirements and procedures required by federal law and policy to ensure that information is appropriately secured?  Yes  No (Green Highlight)

Has the system/application/program conducted a risk assessment, identified appropriate security controls to protect against that risk, and implemented those controls?  Yes  No (Green Highlight)

Is security monitoring conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?  Yes  No (Green Highlight)

Is security assessment conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?  Yes  No (Green Highlight)

Is adequate physical security in place to protect against unauthorized access?  Yes  No (Green Highlight)

Ensure PE-2, PE-3, PE-6, PE-7, PE-8 have been addressed appropriately for your categorization

Explain what security risks were identified in the security assessment? (Check all that apply)

<input type="checkbox"/> Physical Access	<input checked="" type="checkbox"/> Fire	<input checked="" type="checkbox"/> Locking Doors	<input checked="" type="checkbox"/> Power
<input checked="" type="checkbox"/> Remote	<input checked="" type="checkbox"/> Flood	<input checked="" type="checkbox"/> Power Outage	<input checked="" type="checkbox"/> Theft
<input checked="" type="checkbox"/> Denial of Service	<input checked="" type="checkbox"/> Hackers/CRMs	<input checked="" type="checkbox"/> Physical Security Requirements	<input checked="" type="checkbox"/> Data Loss
<input checked="" type="checkbox"/> Denial of Service	<input type="checkbox"/> NAT	<input checked="" type="checkbox"/> Environmental Concerns	<input type="checkbox"/> Denial of Service
<input checked="" type="checkbox"/> Denial of Service	<input checked="" type="checkbox"/> DDoS Mitigation	<input checked="" type="checkbox"/> Power Failure	<input checked="" type="checkbox"/> Denial of Service
<input checked="" type="checkbox"/> Denial of Service	<input checked="" type="checkbox"/> Human Health Emergency	<input checked="" type="checkbox"/> Physical Security Requirements	<input checked="" type="checkbox"/> Denial of Service
<input checked="" type="checkbox"/> Denial of Service	<input checked="" type="checkbox"/> Physical Security Requirements	<input checked="" type="checkbox"/> Physical Security Requirements	<input checked="" type="checkbox"/> Denial of Service
<input checked="" type="checkbox"/> Denial of Service	<input checked="" type="checkbox"/> Physical Security Requirements	<input checked="" type="checkbox"/> Physical Security Requirements	<input checked="" type="checkbox"/> Denial of Service
<input checked="" type="checkbox"/> Denial of Service	<input checked="" type="checkbox"/> Physical Security Requirements	<input checked="" type="checkbox"/> Physical Security Requirements	<input checked="" type="checkbox"/> Denial of Service
<input checked="" type="checkbox"/> Denial of Service	<input checked="" type="checkbox"/> Physical Security Requirements	<input checked="" type="checkbox"/> Physical Security Requirements	<input checked="" type="checkbox"/> Denial of Service

If any other risks identified, explain in Tab 8

Based upon the risks identified above, Explain what security controls are being used to mitigate these risks. (Check all that apply)

Access Control

Data Protection

System and Services Acquisition

Risk and Accountability

Recovery Planning

Physical Security

System and Communications Protection

Physical and Environmental Protection

System and Information Integrity

Security Assessment and Authorization

Incident Response

Risk Assessment

Planning

Insurance

Answer (Other Controls) Explains Tab 8

PIA: PIA Assessment

Based upon NIST 800-60, volume B; list the information data types chosen as a basis for your FIS 159 System Categorization.

