

(FY 2012) PIA: System Information		*Green Highlight = Must Answer Question	*Yellow Highlight = Required to Sign PIA
Program or System Name (as shown in SMART):	REGION 5> VBA> C&P> AITC>VETSNET		
OMB Unique System / Application / Program Identifier (UPID #):	(AKA:	029-00-01-13-01-1264-00	
Description of System/ Application/ Program : "must match what is stated in System Security Plan (SSP)"	<p>VETSNET Compensation and Pension (C&amp;P) is a suite of applications that facilitates the entire C&amp;P claims process. Within the suite, the end user can establish and develop Veterans' claims; the rating decision, award and notification letter are documented; and payment information is transmitted to Treasury, accomplishing the necessary accounting. Throughout these activities, data are shared and passed between the applications to support end-to-end claims processing, customer service and notification. Currently, VA's benefits mission is being supported by the Benefits Delivery Network (BDN). VETSNET C&amp;P is targeted to replace the C&amp;P functions of the BDN, which is currently in maintenance phase. Oversight for the VETSNET investment is provided by the VETSNET Executive Team. The Executive Team is an interdisciplinary team led by a Senior Executive well-versed in C&amp;P processes. The team is responsible for the day to day execution of the project. VETSNET is a fundamental component of VA's Enterprise Architecture in providing critical C&amp;P informational support to its customers through an integrated and technologically sound environment.</p> <p>The following minor applications* are components of VETSNET:</p> <ul style="list-style-type: none"> <li>- Control of Veterans Records System (COVERS)</li> <li>- SHARE</li> <li>- Search Participant Profile (SPP)</li> <li>- Modern Awards Processing Development (MAP-D)</li> <li>- Rating Board Automation 2000 (RBA2000)</li> <li>- Statement of the Case/Supplemental SOC (SOC/SSOC)</li> <li>- Awards</li> <li>- Finance and Accounting System (FAS)</li> <li>- Eligibility Verification Report (EVR)</li> </ul> <p>(* More information regarding these minor applications can be found in Additional Comments on Tab 8.)</p>		
Facility or Program Office Name:	Austin Information Technology Center		
Title:	Name:	Phone:	Email:
Privacy Officer:	Lisa Matuszczak	202-461-9039	<a href="mailto:lisa.matuszczak@va.gov">lisa.matuszczak@va.gov</a>
Information Security Officer:	Jessica L. Carriveau	727-319-5954	<a href="mailto:jessica.carriveau@va.gov">jessica.carriveau@va.gov</a>
System Owner/Delegate:	Kevin C. Causley	202-461-9170	<a href="mailto:kevin.causley@va.gov">kevin.causley@va.gov</a>
Facility Chief Information Owner:	Bryan Emery	512-326-7425	<a href="mailto:bryan.emery@va.gov">bryan.emery@va.gov</a>
Information Owner:	Thomas J. Murphy	202-461-9700	<a href="mailto:thomas.j.murphy@va.gov">thomas.j.murphy@va.gov</a>
Other Titles: A&A Project Officer	Mary D. Barley	202-461-9175	<a href="mailto:mary.barley@va.gov">mary.barley@va.gov</a>
Person Completing Document:	Gregory E. Watson	512-326-6889	<a href="mailto:gregory.watson@va.gov">gregory.watson@va.gov</a>
Other Titles:			
Date of Last Full Approved PIA by VACO Privacy Services: (MM/YYYY)			03/2009
What specific legal authorities authorize this program or system:	Title 38, United States Code, section 210(c) and Chapters 11, 13, 15 31, 34, 35, and 36; 38 U.S.C. chapter 30, 10 U.S.C. chapter 106, Pub. L. 102-484, Pub. L. 98-77		
What is the expected number of individuals that will have their PI stored in this system:	4 million		
Identify what stage the System / Application / Program is at:	Operations/Maintenance		
The approximate date (MM/YYYY) the system will be operational (if in the Design or Development stage), or the approximate number of years the system/application/program has been in operation.	Eight years		
Is there an authorized change control process which documents any changes to existing applications or systems?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA		
If No, (Explain on Tab 8)			
Is there a contingency plan in place to process information when the system is down?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA		
Has a PIA been completed within the last three years?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A : First PIA		
<b>FISMA QUESTIONS</b>			
1. Is this a new system?	<input type="radio"/> Yes <input checked="" type="radio"/> No		
2. Does this system contain Federal information in identifiable form?	<input checked="" type="radio"/> Yes <input type="radio"/> No		
3. Does the system include information on the public?	<input checked="" type="radio"/> Yes <input type="radio"/> No		
4. Is there a Privacy Impact Assessment (PIA) that covers this system?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> National Security System under 40 U.S.C. 11103, a PIA is not required for this system		

5. Is Federal-owned information in this system retrieved by name or unique identifier?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
6. What is the System of Records Notice (SORN) for this system?	58VA21, 58VA22, , 58VA28			
7. Has this SORN been reviewed or updated within the last three years?	Yes last year			
Date of Report (MM/YYYY):		17-Apr-12		
<b>Any check mark in the boxes below will require a full PIA. Please continue to the next TAB and complete the remaining questions.</b>				
If there is no Personally Identifiable Information on your system , please complete TAB 2 & TAB 12. ( See Comment for Definition of PII)				
<input type="checkbox"/>	Have any changes been made to the system since the last PIA?			
<input type="checkbox"/>	Is this a PIV system/application/program collecting PII data from Federal employees, contractors, or others performing work for the VA?			
<input checked="" type="checkbox"/>	Will this system/application/program retrieve information on the basis of name, unique identifier, symbol or other PII data?			
<input checked="" type="checkbox"/>	Does this system/application/program collect, store, or disseminate PII/PHI data?			
<input checked="" type="checkbox"/>	Does this system/application/program collect, store or disseminate the SSN?			
<a href="#">Directions</a>				

(FY 2012) PIA: System of Records

\*Green Highlight = Must Answer Question

- 1. Is a SORN (System of Records Notice) Required?
- 2. Is there a SORN already in place?

<input checked="" type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Not Sure
<input checked="" type="radio"/> Yes	<input type="radio"/> No	

\*\*\*If Yes, select all of the appropriate SORN number(s):  
\*\*\*If Not Sure, continue to question 3

58VA21/22/28: SOR name: Compensation, Pension, Education and Rehabilitation Records-VA.

LIST OF SORN NUMBER(S) :

58VA21, 58VA22, , 58VA28

For each applicable System(s) of Records, list:

- 3. If records are retrieved using any of the following entities, A SORN will be required (Please check all that apply)

<input checked="" type="checkbox"/> Full Name
<input type="checkbox"/> Maiden Name
<input type="checkbox"/> Mother's Maiden Name
<input type="checkbox"/> Alias
<input checked="" type="checkbox"/> Social Security Number
<input type="checkbox"/> Passport Number
<input type="checkbox"/> Driver's License Number
<input type="checkbox"/> Taxpayer Identification Number
<input checked="" type="checkbox"/> Financial Account Number
<input type="checkbox"/> Credit Card Number
<input checked="" type="checkbox"/> Street Address
<input type="checkbox"/> Email Address
<input type="checkbox"/> Photographic Image
<input type="checkbox"/> Fingerprints
<input type="checkbox"/> Handwriting
<input type="checkbox"/> Other Biometric Data
<input type="checkbox"/> Other (Explain on Tab 8)

- 4. Based on Question 3, is a SORN required?

<input checked="" type="radio"/> Yes	<input type="radio"/> No
<input checked="" type="radio"/> Yes	<input type="radio"/> No

\*\*\*If Yes, has the process begun to obtain/acquire a SORN

Location where the specific applicable System of Records Notice may be accessed:

[http://www.rms.oit.va.gov/SOR\\_Records.asp](http://www.rms.oit.va.gov/SOR_Records.asp)

(FY 2012) PIA: Data Collection And Storage		*Green Highlight = Must Answer Question		
Please fill in each column for the data types selected.				
Data Type	Collection Method	What are the subjects told about the intended use of their information?	How is this message conveyed to them?	How is a privacy notice provided?
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc.)	ALL	Benefits	Automated	Automated
Family Relation (spouse, children, parents, grandparents, etc.)	ALL	Benefits	Automated	Automated
Service Information	ALL	Benefits	Automated	Automated
Medical Information	ALL	Benefits	Automated	Automated
Criminal Record Information	VA File Database	Eligibility	Provided By Another System	Provided By Another System
Guardian Information	N/A	N/A	N/A	N/A
Education Information	N/A	N/A	N/A	N/A
Benefit Information	ALL	Benefits	Automated	Automated
Other (Explain on Tab 8)				
Data Type	Storage Method	Source (If requested, identify the specific file, entity and/or name of agency)	Is data collection Mandatory or Voluntary?	
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc.)	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	Automated
Family Relation (spouse, children, parents, grandparents, etc.)	<input checked="" type="radio"/> Yes <input type="radio"/> No	Veteran	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	Automated
Service Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Other Federal Agency (Identify)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	Automated
Medical Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Other Federal Agency (Identify)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	Automated
Criminal Record Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	Other Federal Agency (Identify)	<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	Automated
Guardian Information	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	
Education Information	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	
Benefit Information	<input checked="" type="radio"/> Yes <input type="radio"/> No	VA Files/Databases (Identify File)	<input checked="" type="radio"/> Mandatory <input type="radio"/> Voluntary	Automated
Other (Explain on Tab 8)	<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Mandatory <input checked="" type="radio"/> Voluntary	
	<b>(Please Select Yes/No)</b>			
Proximity and Timing: Is the privacy notice provided at the time of data collection?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Purpose: Does the privacy notice describe the principal purpose(s) for which the information will be used?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Authority: Does the privacy notice specify the effects of providing information on a voluntary basis?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Disclosures: Does the privacy notice specify routine use(s) that may be made of the information?	<input checked="" type="radio"/> Yes <input type="radio"/> No			
	<a href="#">routine use(s)</a>			