Answer: NIST 800-60 D.13.4 Health Care Service Information Type

<p><b>Availability Assessment:</b> If the data being collected is not available to process for any reason what will the potential impact be upon the system or organization? (Choose One)</p>	<p><input checked="" type="checkbox"/> The potential impact is high if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.</p> <p><input type="checkbox"/> The potential impact is moderate if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals.</p> <p><input type="checkbox"/> The potential impact is low if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.</p>
<p><b>Integrity Assessment:</b> If the data being collected has been corrupted for any reason what will the potential impact be upon the system or organization? (Choose One)</p>	<p><input checked="" type="checkbox"/> The potential impact is high if the loss of integrity could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.</p> <p><input type="checkbox"/> The potential impact is moderate if the loss of integrity could be expected to have a serious adverse effect on operations, assets or individuals.</p> <p><input type="checkbox"/> The potential impact is low if the loss of integrity could be expected to have a limited adverse effect on operations, assets or individuals.</p>
<p><b>Confidentiality Assessment:</b> If the data being collected has been shared with unauthorized individuals what will the potential impact be upon the system or organization? (Choose One)</p>	<p><input checked="" type="checkbox"/> The potential impact is high if the loss of confidentiality could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.</p> <p><input type="checkbox"/> The potential impact is moderate if the loss of confidentiality could be expected to have a serious adverse effect on operations, assets or individuals.</p> <p><input type="checkbox"/> The potential impact is low if the loss of confidentiality could be expected to have a limited adverse effect on operations, assets or individuals.</p>

The controls are being considered for the project based on the selections from the previous assessments?

The minimum security requirements for our high impact system cover seventeen security-related areas with regard to protecting the confidentiality, integrity, and availability of VA information systems and the information processed, stored, and transmitted by those systems. The security-related areas include: access control; awareness and training; audit and accountability; certification, accreditation, and security assessments; configuration management; contingency planning; identification and authentication; incident response; maintenance; media protection; physical and environmental protection; planning; personal security; risk assessment; systems and services acquisition; system and communications protection; and system and information integrity. Our facility employs all security controls in the respective high impact security control baseline unless specific exceptions have been allowed based on the tailoring guidance provided in NIST Special Publication 800-53 and specific VA directives.

(FY 2012) PIA: Additional Comments

Add any additional comments or information that may have been left out for any question. Please indicate the question you are responding to and then add your comments.