(FY 2012) PIA: Data Sharing *Green Highlight = Must Answer Question		** Any connection external to VA requires an ISA/MOU per VA 6500. This section below must be consistent with your System Security Plan Interconnection Security Agreement section.			
Organization	Name of Agency/Organization	Do they access this system?	Identify the type of Data Sharing	Is PII or PHI Shared?	What is the procedure you reference for the release of information?
Internal Sharing: VA Organization	VBA, VHA, NCA	<input checked="" type="radio"/> Yes <input type="radio"/> No	C&P services shares disability information with the VHA to enable the VHA to deliver the health services the veteran is eligible to receive. VBA also shares burial eligibility information with NCA.	<input checked="" type="radio"/> Yes <input type="radio"/> No	Controls to prevent misuse include signed Rules of Behavior statements of users, security policies and access procedures, strong passwords, security awareness training and audit trails. All veterans records that are accessed by users are coded by user identifiers.
Other Veteran Organization		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Other Federal Government Agency	Department of Defense, Defense Finance and Accounting Service (DFAS)	<input checked="" type="radio"/> Yes <input type="radio"/> No	Compensation, Payment Information, and Pensions.	<input checked="" type="radio"/> Yes <input type="radio"/> No	MOU
State Government Agency		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Local Government Agency		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
Research Entity		<input type="radio"/> Yes <input checked="" type="radio"/> No		<input type="radio"/> Yes <input checked="" type="radio"/> No	
<input checked="" type="checkbox"/> Other Project/ System (Explain on Tab 8)					
<b>(FY 2012) PIA: Access to Records</b>					
Does the system gather information from another system?	<input checked="" type="radio"/> Yes <input type="radio"/> No				
Please enter the name of the system:	Benefits Delivery Network				
<b>(FY 2012) PIA: Secondary Use</b>					
Will PII data be included with any secondary use request?	<input checked="" type="radio"/> Yes <input type="radio"/> No				
Check all that apply	<input type="checkbox"/> Mental Health	<input type="checkbox"/> HIV	<input type="checkbox"/> Drug/Alcohol Counseling		
	<input type="checkbox"/> Sickle Cell	<input type="checkbox"/> Other (Explain on Tab 8)	<input type="checkbox"/> Research		

<b>(FY 2012) PIA: Records Management</b>		<b>*Green Highlight = Must Answer Question</b>	
Does this PIA form contain any sensitive information that could cause harm to the Department of Veterans Affairs or any party if disclosed to the public?			
<input type="radio"/> Yes (Explain on Tab 8) <input checked="" type="radio"/> No			
Is the data collected to only what is necessary to provide requested service?			
<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)			
Has the data provided been verified as complete?			
<input type="checkbox"/> Veteran Verified <input checked="" type="checkbox"/> Received From Database <input type="checkbox"/> Verification Unknown			
<b>(FY 2012) PIA: Retention &amp; Disposal</b>			
What is the data retention period?			
<p>Individual claims file folders and the compensation, pension, rehabilitation and education claims records contained therein are retained at the servicing regional office for the life of the veteran. At the death of the veteran, these records are sent to the Federal Records Center (FRC), maintained by the FRC for 75 years and thereafter destroyed. Rehabilitation and education counseling records are maintained until the exhaustion of a veteran's maximum entitlement or upon the exceeding of a veteran's delimiting date of eligibility (generally, ten or twelve years from discharge or release from active duty), whichever occurs first, and then destroyed. Automated storage media containing temporary working information are retained until a claim is processed to determination. All other automated storage media are retained and disposed of in accordance with disposition authorization approved by the Archivist of the United States. Employee productivity records are maintained for two years after which they are destroyed by shredding or burning. File information for CAIVRS is provided to HUD by VA on magnetic tape.</p>		<p>RCS 10-1 link for VHA: <a href="http://www.va.gov/vhapublications/rcs10/rcs10-1.pdf">www.va.gov/vhapublications/rcs10/rcs10-1.pdf</a></p> <p>RCS VB-1, Part II Revised for VBA: <a href="http://www.benefits.va.gov/WARMS/docs/admin20/rcs/part2/part2.pdf">www.benefits.va.gov/WARMS/docs/admin20/rcs/part2/part2.pdf</a></p> <p>National Archives and Records Administration: <a href="http://www.nara.gov">www.nara.gov</a></p>	
Explain why the information is needed for the indicated retention period?			
<p><b>Answer: Information is needed to keep track of Veterans eligibility for benefits.</b></p>			
What are the procedures for eliminating data at the end of the retention period?			
<p><b>Answer: In general, support systems retain information until that work in progress is completed and data is committed to master systems and records. The master systems retain data on a permanent basis (beyond the actual death of the veteran). If a master system is to be deactivated, critical information is migrated to the new system and the old system along with associated data is archived according to the application disposition worksheet. Once archived, existing application code and files are deleted from the system.</b></p>			
Where are these procedures documented?			
<p><b>Answer: VA Handbook 6300.5 and Records Control Schedule (RCS) VBA-1, Part 1, Section 8 available online at <a href="http://www.warms.vba.va.gov/admin23/part1/sec08.doc">http://www.warms.vba.va.gov/admin23/part1/sec08.doc</a> and the Systems of Record 58VA21/22 and 38VA23</b></p>			
How are data retention procedures enforced?			
<p><b>Answer: Management oversight and review enforces data retention policies. In addition, every action, which impacts a record, results in an audit record being created; this audit record is permanently retained.</b></p>			
Has the retention schedule been approved by the National Archives and Records Administration (NARA)?			
<input checked="" type="radio"/> Yes <input type="radio"/> No (Explain on Tab 8)			
<b>(FY 2012) PIA: Children's Online Privacy Protection Act (COPPA)</b>			
Will information be collected through the internet from children under age 13?			
<input type="radio"/> Yes (Explain on Tab 8) <input checked="" type="radio"/> No			

(FY 2012) PIA: Security \*Green Highlight = Must Answer Question

Is the system/application/program following IT security Requirements and procedures required by federal law and policy to ensure that information is appropriately secured.  Yes  No (Explain on Tab 8)

Has the system/application/program conducted a risk assessment, identified appropriate security controls to protect against that risk, and implemented those controls.  Yes  No (Explain on Tab 8)

Is security monitoring conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?  Yes  No (Explain on Tab 8)

Is security assessment conducted annually or as needed to ensure that controls continue to work properly, safeguarding the information?  Yes  No (Explain on Tab 8)

Is adequate physical security in place to protect against unauthorized access?  Yes  No (Explain on Tab 8)

**\*Ensure PE 2, PE-3, PE-6, PE-7, PE-8 have been addressed appropriately for your categorization**

Explain what security risks were identified in the security assessment? (Check all that apply)

<input checked="" type="checkbox"/> Biological Release	<input checked="" type="checkbox"/> Fire	<input checked="" type="checkbox"/> Lightning Strike	<input checked="" type="checkbox"/> Terrorism
<input checked="" type="checkbox"/> Blizzard	<input checked="" type="checkbox"/> Flood	<input checked="" type="checkbox"/> Malicious Code	<input checked="" type="checkbox"/> Thunderstorm
<input checked="" type="checkbox"/> Burrows/Break In	<input checked="" type="checkbox"/> Hacker / Cracker	<input checked="" type="checkbox"/> Document Privacy Negligence	<input checked="" type="checkbox"/> Tornado
<input checked="" type="checkbox"/> Civil Unrest	<input checked="" type="checkbox"/> Mail	<input checked="" type="checkbox"/> Personnel Unavailable	<input checked="" type="checkbox"/> Tsunami
<input checked="" type="checkbox"/> Component Failure	<input checked="" type="checkbox"/> HAZMAT Release/Spill	<input checked="" type="checkbox"/> Power Failure	<input checked="" type="checkbox"/> User Negligence
<input checked="" type="checkbox"/> Dam Failure	<input checked="" type="checkbox"/> Human Health Emergency	<input checked="" type="checkbox"/> Sabotage	<input checked="" type="checkbox"/> User Sabotage
<input checked="" type="checkbox"/> Dust/Debris	<input checked="" type="checkbox"/> Hurricane	<input checked="" type="checkbox"/> System Intrusion, Break-Ins	<input checked="" type="checkbox"/> Vibration
<input checked="" type="checkbox"/> Earthquake	<input checked="" type="checkbox"/> HVAC Failure	<input checked="" type="checkbox"/> System Misconfiguration	<input checked="" type="checkbox"/> Volcano
<input checked="" type="checkbox"/> Extreme Cold	<input checked="" type="checkbox"/> Indoor Humidity	<input checked="" type="checkbox"/> System Penetration	<input checked="" type="checkbox"/> Water Damage
<input checked="" type="checkbox"/> Extreme Heat	<input checked="" type="checkbox"/> Landslide	<input checked="" type="checkbox"/> System Tampering	<input checked="" type="checkbox"/> Winter Weather Hazards