(FY 2012) PIA: VBA Minor Applications

Which of these are sub-components of your system?			
	Access Manager	<input checked="" type="checkbox"/>	Automated Sales Reporting (ASR)
	Actuarial		Automated Folder Processing System (AFPS)
	Agent Orange	<input checked="" type="checkbox"/>	BCMA Contingency Machines
	Appraisal System	<input checked="" type="checkbox"/>	Centralized Property Tracking System
	ASSISTS	<input checked="" type="checkbox"/>	Common Security User Manager (CSUM)
	Awards		Compensation and Pension (C&P)
	Baker System		Control of Veterans Records (COVERS)
	Bbraun (CP Hemo)	<input checked="" type="checkbox"/>	Courseware Delivery System (CDS)
		<input checked="" type="checkbox"/>	Dental Records Manager
		<input checked="" type="checkbox"/>	Education Training Website
	C&P Payment System		Electronic Appraisal System
	C&P Training Website		Electronic Card System (ECS)
	CONDO PUD Builder		Electronic Payroll Deduction (EPD)
			Eligibility Verification Report (EVR)
	EndoSoft		Fiduciary Beneficiary System (FBS)
	FOCAS		Fiduciary STAR Case Review
	Inforce		Financial and Accounting System (FAS)
	INS - BIRIS	<input checked="" type="checkbox"/>	Inventory Management System (IMS)
	Insurance Online		Interacthe Voice Response (IVR)
	Insurance Self Service		LGY Centralized Fax System
	LGY Home Loans		Loan Service and Claims
	LGY Processing		Loan Guaranty Training Website
	MES		
	Mobilization	<input checked="" type="checkbox"/>	Mental Health Assistant
	Montgomery GI Bill		National Silent Monitoring (NSM)
	MUSE	<input checked="" type="checkbox"/>	Powerscribe Dictation System
	Oradcell		Rating Board Automation 2000 (RBA2000)
	Privy Plus	<input checked="" type="checkbox"/>	Records Locator System
	RAI/MDS		Remittance Processing System
	Right Now Web		Review of Quality (ROQ)
	SAISHA		Search Participant Profile (SPP)
	Script Pro		Spinal Bifida Program Ch 18
	SHARE		State Benefits Reference System
	SlideXis		State of Case/Supplemental (SOC/SSOC)
	Synquest	<input checked="" type="checkbox"/>	Telecare Record Manager
	VBA Training Academy		VBA Enterprise Messaging System
	Veterans Canteen Web	<input checked="" type="checkbox"/>	
	VEI/MET Housekeeping		Web Electronic Lender Identification
	VR&E Training Website		
	Web LGY		
			Automated Medical Information Exchange II (AMIE II)
			Automated Medical Information System (AMIS)290
			Automated Standardized Performance Elements Nationwide (ASPEN)
			Broome Closet
			Centralized Accounts Receivable System (CARS)
			Committee on Waivers and Compromises (COWC)
		<input checked="" type="checkbox"/>	Compensation and Pension (C&P) Record Interchange (CAPRI)
			Compensation & Pension Training Website
			Distribution of Operational Resources (DOOR)
			Educational Assistance for Members of the Selected Reserve Program CH 1606
			Electronic Performance Support System (EPSS)
		<input checked="" type="checkbox"/>	Enterprise Wireless Messaging System (Blackberry)
			Financial Management Information System (FMI)
			Hearing Officer Letters and Reports System (HOLAR)
			Inquiry Routing Information System (IRIS)
			Modern Awards Process Development (MAP-D)
			Personal Computer Generated Letters (PCGL)
			Personnel Information Exchange System (PIES)
			Post Vietnam Era educational Program (VEAP) CH 32
			Purchase Order Management System (POMS)
			Reinstatement Entitlement Program for Survivors (REAPS)
			Reserve Educational Assistance Program CH 1607
			RightFax
			Service Member Records Tracking System
			Survivors and Dependents Education Assistance CH 35
			Systematic Technical Accuracy Review (STAR)
			Training and Performance Support System (TPSS)
			VA Online Certification of Enrollment (VA-OHCE)
			VA Reserve Educational Assistance Program
			Veterans Assistance Discharge System (VADS)
			Veterans Exam Request Info System (VERIS)
			Veterans Insurance Claims Tracking and Response System (VICTARS)
			Veterans Service Representative (VSR) Advisor
			Vocational Rehabilitation & Employment (VR&E) CH 31
			Web Automated Folder Processing System (WAFPS)
			Web Automated Reference Material System (WARMS)
			Web Automated Verification of Enrollment
			Web-Enabled Approval Management System (WEAMS)
			Web Service Medical Records (WebSMR)
			Work Study Management System (WSMS)

Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.

Name \_\_\_\_\_

Description \_\_\_\_\_

Comments \_\_\_\_\_

Is PII collected by this min or application? \_\_\_\_\_

Does this minor application store PII? \_\_\_\_\_

If yes, where? \_\_\_\_\_

Who has access to this data? \_\_\_\_\_

Name \_\_\_\_\_

Description \_\_\_\_\_

Comments \_\_\_\_\_

Is PII collected by this min or application? \_\_\_\_\_

Does this minor application store PII? \_\_\_\_\_

If yes, where? \_\_\_\_\_

Who has access to this data? \_\_\_\_\_

Name \_\_\_\_\_

Description \_\_\_\_\_

Comments \_\_\_\_\_

Is PII collected by this min or application? \_\_\_\_\_

Does this minor application store PII? \_\_\_\_\_

If yes, where? \_\_\_\_\_

Who has access to this data? \_\_\_\_\_

(FY 2012) PIA: Minor Applications A-M

Which of these are sub-components of your system?			
	1184 Web	<input checked="" type="checkbox"/> Clinix	<input checked="" type="checkbox"/> Electronic Signature
	AAP	<input checked="" type="checkbox"/> Clinical Case Registries	<input checked="" type="checkbox"/> Embedded Fragment Registry
<input checked="" type="checkbox"/>	ACCU Care	<input checked="" type="checkbox"/> Clinical Data Repository/Health Data Repository	<input checked="" type="checkbox"/> ENCORE 2
	ACCU Check	<input checked="" type="checkbox"/> Clinical Info Resource Network	<input checked="" type="checkbox"/> ENDSOFT
	ACCU Med	<input checked="" type="checkbox"/> Clinical Monitoring System	<input checked="" type="checkbox"/> Engineering
<input checked="" type="checkbox"/>	Adobe Acrobat	<input checked="" type="checkbox"/> Clinical Notes Templates	<input checked="" type="checkbox"/> Enrollment Application System
	ADP Planning (Plan/Mas)	<input checked="" type="checkbox"/> Clinical Procedures	<input checked="" type="checkbox"/> Enterprise Terminology Server & VHA Enterprise Terminology Services
<input checked="" type="checkbox"/>	ADT	<input checked="" type="checkbox"/> Clinical Reminders	<input checked="" type="checkbox"/> ePROMISE
	Adverse Reaction Tracking	<input checked="" type="checkbox"/> Citizenship	<input checked="" type="checkbox"/> Equipment/ Turn-In Request
<input checked="" type="checkbox"/>	Agent Cashier	<input checked="" type="checkbox"/> Combat Veteran Outreach	<input checked="" type="checkbox"/> Event Capture
	Alb Fortress	<input checked="" type="checkbox"/> Committee on Waiver and Compromises	<input checked="" type="checkbox"/> Event Driven Reporting
	ASSTS	<input checked="" type="checkbox"/> Consult/ Request Tracking	<input checked="" type="checkbox"/> Extensible Editor
	Authorization/ Subscription	<input checked="" type="checkbox"/> Controlled Correspondence	<input checked="" type="checkbox"/> External Peer Review
	Auto Instrument	<input checked="" type="checkbox"/> Controlled Substances	<input checked="" type="checkbox"/> EYECAP
<input checked="" type="checkbox"/>	Auto Replenishment/ Ward Stock	<input checked="" type="checkbox"/> CPRE	<input checked="" type="checkbox"/> Fee Based Claims System
<input checked="" type="checkbox"/>	AUTOCAD	<input checked="" type="checkbox"/> CFRS	<input checked="" type="checkbox"/> Fee Basis
	Automated Access Request	<input checked="" type="checkbox"/> CPT/ HCPCS Codes	<input checked="" type="checkbox"/> Financial and Accounting System (FAS)
	Automated Info Collection Sys	<input checked="" type="checkbox"/> Credentials Tracking	<input checked="" type="checkbox"/> Financial Management System (FMS)
	Automated Lab Instruments	<input checked="" type="checkbox"/> Credit Card Authentication	<input checked="" type="checkbox"/> Functional Independence
	Automated Med Info Exchange	<input checked="" type="checkbox"/> Data Innovations	<input checked="" type="checkbox"/> Gen. Med. Rec. - I/O
	Automated Sales Reporting	<input checked="" type="checkbox"/> DELIVEREX	<input checked="" type="checkbox"/> Gen. Med. Rec. - Vitals
	AutoMed	<input checked="" type="checkbox"/> Dental	<input checked="" type="checkbox"/> Gen. Med. Rec. - Generator
<input checked="" type="checkbox"/>	Bad Code Med Admin	<input checked="" type="checkbox"/> DICTATION-Power Scribe	<input checked="" type="checkbox"/> GENDEX
<input checked="" type="checkbox"/>	Barcode Medication Administration Contingency Plan (BCU)	<input checked="" type="checkbox"/> Dietetics	<input checked="" type="checkbox"/> Generic Code Sheet
<input checked="" type="checkbox"/>	BCMA Contingency Workstations	<input checked="" type="checkbox"/> Discharge Summary	<input checked="" type="checkbox"/> Genesis
	BDN 301	<input checked="" type="checkbox"/> DRG Groupier	<input checked="" type="checkbox"/> Get Well Networks
<input checked="" type="checkbox"/>	Beneficiary Travel	<input checked="" type="checkbox"/> DRM Plus	<input checked="" type="checkbox"/> GMED
<input checked="" type="checkbox"/>	Big Fix	<input checked="" type="checkbox"/> Drug Accountability	<input checked="" type="checkbox"/> GRECC
	CA Verified Components - DSSI	<input checked="" type="checkbox"/> DSI	<input checked="" type="checkbox"/> Health Data and Informatics
	Capacity Management - RUM	<input checked="" type="checkbox"/> DSS Extracts	<input checked="" type="checkbox"/> Health Level Seven
	Capacity Management Tools	<input checked="" type="checkbox"/> DSS Quadramed	<input checked="" type="checkbox"/> Health Summary
<input checked="" type="checkbox"/>	CAPRI	<input checked="" type="checkbox"/> EDS Whiteboard (AVIED)	<input checked="" type="checkbox"/> Health Summary Contingency
	Cardiff Teleform	<input checked="" type="checkbox"/> Education Tracking	<input checked="" type="checkbox"/> HINQ
<input checked="" type="checkbox"/>	Cardiology Systems (stand alone servers from the network)	<input checked="" type="checkbox"/> EEO Complaint Tracking	<input checked="" type="checkbox"/> Hospital Based Home Care
<input checked="" type="checkbox"/>	Care Management	<input checked="" type="checkbox"/> EKG System	<input checked="" type="checkbox"/> ICB
	CareTracker	<input checked="" type="checkbox"/> Electronic Card System (ECD)	<input checked="" type="checkbox"/> ICR - Immunology Case Registry
	CHECKPOINT	<input checked="" type="checkbox"/> Electronic Payroll Deduction (EPD)	<input checked="" type="checkbox"/> IFCAP

Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.

Name \_\_\_\_\_

Description \_\_\_\_\_

Comments \_\_\_\_\_

Is PII collected by this minor application? \_\_\_\_\_

Does this minor application store PII? \_\_\_\_\_

If yes, where? \_\_\_\_\_

Who has access to this data? \_\_\_\_\_

Name \_\_\_\_\_

Description \_\_\_\_\_

Comments \_\_\_\_\_

Is PII collected by this minor application? \_\_\_\_\_

Does this minor application store PII? \_\_\_\_\_

If yes, where? \_\_\_\_\_

Who has access to this data? \_\_\_\_\_

Name \_\_\_\_\_

Description \_\_\_\_\_

Comments \_\_\_\_\_

Is PII collected by this minor application? \_\_\_\_\_

Does this minor application store PII? \_\_\_\_\_

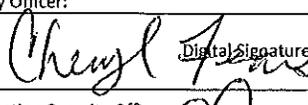
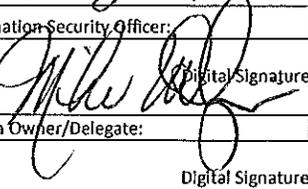
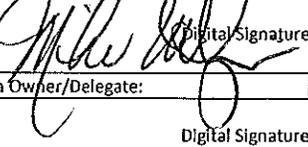
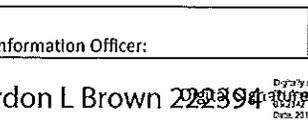
If yes, where? \_\_\_\_\_