**\*If any other risks identified, explain in Tab 8**

Based upon the risks identified above, Explain what security controls are being used to mitigate these risks. (Check all that apply)

<input checked="" type="checkbox"/> Access Control	<input checked="" type="checkbox"/> Configuration Management	<input checked="" type="checkbox"/> Media Protection	<input checked="" type="checkbox"/> System and Services Acquisition
<input checked="" type="checkbox"/> Audit and Accountability	<input checked="" type="checkbox"/> Contingency Planning	<input checked="" type="checkbox"/> Personnel Security	<input checked="" type="checkbox"/> System and Communication Protection
<input checked="" type="checkbox"/> Awareness and Training	<input checked="" type="checkbox"/> Identification and Authentication	<input checked="" type="checkbox"/> Physical and Environmental Protection	<input checked="" type="checkbox"/> System and Information Integrity
<input checked="" type="checkbox"/> Security Assessment and Authorization	<input checked="" type="checkbox"/> Incident Response	<input checked="" type="checkbox"/> Risk Assessment	<input checked="" type="checkbox"/> Planning <input checked="" type="checkbox"/> Maintenance

Answer: (Other Controls) Explain on Tab 8

PIA: PIA Assessment

Based upon NIST 800-60, volume II; List the information data types chosen as a basis for your FIPS 199 System Categorization.

Answer:

Reporting and Information (Information Type) Personal Identity, Authentication Information (Information Type), Benefits Management (Information Type)

**Availability Assessment:** If the data being collected is not available to process for any reason what will the potential impact be upon the system or organization? (Choose One)

The potential impact is **high** if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.

The potential impact is **moderate** if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals.

The potential impact is **low** if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.

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**Integrity Assessment:** If the data being collected has been corrupted for any reason what will the potential impact be upon the system or organization? (Choose One)

The potential impact is **high** if the loss of integrity could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.

The potential impact is **moderate** if the loss of integrity could be expected to have a serious adverse effect on operations, assets or individuals.

The potential impact is **low** if the loss of integrity could be expected to have a limited adverse effect on operations, assets or individuals.

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**Confidentiality Assessment:** If the data being collected has been shared with unauthorized individuals what will the potential impact be upon the system or organization? (Choose One)

The potential impact is **high** if the loss of confidentiality could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.

The potential impact is **moderate** if the loss of confidentiality could be expected to have a serious adverse effect on operations, assets or individuals.

The potential impact is **low** if the loss of confidentiality could be expected to have a limited adverse effect on operations, assets or individuals.

The controls are being considered for the project based on the selections from the previous assessments? The VA's risk assessment validates the security control set and determines if any additional controls are needed to protect agency operations. Many of the security controls such as contingency planning controls, incident response controls, security training and awareness controls, personnel security controls, physical and environmental protection controls, and intrusion detection controls are common security controls used throughout the VA. Our overall security controls follow NIST SP800-53 moderate impact defined set of controls. The system owner is responsible for any system-specific issues associated with the implementation of this facility' common security controls. These issues are identified and described in the system security plans for the individual information systems.

## (FY 2012) PIA: Additional Comments

Add any additional comments or information that may have been left out for any question. Please indicate the question you are responding to and then add your comments.

### **Control of Veterans Records System (COVERS)**

COVERS is a Microsoft Windows-based Client/Server application using barcode technology to support RO (Regional Office) and RMC (Record Management Center) folder activities, including requests, mail, search, and external transfers. The primary function of COVERS is tracking the location of folders within, and between offices. COVERS tracks the file number, name, power of attorney, and current location of each folder. The effective functioning of COVERS requires that the database accurately reflect the actual current physical location of the folder.

### **SHARE**

A Microsoft Windows-based client/server application that allows regional office employees to inquiry against legacy information such as the Beneficiary Information Locator System (BIRLS), Benefits Delivery Network (BDN), and other agencies' information (e.g. SSA). Share updates both legacy and corporate information with one transaction. This application is the starting point of MAP-D.