Who has access to this data? \_\_\_\_\_

(FY 2012) PIA: VISTA Minor Applications N-Z

Which of these are sub-components of your system?			
X	National Cemetery Association	X Pharmacy Data Management	Scanning Exam and Evaluation System
X	National Drug File	X Pharmacy National Database	Scheduling
	National Laboratory Test	X Pharmacy Prescription Practice	Security Suite Utility Pack
	NDBI	X PICIS DR	SeniLink
X	Network Health Exchange	X Police & Security	Shift Change Handoff Tool
X	NOAHUNK	X Problem List	ShoreTel
X	NOAS	X Progress Notes	Social Work
X	Nursing Service	X Prosthetics	StarBent
X	Occurrence Screen	X Purchase Order Management System	Stentor
X	Omnicell	X Pyxis	Surgery
X	Oncology	X Q-Matic	Survey Generator
	Onvcoad (VLOG)	X QMSI Prescription Processing	Telecare Record Manager
	OptiFill	Quality Assurance Integration	Temp Trak
X	Order Entry/ Results Reporting	X Quality Improvement Checklist	Text Integration Utilities
X	Outpatient Pharmacy	X QUASER	Ticker Database
X	P2000 ROBOT	X Radiology/ Nuclear Medicine	ToolKit
X	PACS database	X RAFT	TopCon
	Patch Module	X RALS	TraceMaster
X	Patient Data Exchange	Record Tracking	Tracking Continuing Education
X	Patient Feedback	Registration	Traumatic Brain Injury
	Patient Representative	X Release of Information - DSSI	Unwinder
X	PCE Patient Care Encounter	X Remote Order/ Entry System	Utility Management ReBop
	Personal Computer Generated Letters	X RPC Broker	Utilization Review
X	Pharmacy Benefits Management	X Run Time Library	VA Conference Room Registration
		SAGG	VA FBeman
		SAN	VAMedSafe
			VBECs
			VDEF
			Vendor - Document Storage Sys
			Veterans Canteen Web
			Veterans Information Solution
			VHAHUNAAPP1
			VHAHUNIFPC1
			VHS & RA Tracking System
			Visit Tracking
			VISTA RAD
			VISTA RO
			Vitalink
			Vitalink Security
			Visual Impairment Service Team ANRV
			Vitria BusinessWare
			VXIS
			Voluntary Timekeeping
			Voluntary Timekeeping National
			WEB HINQ
			Whiteboard
			Women's Health
			Workload and Overtime

Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.			
Name			
Description			
Comments			
Is PII collected by this minor application?			
Does this minor application store PII?			
If yes, where?			
Who has access to this data?			
Name			
Description			
Comments			
Is PII collected by this minor application?			
Does this minor application store PII?			
If yes, where?			
Who has access to this data?			
Name			
Description			
Comments			
Is PII collected by this minor application?			
Does this minor application store PII?			
If yes, where?			
Who has access to this data?			

(FY 2012) PIA: Final Signatures		*Green Highlight = Must Answer Question	
Facility Name:	Milwaukee, WI		
Title:	Name:	Phone:	Email:
Privacy Officer:	Cheryl Fears	708-202-2118	cheryl.fears@va.gov
 Digitally signed by Cheryl Fears Date: 2012.04.11 13:25:05 -0500			
Information Security Officer:	Mike Wierzen	x25400	michael.wierzen@va.gov
 Digitally signed by Mike Wierzen Date: 2012.04.11 13:25:05 -0500			
System Owner/Delegate:	Jeffrey Fears	708-492-3987	jff.fears@va.gov
 Digitally signed by Jeffrey Fears Date: 2012.04.11 13:25:05 -0500			
Chief Information Officer:	Gordon Brown	708-202-8387 x25538	gordon.brown@va.gov
 Digitally signed by Gordon L Brown Date: 2012.04.11 13:25:05 -0500			
Other Titles:	0		
 Digitally signed by Sandra P. Hedtke Date: 2012.04.11 13:25:05 -0500			
Date of Report:	1 Feb 12		
OMB Unique Project Identifier	029-09-01-11-01-1180-00		
Project Name	REGION 2 > VHA > VISN 12 > Hines VAMC > VITA - VMS		
<p>The Signature Process:</p> <ul style="list-style-type: none"> <li>• Complete the PIA form.</li> <li>• Name the PIA Excel FORM ["FY12-Region # - Facility Name - Facility # -Date(mmdyyyy).xls"]             <ul style="list-style-type: none"> <li>• Example: "FY12-Region3-Lexington VAMC-596-10302008.xls"</li> </ul> </li> <li>• Submit the completed PIA Excel form to SMART Database.</li> <li>• Fix errors the reviewers sent back, rename the file and submit to SMART Database             <ul style="list-style-type: none"> <li>• If no errors, convert form into PDF with Nuance PDF Professional.</li> </ul> </li> <li>• Name the PIA PDF form ["FY12-Region #-Facility Name- Facility # -Date(mmdyyyy).xls"]             <ul style="list-style-type: none"> <li>• Obtain digital signatures on the "Final Signatures tab"</li> <li>• Submit signed PIA PDF form to the SMART Database.</li> </ul> </li> </ul>			