### **Search and Participant Profile (SPP)**

Search and Participant Profile (SPP) provides the ability to locate Veteran, Claim, and/or Family Member records. The user may locate a record by performing a search by a File Number, Social Security Number, or Taxpayer ID Number. This search functionality includes a real-time interface between VETSNET and BIRLS. The Search function identifies all participants related to the search criteria. The search results offer a simple interface to detailed profile information about the participants found. Participants include veterans, family members, fiduciaries, and other associated groups and organizations. Participant information can be added or updated by accessing the appropriate profile.

### **Modern Awards Processing Development (MAP-D)**

MAP-D provides single processing development capability. This addresses complete claims development, claims status, and case management using the best features of existing applications. MAP-D also provides access to those applications that support the claims development process including COVERS, CSS, PIES, AVAIS, BDN, BIRLS and SPP.

### **Rating Board Automation 2000 (RBA2000)**

RBA2000 is the replacement system for the Rating Board Automation (RBA) application in use nationwide to support the preparation of disability rating decisions. RBA2000, unlike its predecessor, is integrated with VETSNET specifications for award processing and data storage formats and will provide improved support for the creation of text documents needed to document rating decisions. RBA2000 will continue the collection of claims data to support both information and budgetary requirements. RBA2000 incorporates the latest rating decision format and transfers disability and entitlement data into a corporate database of claimants who have applied for VA benefits. We have added features that provide decision makers with more flexibility in their choice of rating narrative input.

### **Statement of the Case/Supplemental SOC (SOC/SSOC)**

SOC/SSOC is an application for creating SOC and SSOC Word documents. By reading an existing rating document created from RBA2000 or RBA, the application presents the user with a list of Issues to be included in the new SOC / SSOC. Adjudicative Actions can be entered for inclusion, as

(FY 2012) PIA: VBA Minor Applications		
Which of these are sub-components of your system?		
Access Manager	Automated Sales Reporting (ASR)	Automated Folder Processing System (AFPS)
Actuarial	BCMA Contingency Machines	Automated Medical Information Exchange II (AIME II)
Agent Orange	Centralized Property Tracking System	Automated Medical Information System (AMIS)290
Appraisal System	Common Security User Manager (CSUM)	Automated Standardized Performance Elements Nationwide (ASPEN)
ASSISTS	Compensation and Pension (C&P)	Broome Closet
X Awards	Control of Veterans Records (COVERS)	Centralized Accounts Receivable System (CARS)
Baker System	Courseware Delivery System (CDS)	Committee on Waivers and Compromises (COWC)
Bbraun (CP Hemo)	Dental Records Manager	Compensation and Pension (C&P) Record Interchange (CAPRI)
	Education Training Website	Compensation & Pension Training Website
	Electronic Appraisal System	Distribution of Operational Resources (DOOR)
C&P Payment System	Electronic Card System (ECS)	Educational Assistance for Members of the Selected Reserve Program CH 1606
C&P Training Website	Electronic Payroll Deduction (EPD)	Electronic Performance Support System (EPSS)
CONDO PUD Builder	X Eligibility Verification Report (EVR)	Enterprise Wireless Messaging System (Blackberry)
	Fiduciary Beneficiary System (FBS)	Financial Management Information System (FMI)
	Fiduciary STAR Case Review	Hearing Officer Letters and Reports System (HOLAR)
EndoSoft	X Financial and Accounting System (FAS)	Inquiry Routing Information System (IRIS)
FOCAS	Insurance Unclaimed Liabilities	Modern Awards Process Development (MAP-D)
Inforce	Inventory Management System (IMS)	X Personal Computer Generated Letters (PCGL)
INS - BIRLS	Interactive Voce Response (IVR)	Personnel Information Exchange System (PIES)
Insurance Online	LGY Centralized Fax System	Post Vietnam Era educational Program (VEAP) CH 32
Insurance Self Service	Loan Service and Claims	Purchase Order Management System (POMS)
LGY Home Loans	Loan Guaranty Training Website	Reinstatement Entitlement Program for Survivors (REAPS)
LGY Processing	Mental Health Assistant	Reserve Educational Assistance Program CH 1607
MES	National Silent Monitoring (NSM)	RightFax
Mobilization	Powerscribe Dictation System	Service Member Records Tracking System
Montgomery GI Bill	Rating Board Automation 2000 (RBA2000)	Survivors and Dependents Education Assistance CH 35
MUSE	Records Locator System	Systematic Technical Accuracy Review (STAR)
Omniceil	Remittance Processing System	Training and Performance Support System (TPSS)
Priv Plus	Review of Quality (ROQ)	VA Online Certification of Enrollment (VA-ONCE)
RAI/MDS	X Search Participant Profile (SPP)	VA Reserve Educational Assistance Program
Right Now Web	Spinal Bifida Program Ch 18	Veterans Assistance Discharge System (VADS)
SAHSHA	State Benefits Reference System	Veterans Exam Request Info System (VERIS)
Script Pro	X State of Case/Supplemental (SOC/SSOC)	Veterans Insurance Claims Tracking and Response System (VICTARS)
X SHARE	Telecare Record Manager	Veterans Service Representative (VSR) Advisor
Sidexis	VBA Enterprise Messaging System	Vocational Rehabilitation & Employment (VR&E) CH 31
Synquest	Web Electronic Lender Identification	Web Automated Folder Processing System (WAFPS)
VBA Training Academy		Web Automated Reference Material System (WARMS)
Veterans Canteen Web		Web Automated Verification of Enrollment
VETSNET Housekeeping		Web-Enabled Approval Management System (WEAMS)
VR&E Training Website		Web Service Medical Records (WebSMR)
Web LGY		Work Study Management System (WSMS)
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		
Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
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Name		
Description		
Comments		
Is PII collected by this min or application?		
Does this minor application store PII?		
If yes, where?		
Who has access to this data?		

(FY 2012) PIA: Minor Applications A-M

Which of these are sub-components of your system?			
1184 Web			
AAP	Citrix	Electronic Signature	Imaging
ACCU Care	Clinical Case Registries	Embedded Fragment Registry	Incentive Awards
ACCU Check	Clinical Data Repository/Health Data Repository	ENCORE 2	Incident Reporting
ACCU Med	Clinical Info Resource Network	ENDSOFT	Income Verification Match
Adobe Acrobat	Clinical Monitoring System	Engineering	Incomplete Records Tracking
ADP Planning (PlanMan)	Clinical Notes Templates	Enrollment Application System	Inpatient Medications
ADT	Clinical Procedures	Enterprise Terminology Server & VHA Enterprise Terminology Services	Intake/ Output
Adverse Reaction Tracking	Clinical Reminders	ePROMISE	Integrated Billing
Agent Cashier	Clippership	Equipment/ Turn-in Request	Integrated Patient Funds
Air Fortress	Combat Veteran Outreach	Event Capture	Interim Mangement Support
ASISTS	Committee on Waiver and Compromises	Event Driven Reporting	Inventory Management System
Authorization/ Subscription	Consult/ Request Tracking	Extensible Editor	Kernal
Auto Instrument	Controlled Correspondence	External Peer Review	Kids
Auto Replenishment/ Ward Stock	Controlled Substances	EYECAP	KOWA
AUTCAD	CP&E	Fee Based Claims System	Lab Service
Automated Access Request	CPRS	Fee Basis	Laboratory Electronic Data Interchange
Automated Info Collection Sys	CPT/ HCPCS Codes	Financial and Accounting System (FAS)	Letterman
Automated Lab Instruments	Credentials Tracking	Financial Management System (FMS)	Lexicon Utility
Automated Med Info Exchange	Credit Card Authentication	Functional Independence	Library
Automated Sales Reporting	Data Innovations	Gen. Med. Rec. - I/O	List Manager
AutoMed	DELIVEREX	Gen. Med. Rec. - Vitals	Lynx Duress Alarm
Bad Code Med Admin	Dental	Gen. Med.Rec. - Generator	Mailman
Barcode Medication Administration Contingency Plan (BCU)	DICTATION-Power Scribe	GENDEX	MCCR National Database
BCMA Contingency Workstations	Dietetics	Generic Code Sheet	Meadows (MDWS)
BDN 301	Discharge Summary	Genesys	Medicine
Beneficiary Travel	DRG Grouper	Get Well Networks	Mental Health
Big Fix	DRM Plus	GMED	MHTP
CA Verified Components - DSSI	Drug Accountability	GRECC	MICOM
Capacity Management - RUM	DSIT	Health Data and Informatics	Microsoft Exchange E-mail System
Capacity Management Tools	DSS Extracts	Health Level Seven	Military/Vet Eye Injury Registry
CAPRI	DSS Quadramed	Health Summary	Minimal Patient Dataset
Cardiff Teleform	EDS Whiteboard (AVIED)	Health Summary Contingency	Missing Patient Reg (Original) A4EL
Cardiology Systems (stand alone servers from the network)	Education Tracking	HINQ	Mumps AudioFAX
Care Management	EEO Complaint Tracking	Hospital Based Home Care	MyHealthEVet
CareTracker	EKG System	ICB	
CHECKPOINT	Electronic Card System (ECD)	ICR - Immunology Case Registry	
	Electronic Payroll Deduction (EPD)	IFCAP	
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.			
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(FY 2012) PIA: VISTA Minor Applications N-Z

Which of these are sub-components of your system?			
National Cemetery Association	Pharmacy Data Management	Scanning Exam and Evaluation System	VBEC5
National Drug File	Pharmacy National Database	Scheduling	VDEF
National Laboratory Test	Pharmacy Prescription Practice	Security Suite Utility Pack	Vendor - Document Storage Sys
NDBI	PICIS OR	Sentillion	Veterans Canteen Web
Network Health Exchange	Police & Security	Shift Change Handoff Tool	Veterans Information Solution
NOAHLINK	Problem List	ShoreTel	VHAHUNAPP1
NOIS	Progress Notes	Social Work	VHAHUNFPC1
Nursing Service	Prosthetics	Stellant	VHS & RA Tracking System
Occurrence Screen	Purchase Order Management System	Stentor	Visit Tracking
Omnicell	Pyxis	Surgery	VISTA RAD
Oncology	Q-Matic	Survey Generator	VISTA RO
Onvicon (VLOG)	QMSI Prescription Processing	Telecare Record Manager	VISTALink
Optifill	Quality Assurance Integration	Temp Trak	VISTALink Security
Order Entry/ Results Reporting	Quality Improvement Checklist	Text Integration Utilities	Visual Impairment Service Team ANRV
Outpatient Pharmacy	QUASER	Tickler Database	Vitria BusinessWare
P2000 ROBOT	Radiology/ Nuclear Medicine	Toolkit	VIXS
PACS database	RAFT	TopCon	Voluntary Timekeeping
Patch Module	RALS	TraceMaster	Voluntary Timekeeping National
Patient Data Exchange	Record Tracking	Tracking Continuing Education	WEB HINQ
Patient Feedback	Registration	Traumatic Brain Injury	Whiteboard
Patient Representative	Release of Information - DSSI	Unwinder	Women's Health
PCE Patient Care Encounter	Remote Order/ Entry System	Utility Management Rollup	Workload and Overtime
Personal Computer Generated Letters	RPC Broker	Utilization Review	
Pharmacy Benefits Mangement	Run Time Library	VA Conference Room Registration	
	SAGG	VA Fileman	
	SAN	VAMedSafe	
Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.			
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(FY 2012) PIA: Final Signatures		*Green Highlight = Must Answer Question	
Facility Name:	Austin Information Technology Center		
Title:	Name:	Phone:	Email:
Privacy Officer:	Lisa Matuszczak	202-461-9039	lisa.matuszczak@va.gov
Digital Signature Block			
Information Security Officer:	Jessica L. Carriveau	727-319-5954	jessica.carriveau@va.gov
Digital Signature Block			
System Owner/Delegate:	Kevin C. Causley	202-461-9170	kevin.causley@va.gov
Digital Signature Block			
Facility Chief Information Officer:	Bryan Emery	512-326-7425	bryan.emery@va.gov
Digital Signature Block			
Other Titles: A&A Project Officer	Mary D. Barley	202-461-9175	mary.barley@va.gov
Digital Signature Block			
Date of Report:	17-Apr-12		
OMB Unique Project Identifier	029-00-01-13-01-1264-00		
Project Name	REGION 5> VBA> C&P> AITC>VETSNET		
<p>The Signature Process:</p> <ul style="list-style-type: none"> <li>• Complete the PIA form. <ul style="list-style-type: none"> <li>• Name the PIA Excel FORM ["FY12-Region # - Facility Name - Facility # -Date(mmddyyyy).xls"] <ul style="list-style-type: none"> <li>• Example: "FY12-Region3-Lexington VAMC-596-10302008.xls"</li> <li>• Submit the completed PIA Excel form to SMART Database.</li> </ul> </li> <li>• Fix errors the reviewers sent back, rename the file and submit to SMART Database <ul style="list-style-type: none"> <li>• If no errors, convert form into PDF with Nuance PDF Professional.</li> </ul> </li> </ul> </li> <li>• Name the PIA PDF form ["FY12-Region #-Facility Name- Facility # -Date(mmddyyyy).xls"] <ul style="list-style-type: none"> <li>• Obtain digital signatures on the "Final Signatures tab"</li> <li>• Submit signed PIA PDF form to the SMART Database.</li> </ul> </li> </ul>			